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# An Incrementally Maintainable Index for Approximate Lookups in Hierarchical Data

**Nikolaus Augsten<sup>a</sup>, Michael Böhlen, Johann Gamper**

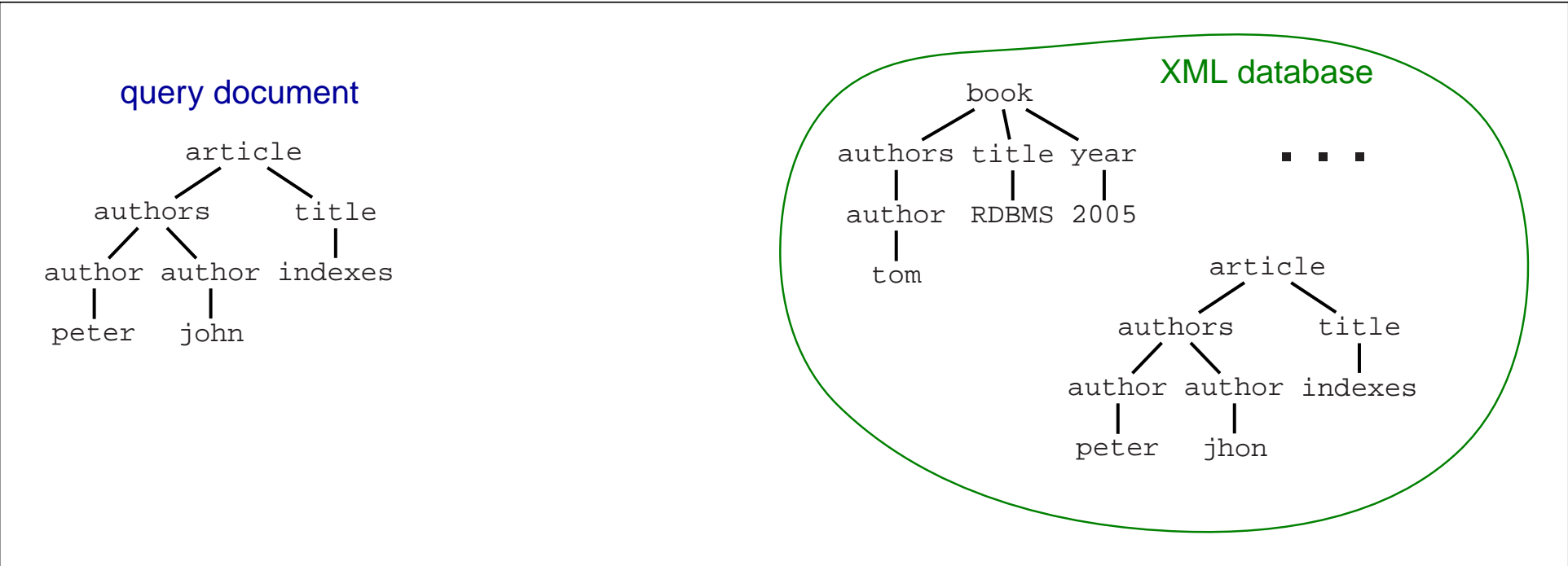
DIS - Center for Database and Information Systems

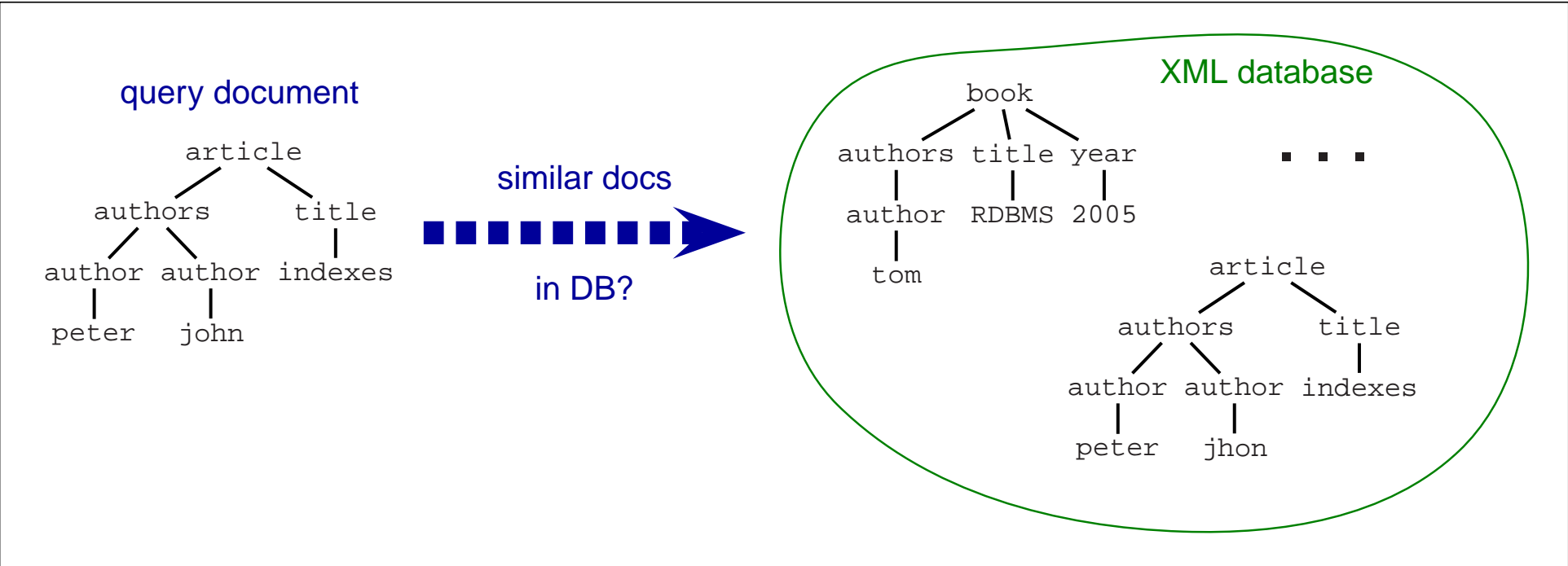
Free University of Bozen-Bolzano, Italy

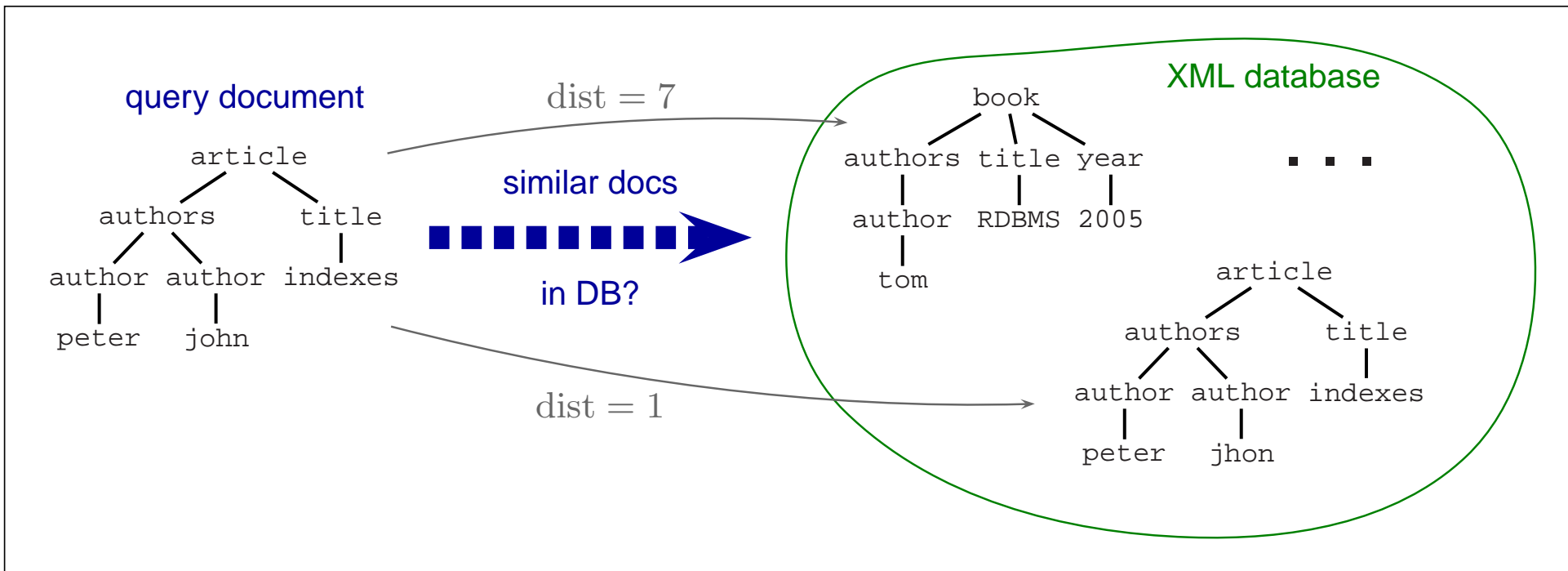
[www.inf.unibz.it](http://www.inf.unibz.it)

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<sup>a</sup>Supported by the Municipality of Bozen-Bolzano.

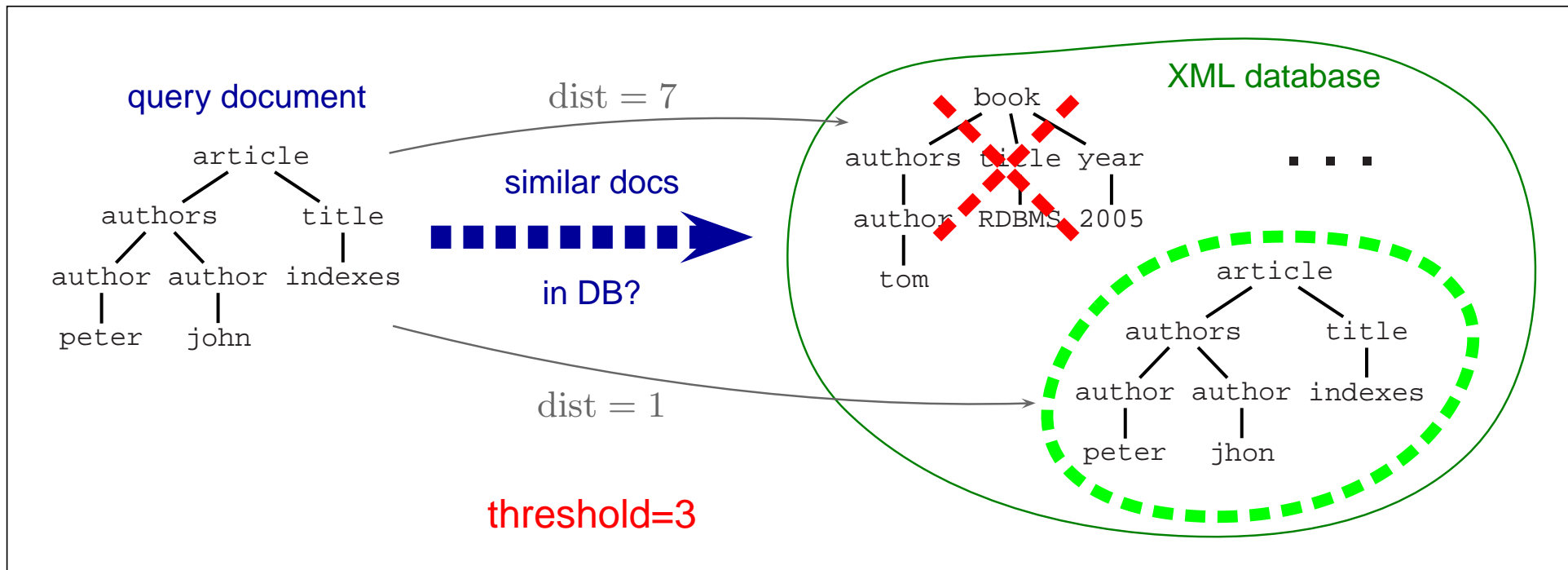






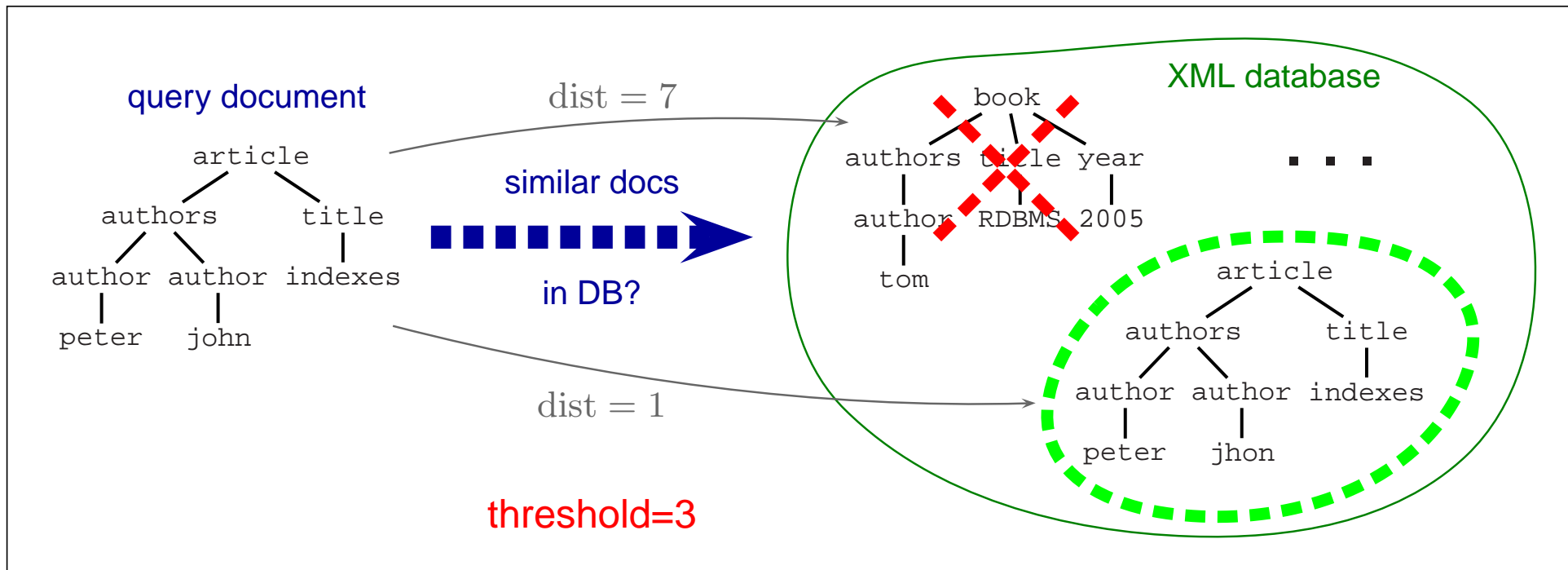
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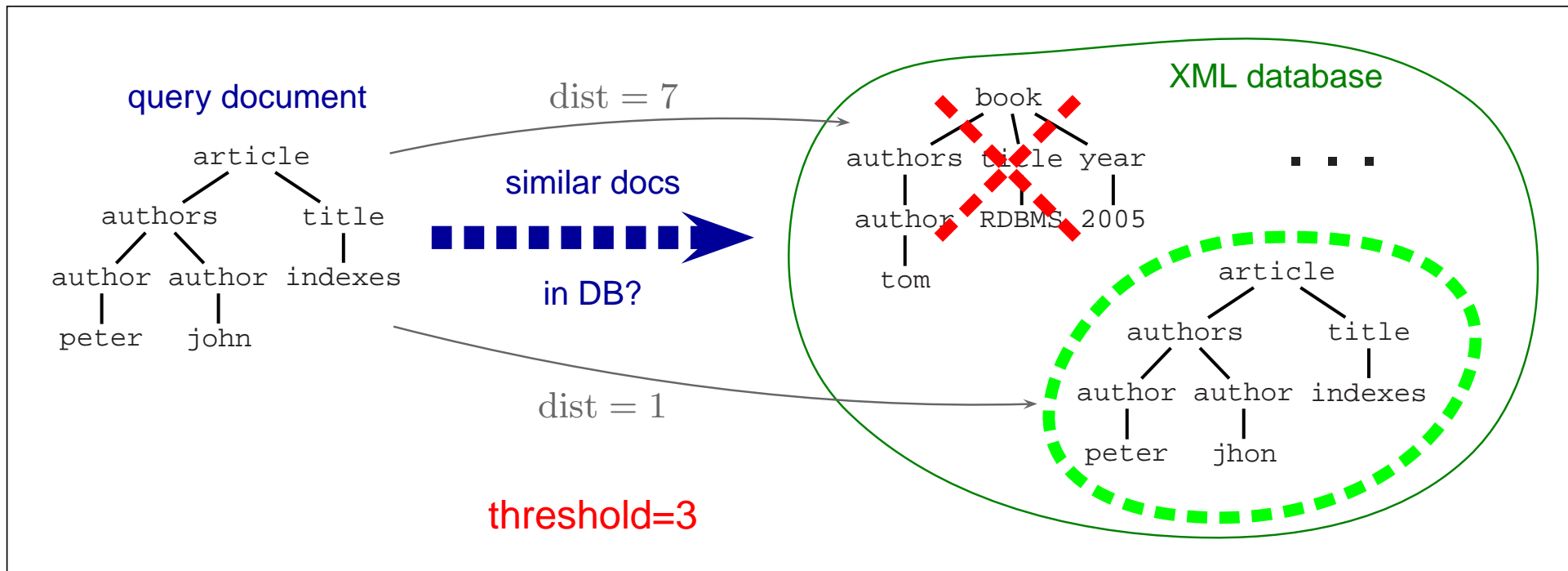


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### 👉 **Index** for approximate lookups

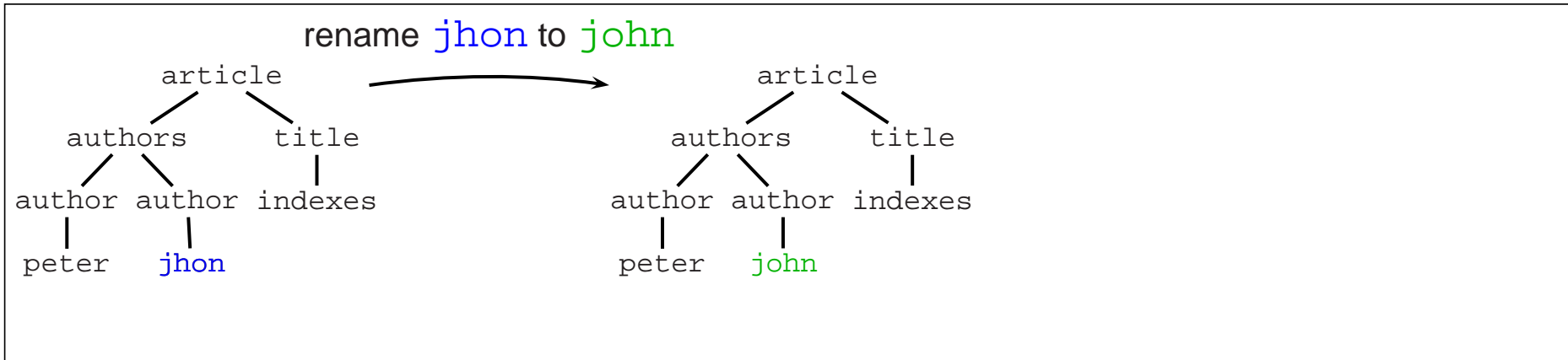
👉 **Documents** in database change





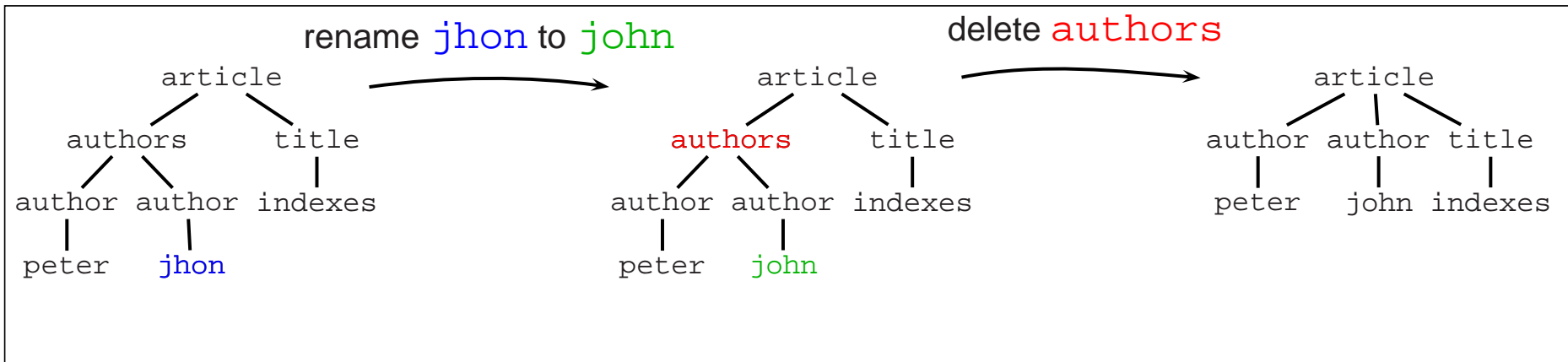
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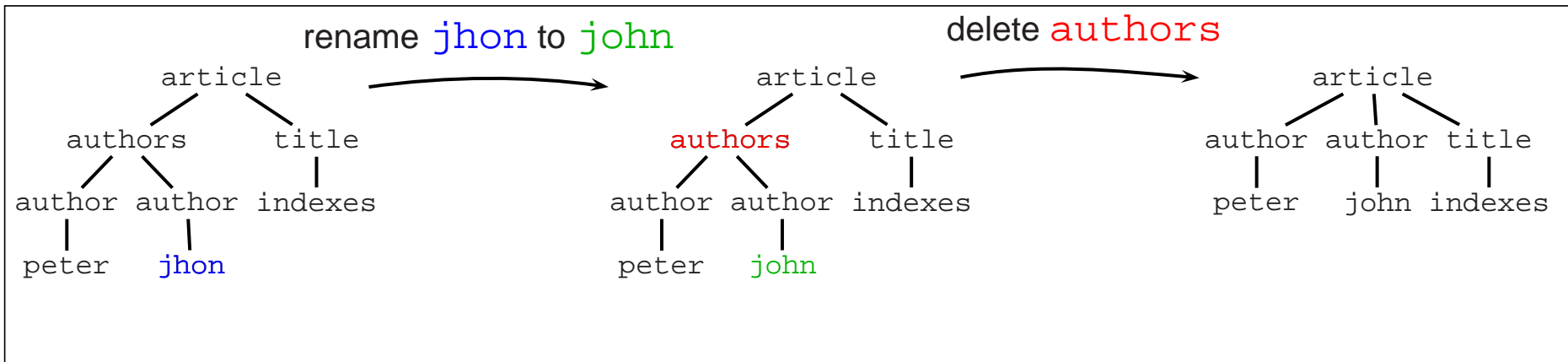
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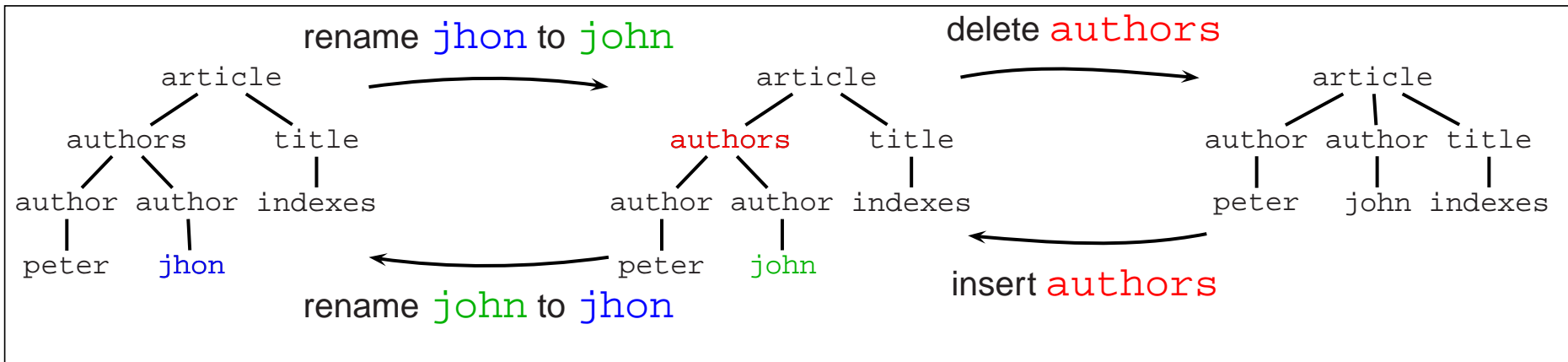
▣ **inverse** edit operation **undoes** the edit operation



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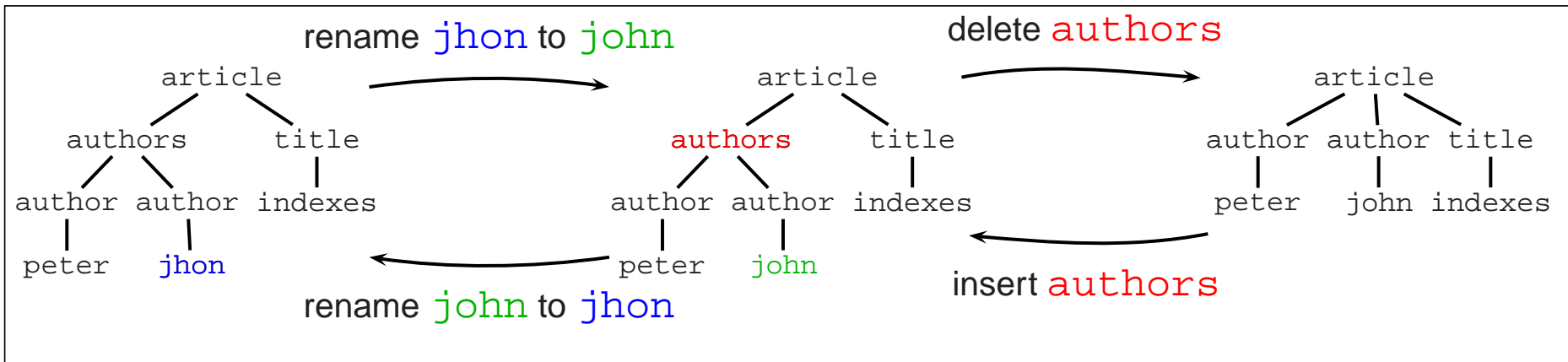
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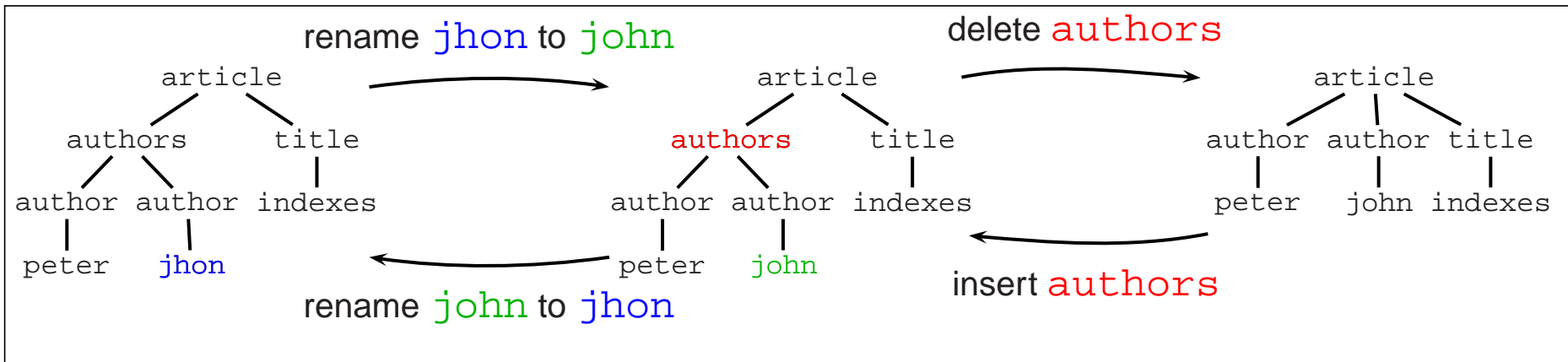


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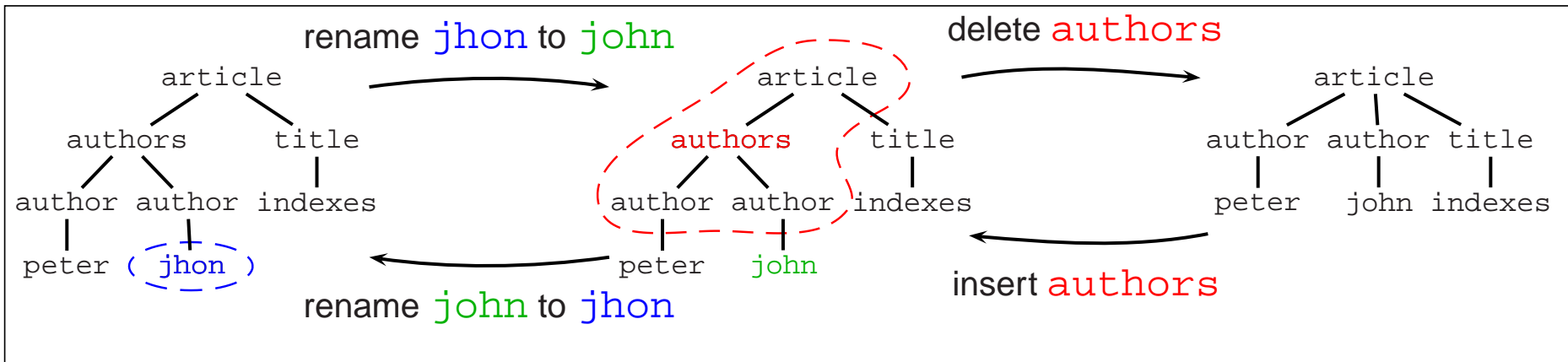
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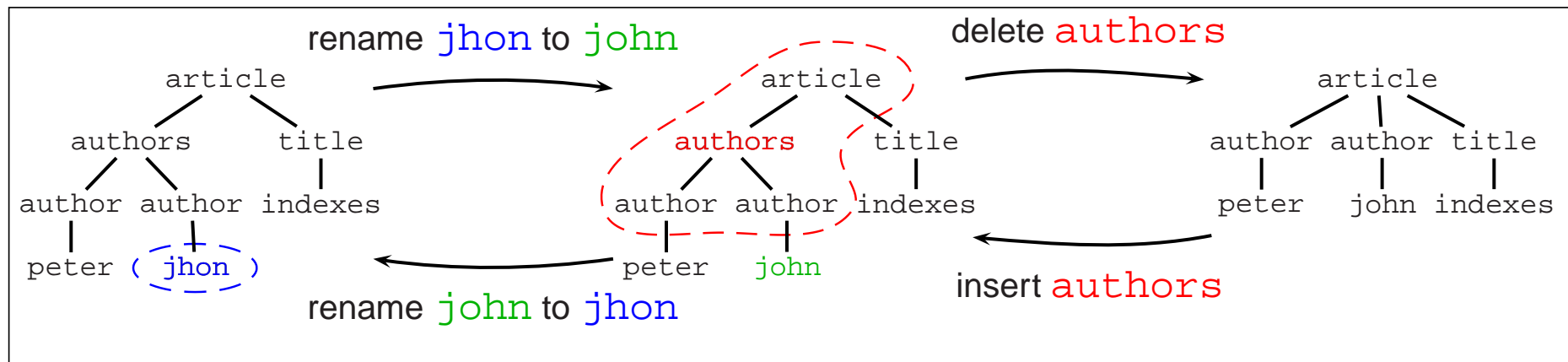
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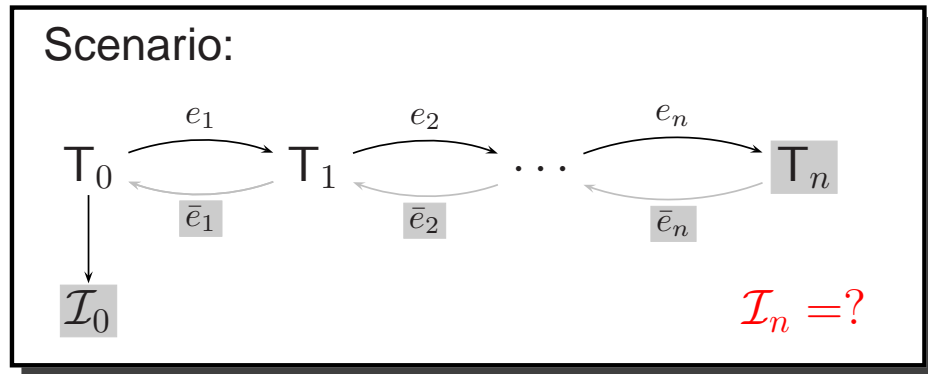
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👉 **Solution:** incrementally **update changing parts** in index



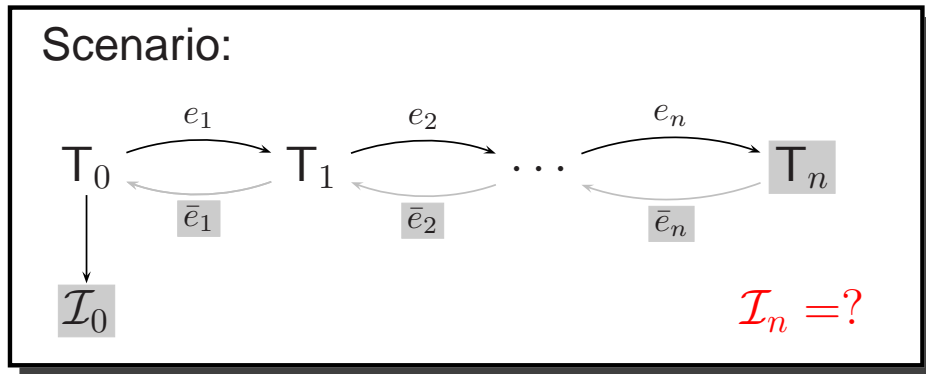


☞ **Update index** based on

- ☞ old index  $\mathcal{I}_0$
- ☞ log of inverse edit operations  $(\bar{e}_1, \dots, \bar{e}_n)$
- ☞ resulting tree  $T_n$

☞ **Do not compute  $\mathcal{I}_n$  from scratch.**

☞ **Do not compute intermediate trees.**

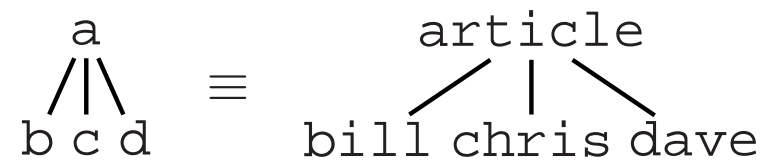


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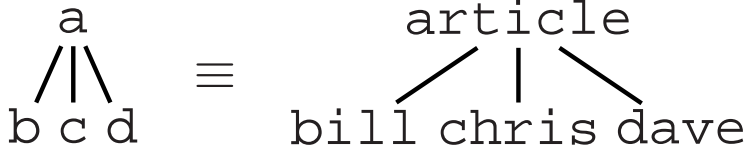
☞ Example:

- ▣ index for DBLP
- ▣ 1000 updates
- ▣ incrementally update index!

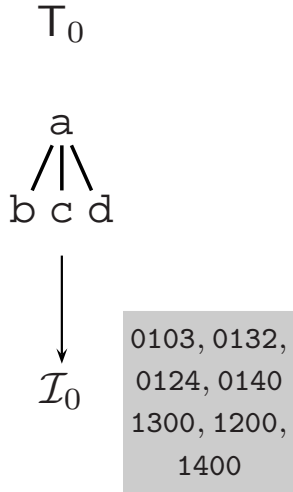
Example tree:



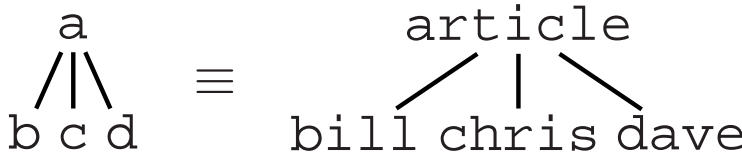
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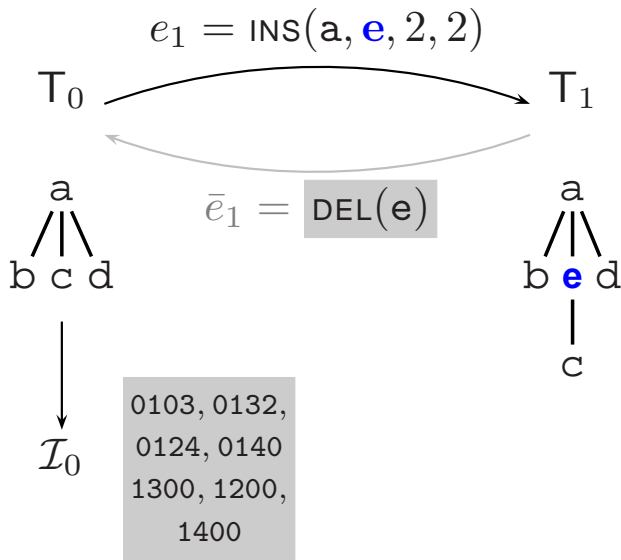
Example Scenario:



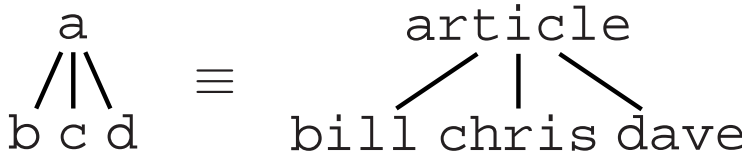
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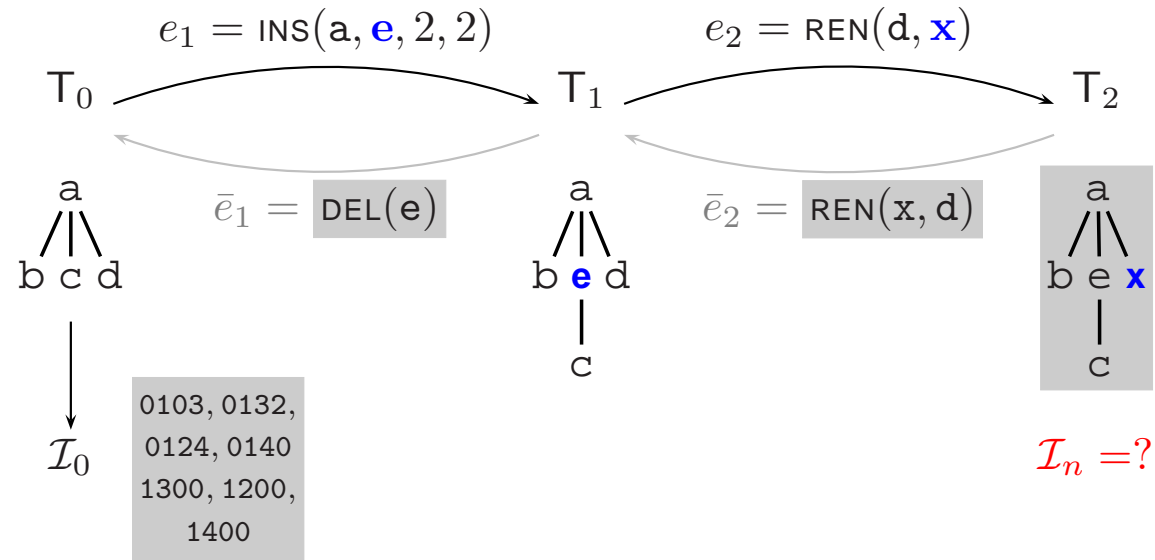
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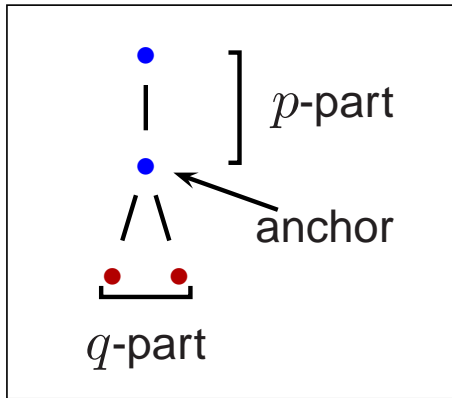
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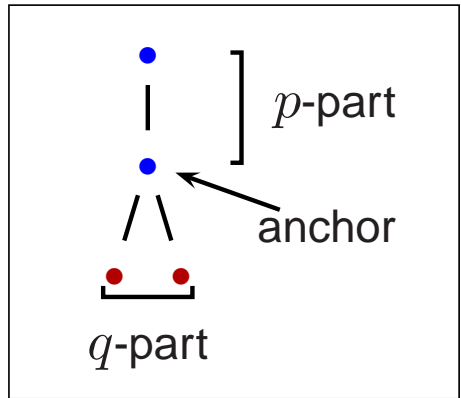
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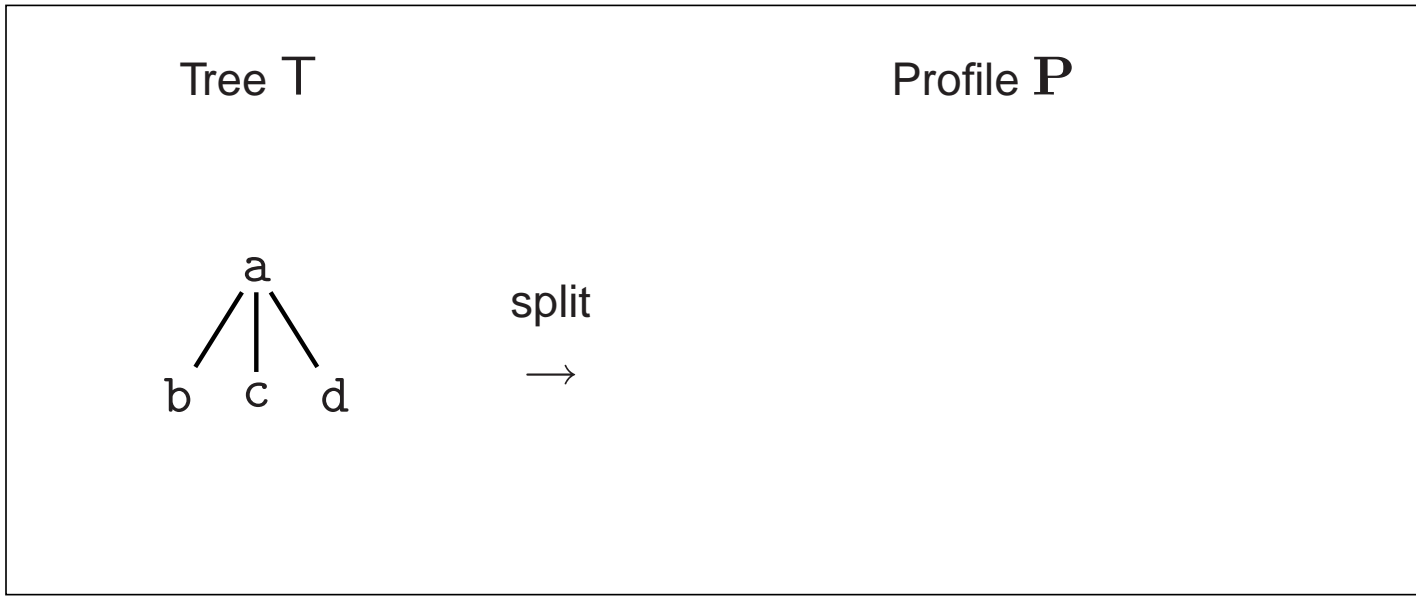
☞ *pq*-Gram pattern:



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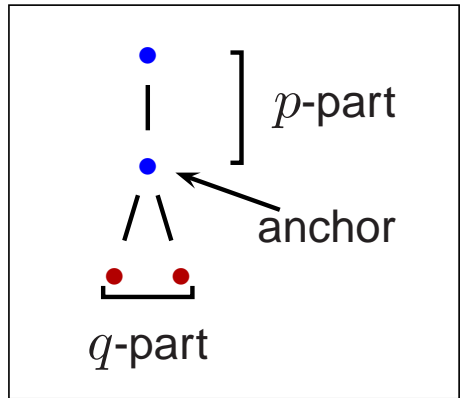


(1) Splitting the tree into 2,2-grams:

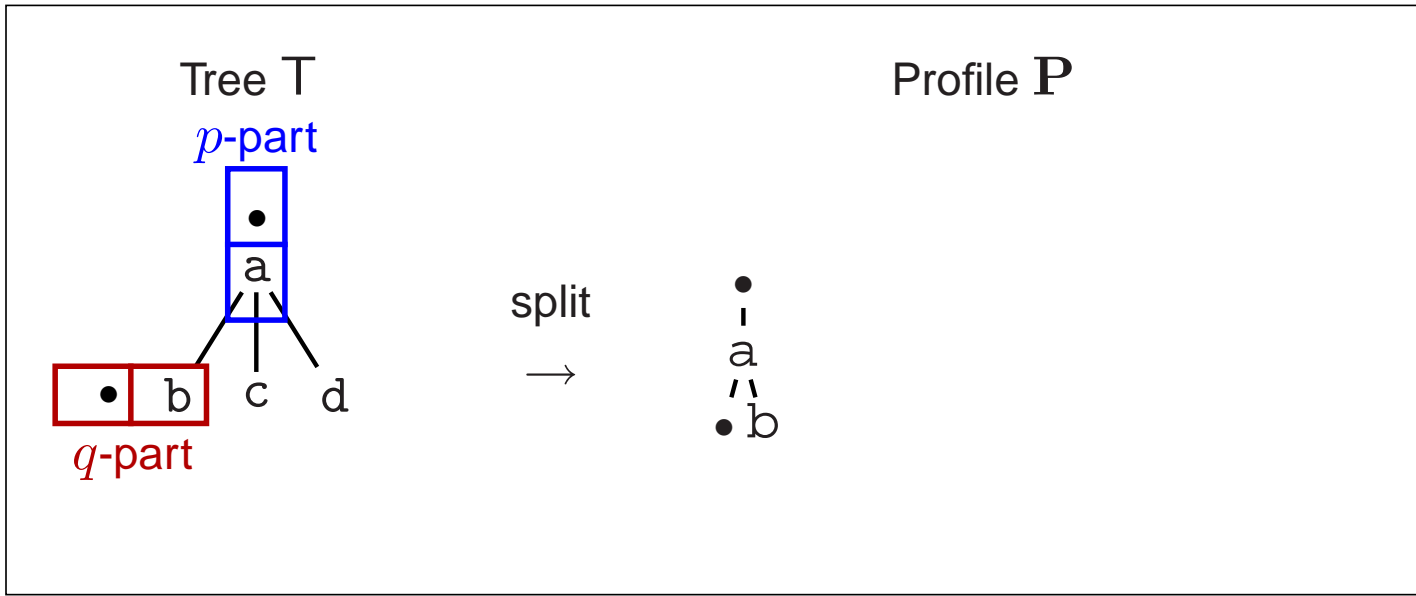




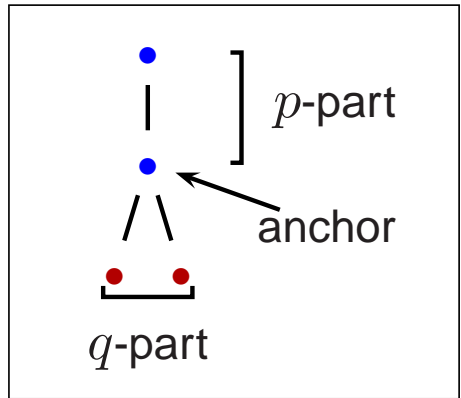
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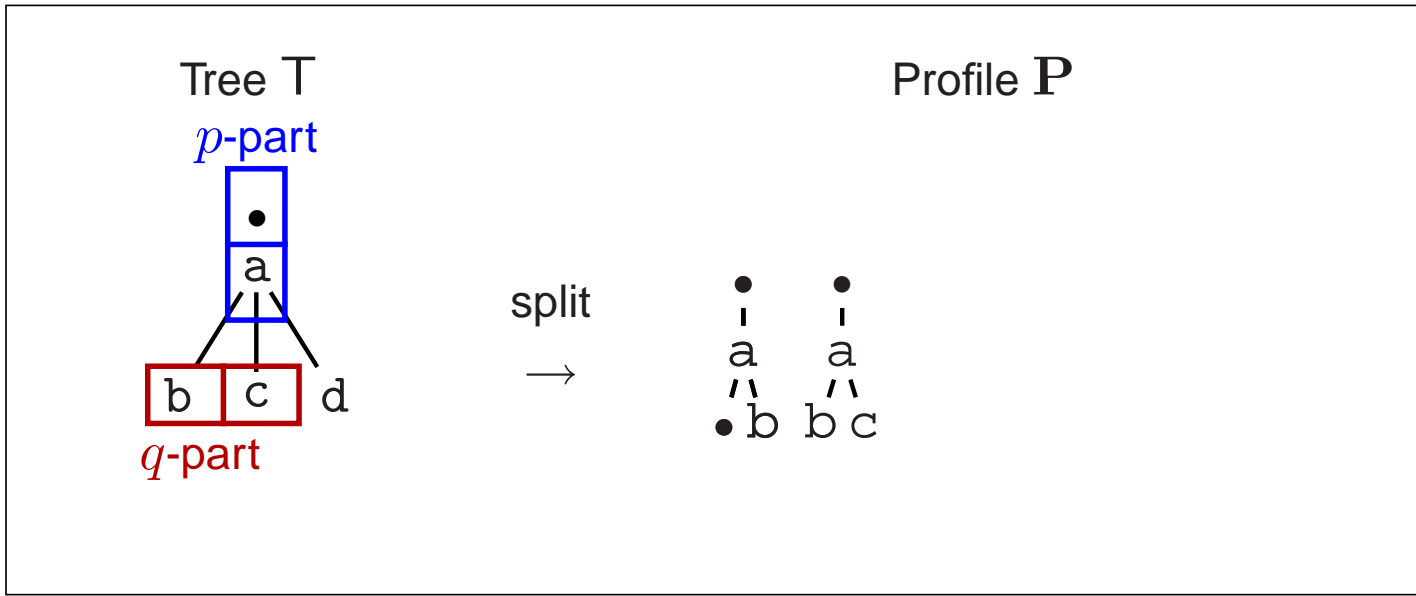
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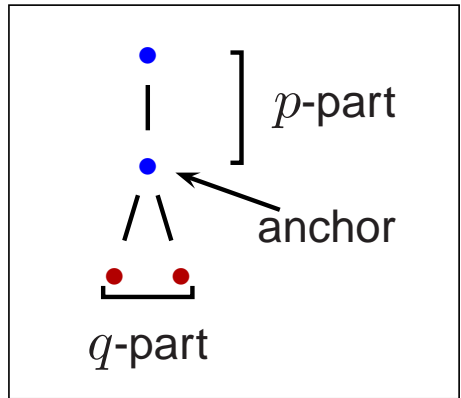
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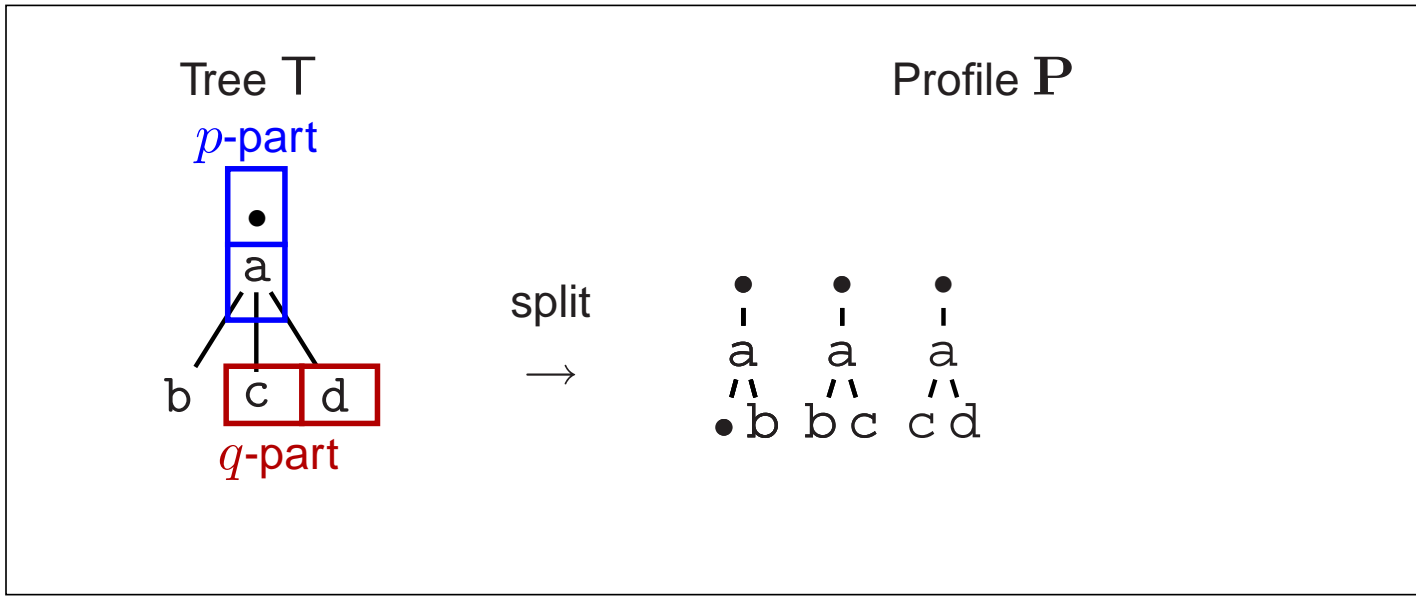
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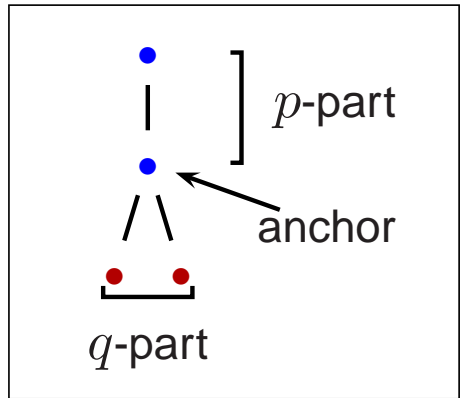
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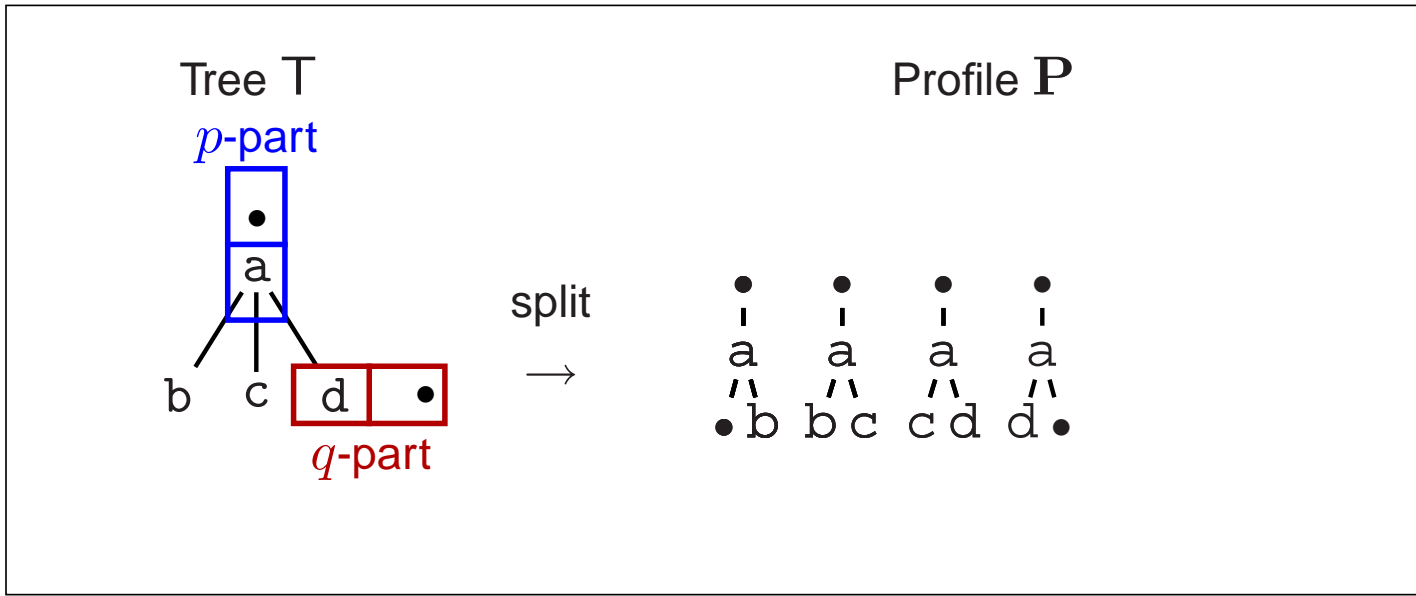
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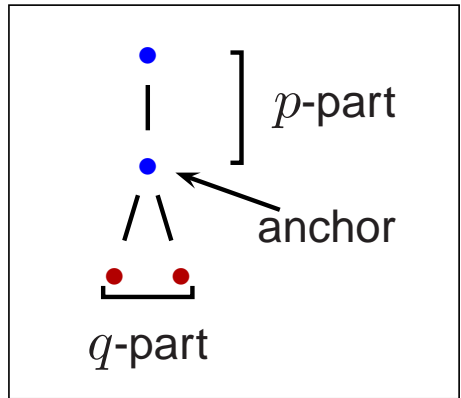
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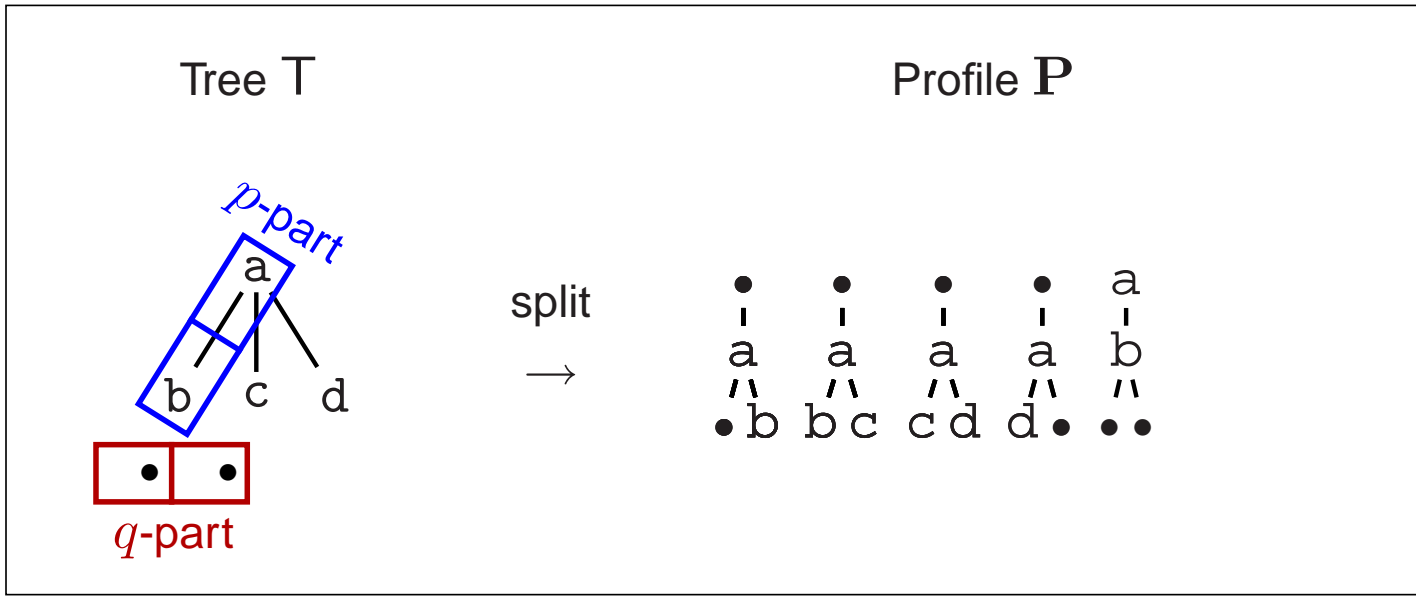
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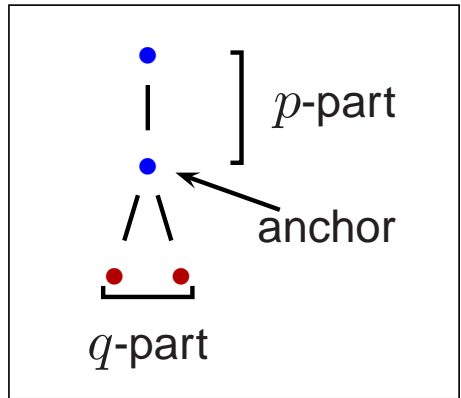
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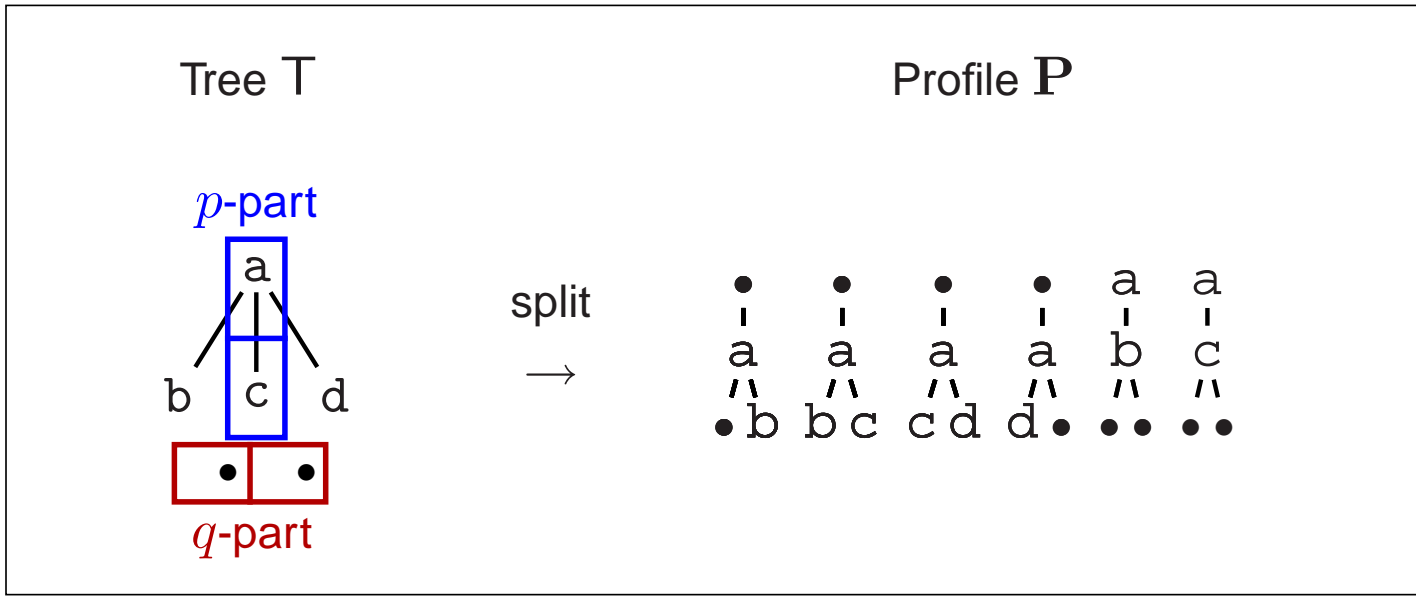
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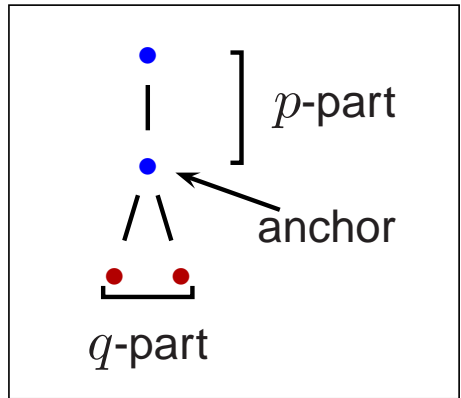
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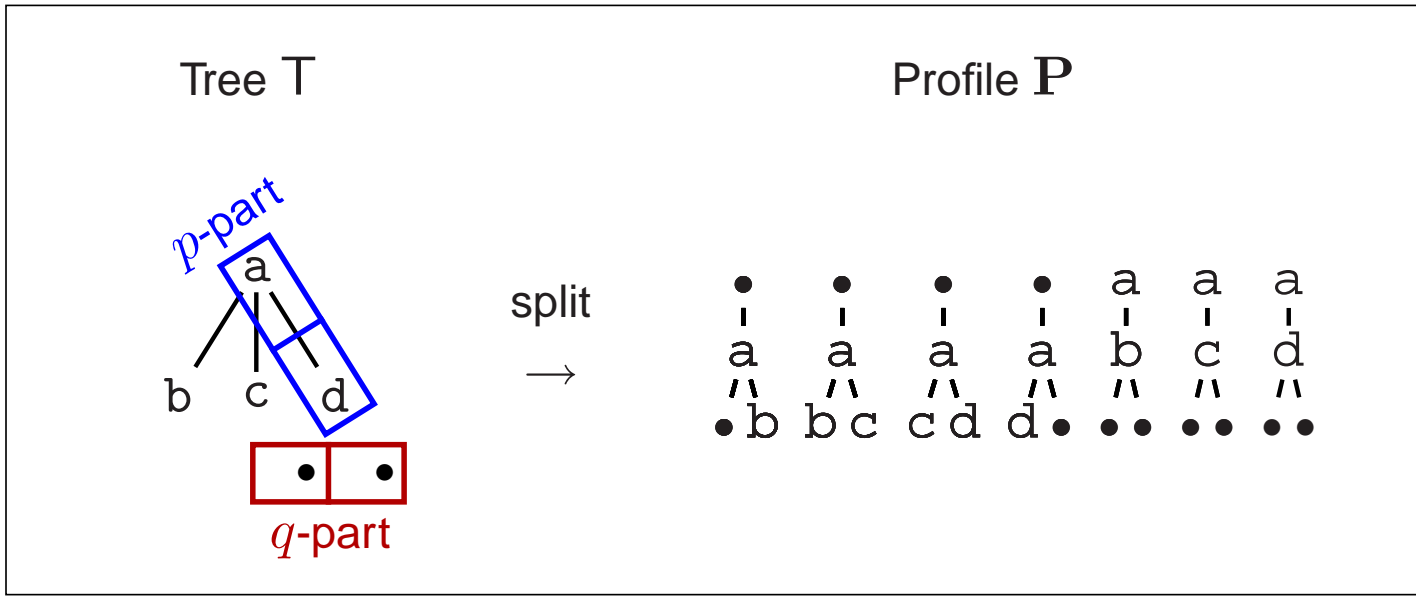
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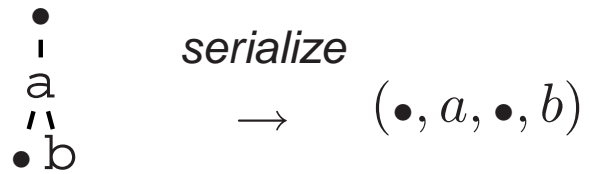
(2) Preorder serialization: *pq*-gram  $\rightarrow$  serialized *pq*-gram



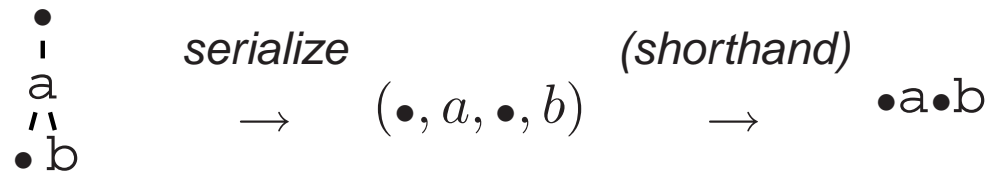
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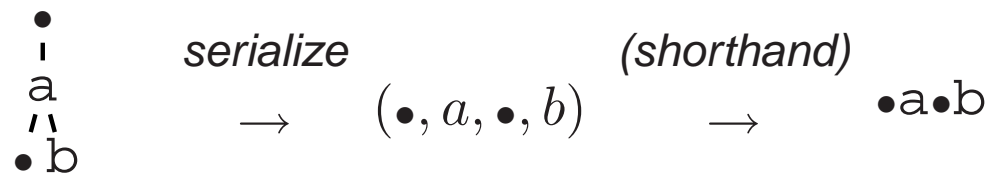
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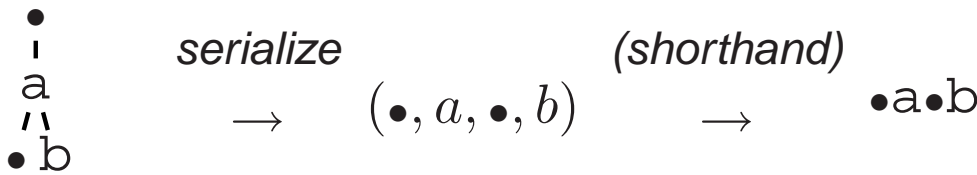


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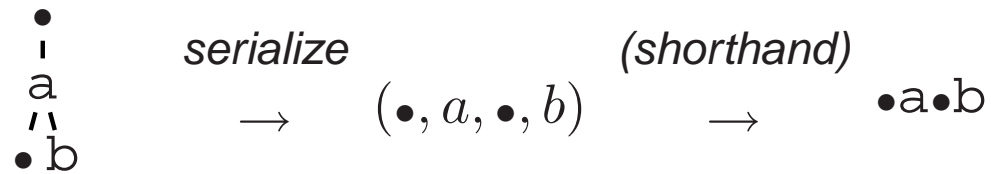
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👉 Fingerprint hash function:

node n	$\lambda(n)$
•	0
a	1
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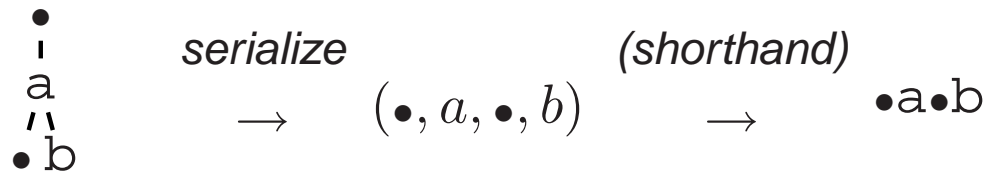
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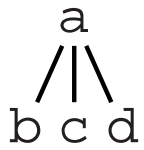
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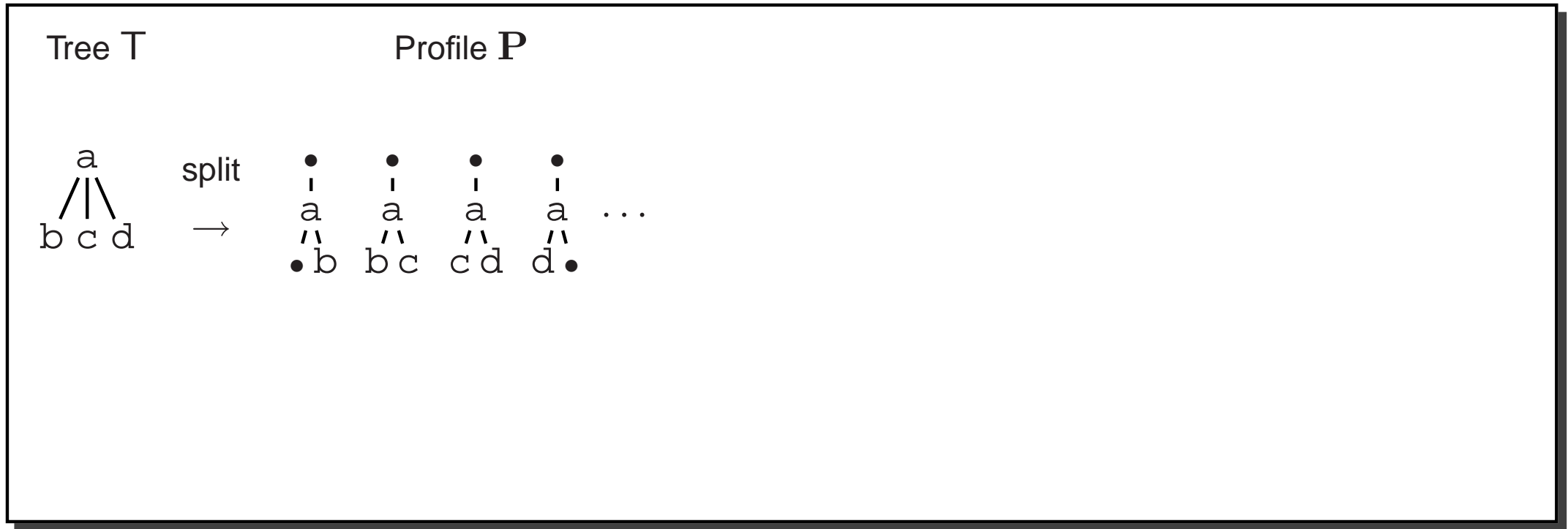
$$\begin{aligned}
 (\bullet, \text{article}, \bullet, \text{bill}) &\rightarrow 0103 \\
 (\bullet, \text{article}, \text{bill}, \text{chris}) &\rightarrow 0132 \\
 &\dots
 \end{aligned}$$

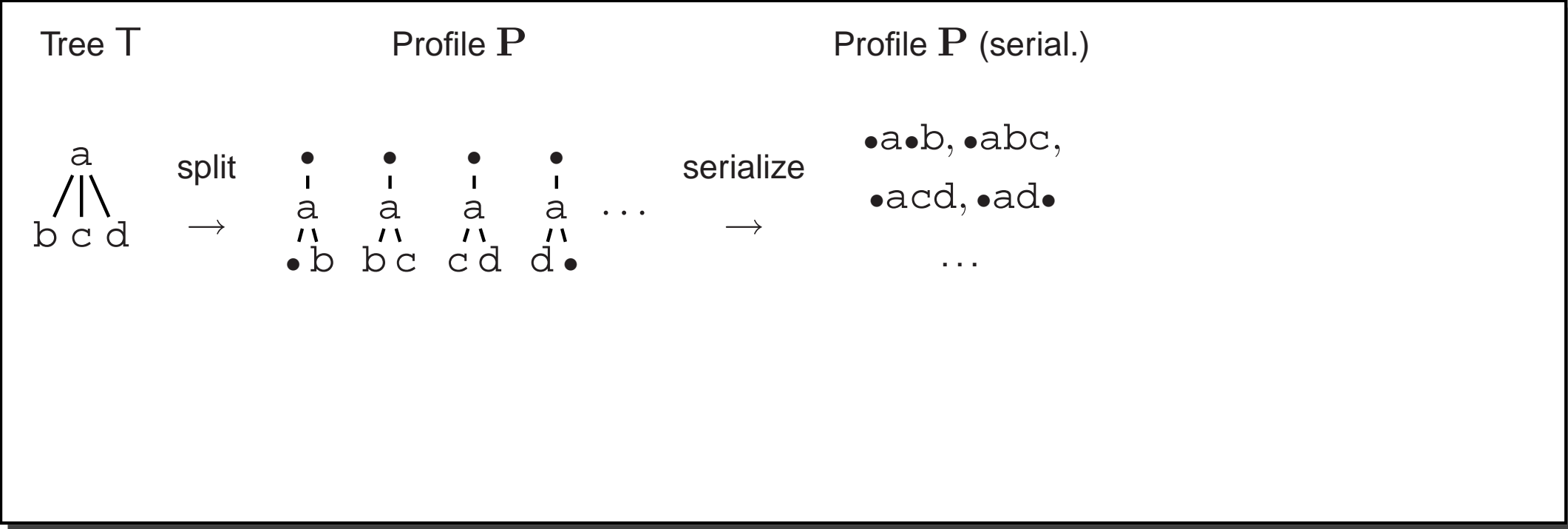


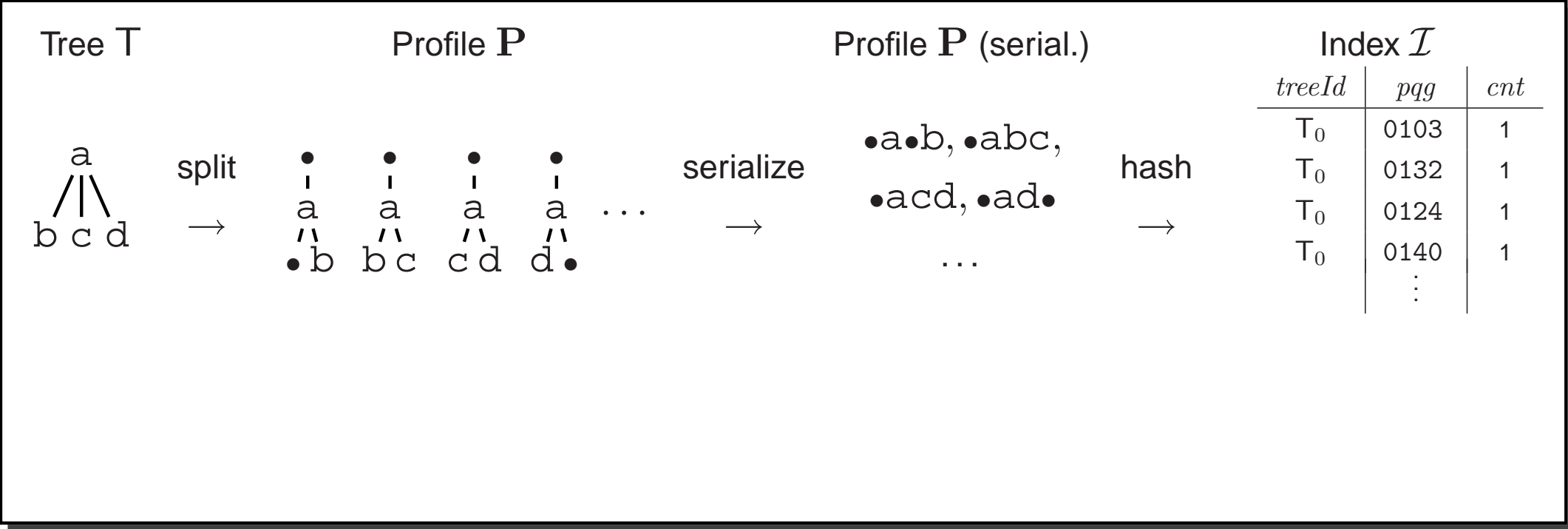


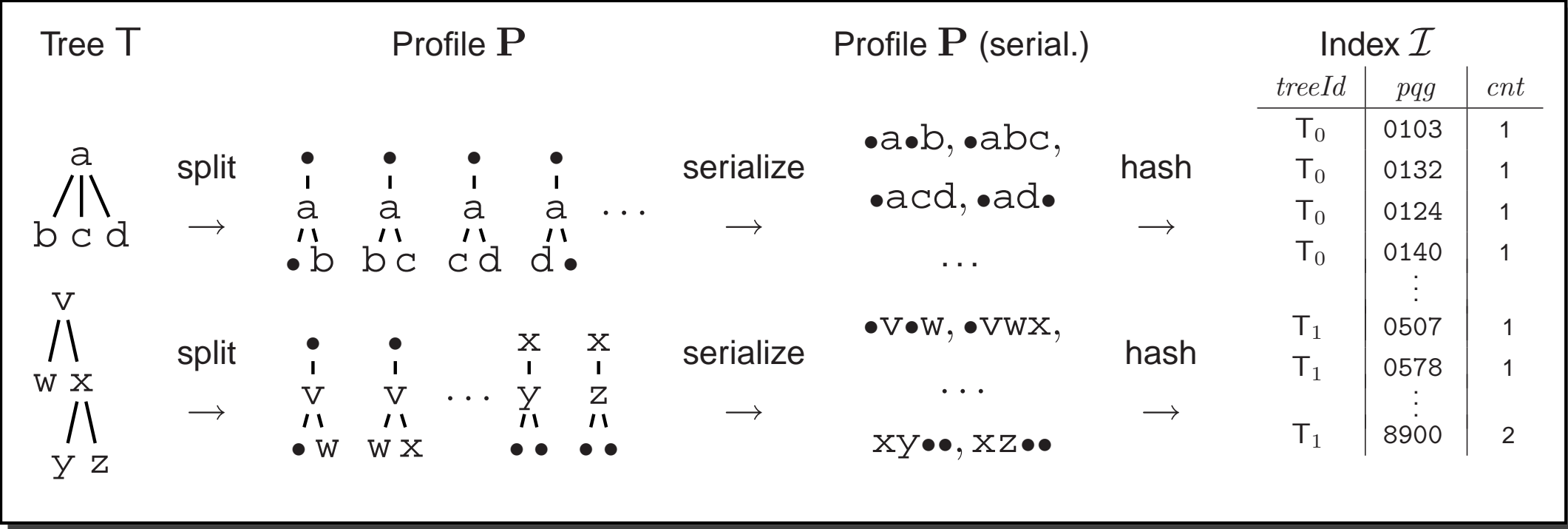
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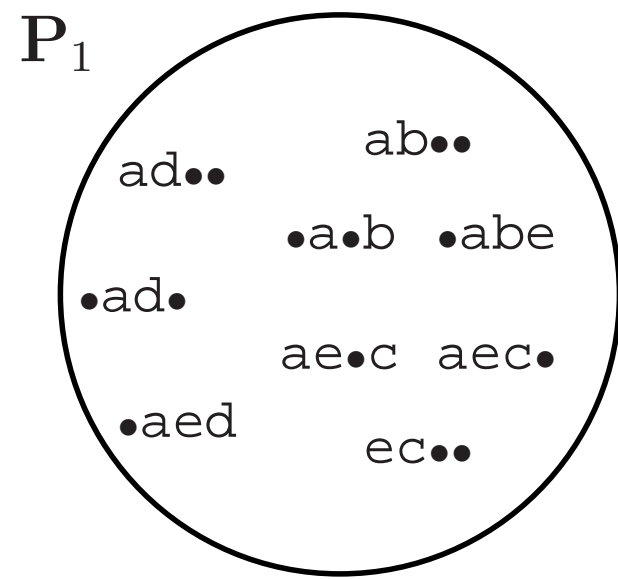


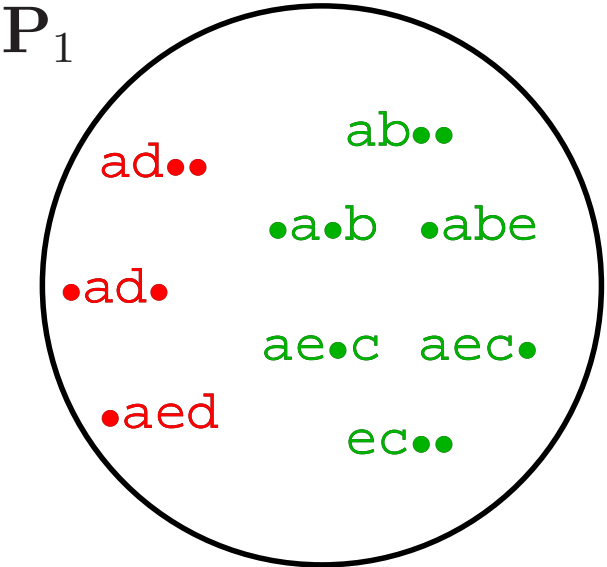
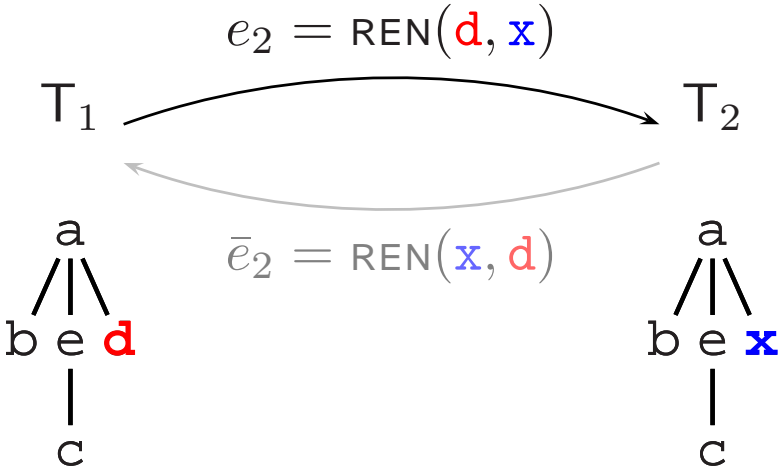




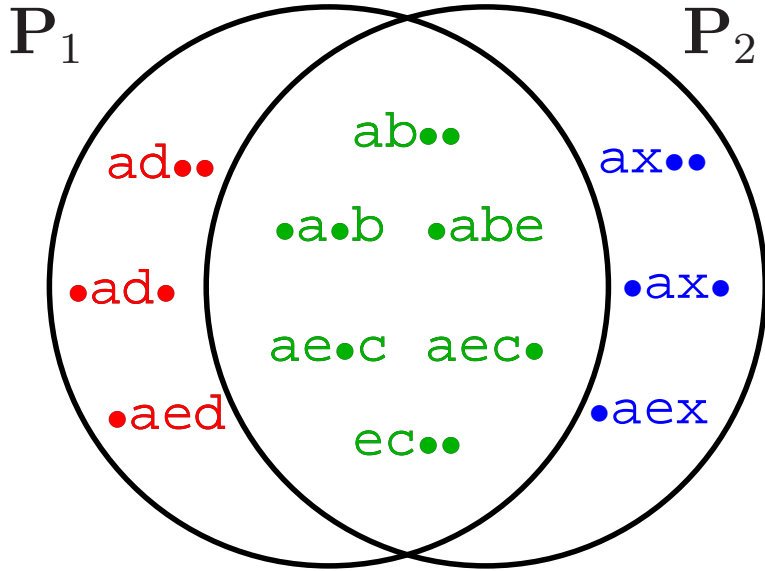
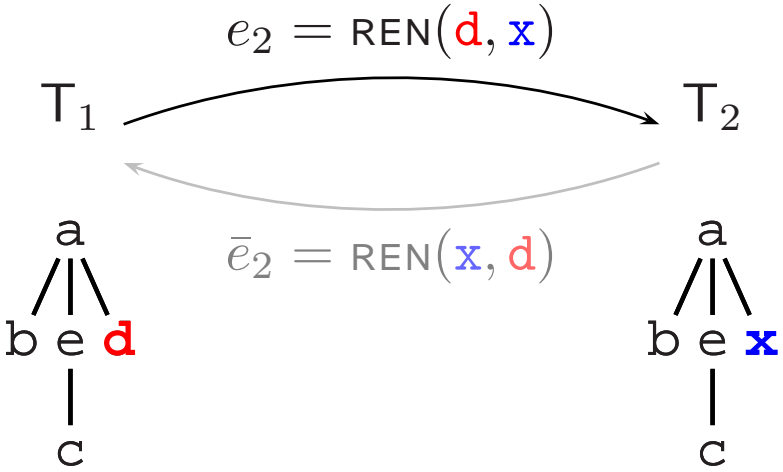


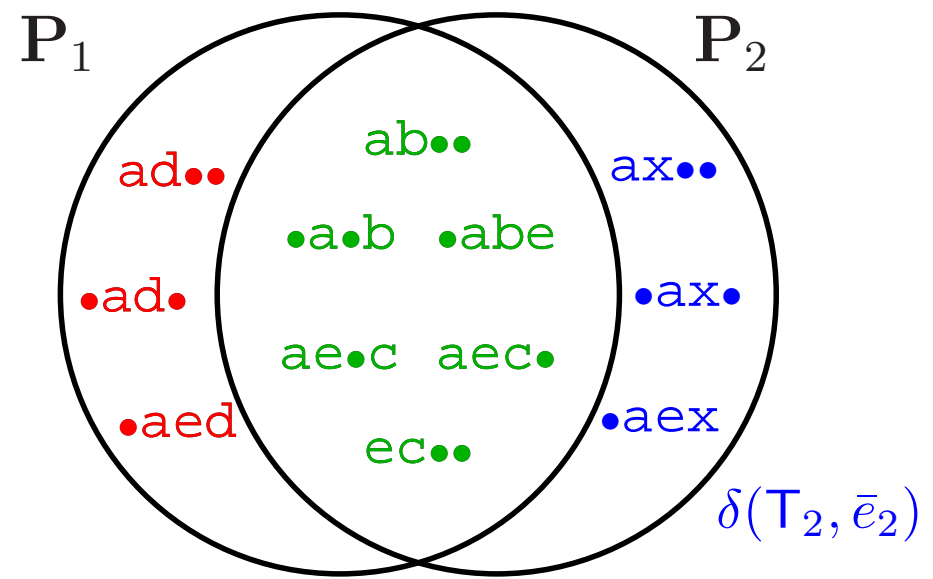
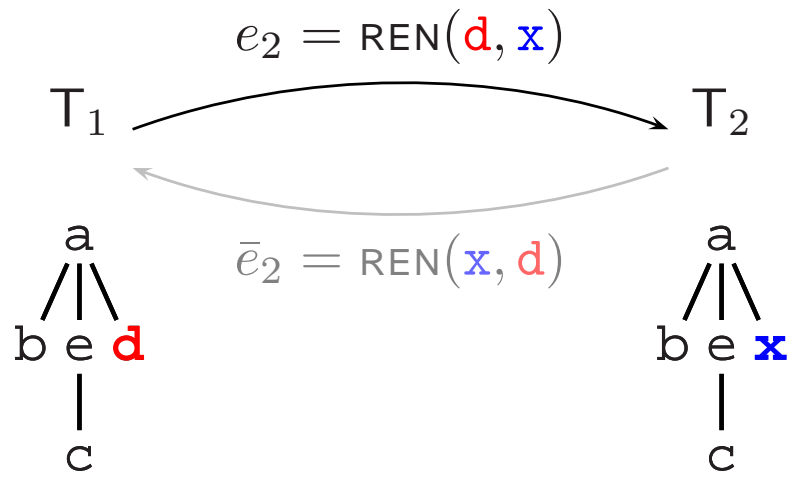






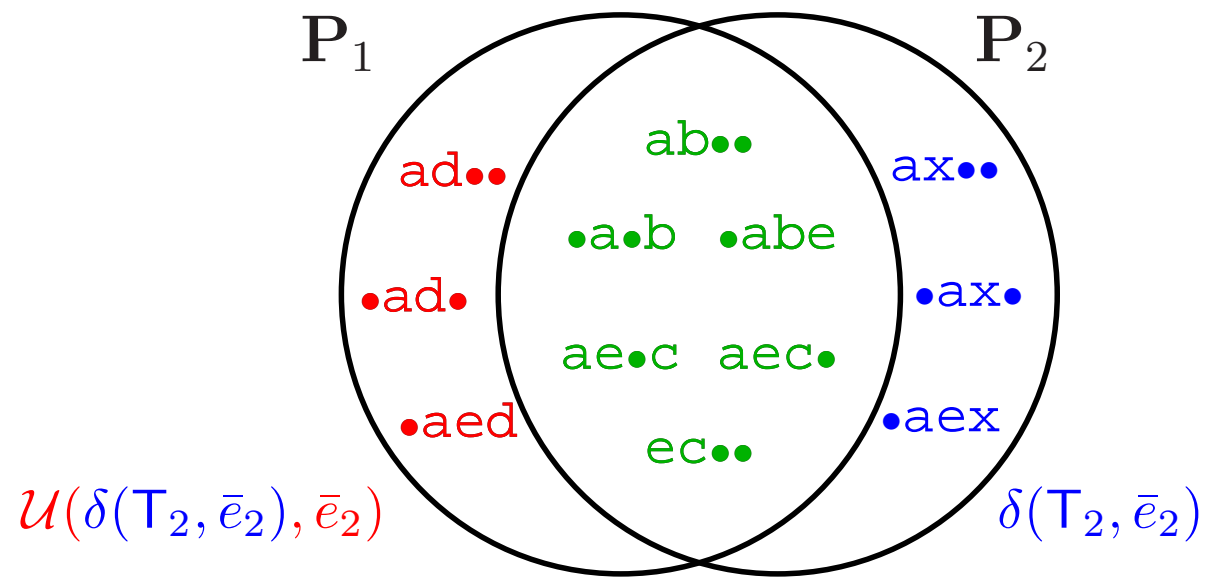
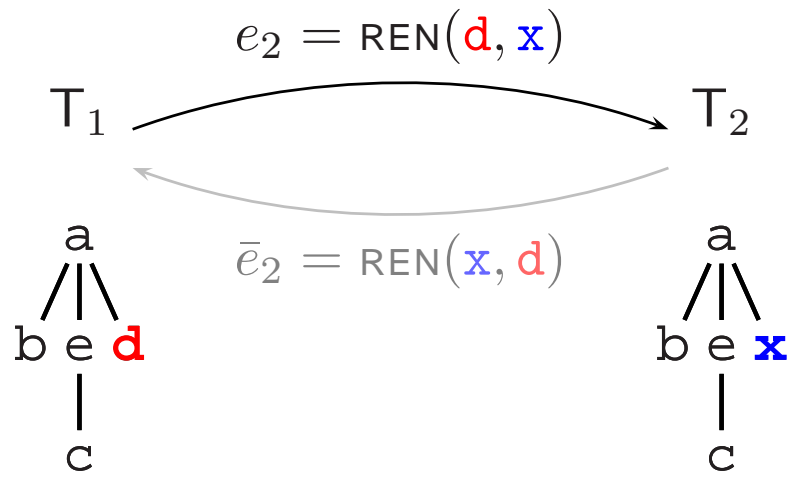






Delta Function (new *pq*-grams)

$$\delta(T_2, \bar{e}_2) = \begin{cases} P_2 \setminus P_1 & \text{if } \bar{e}_2 \text{ is defined on } T_2 \\ \emptyset & \text{otherwise} \end{cases}$$



**Profile Update Function (old *pq*-grams)**

$$\mathcal{U}(\delta(T_2, \bar{e}_2), \bar{e}_2) = \mathbf{P}_1 \setminus \mathbf{P}_2$$

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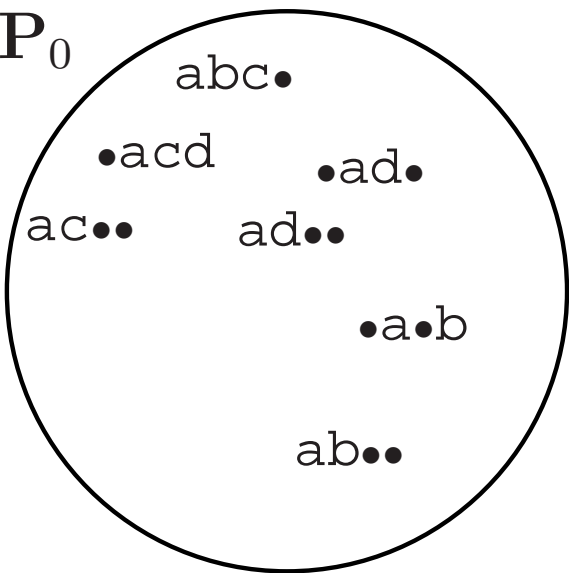
$T_0$

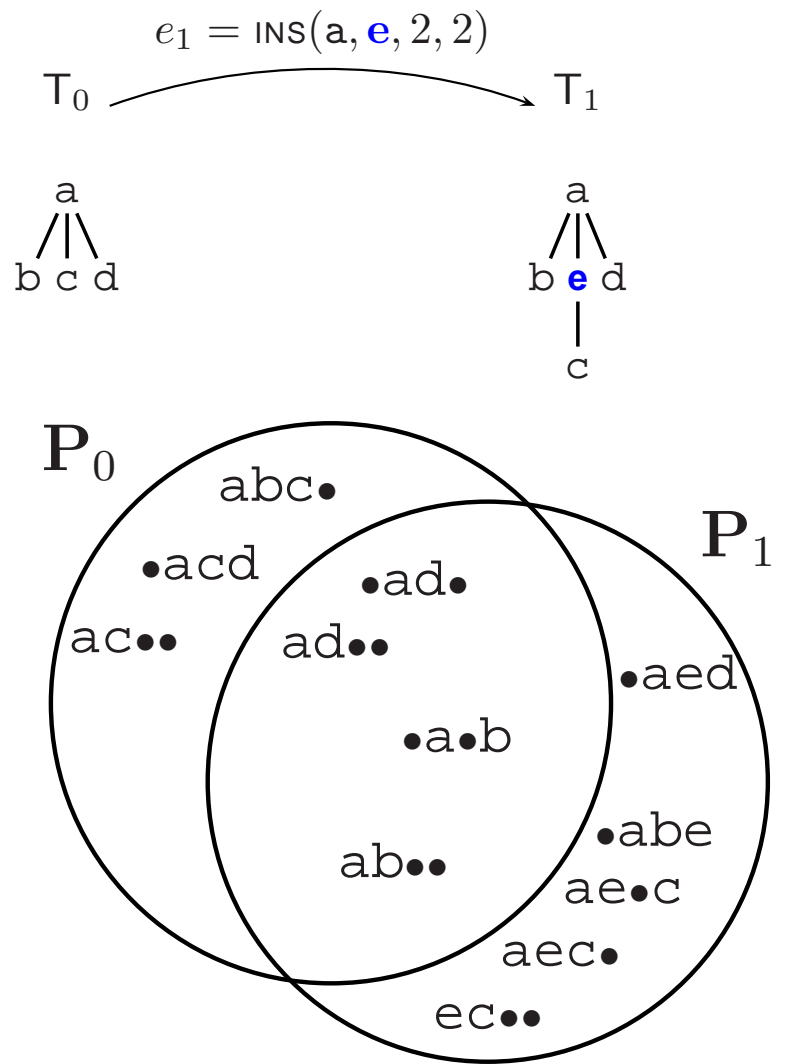


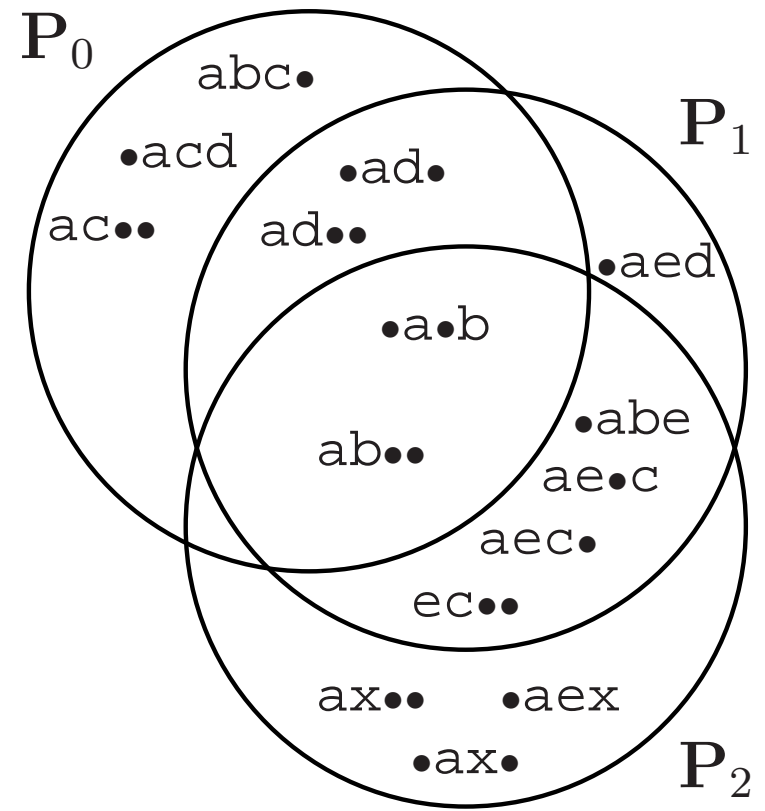
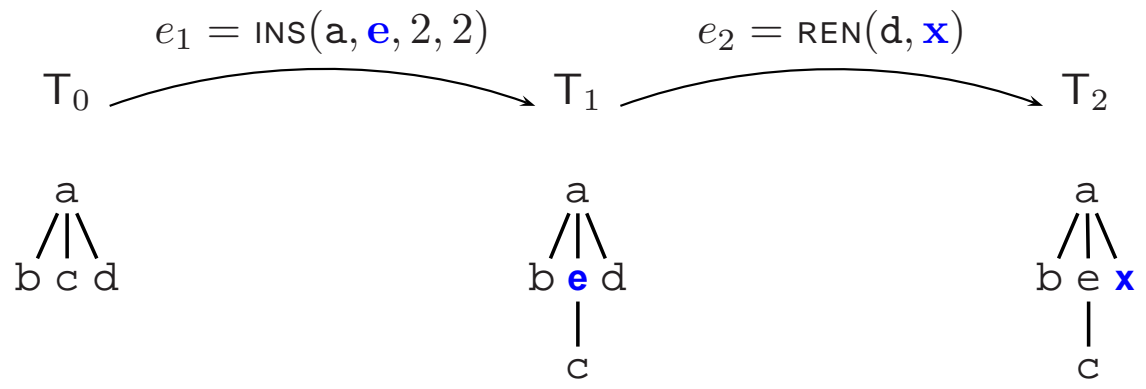
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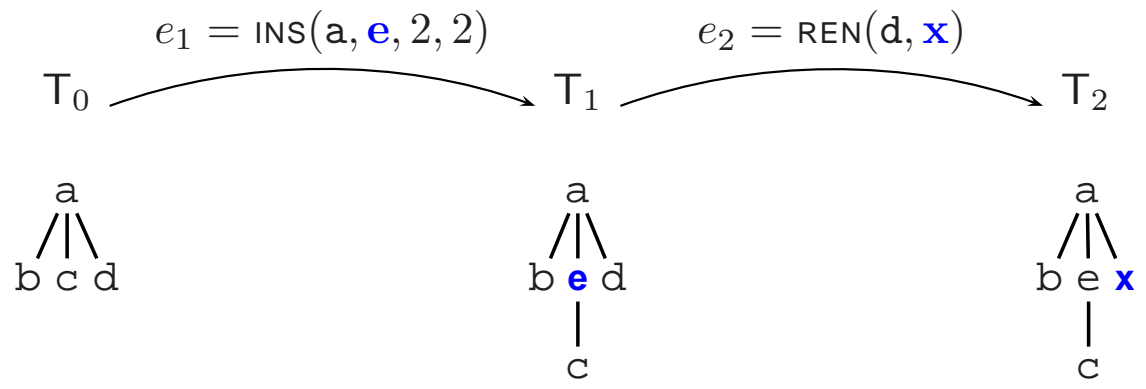


$P_0$

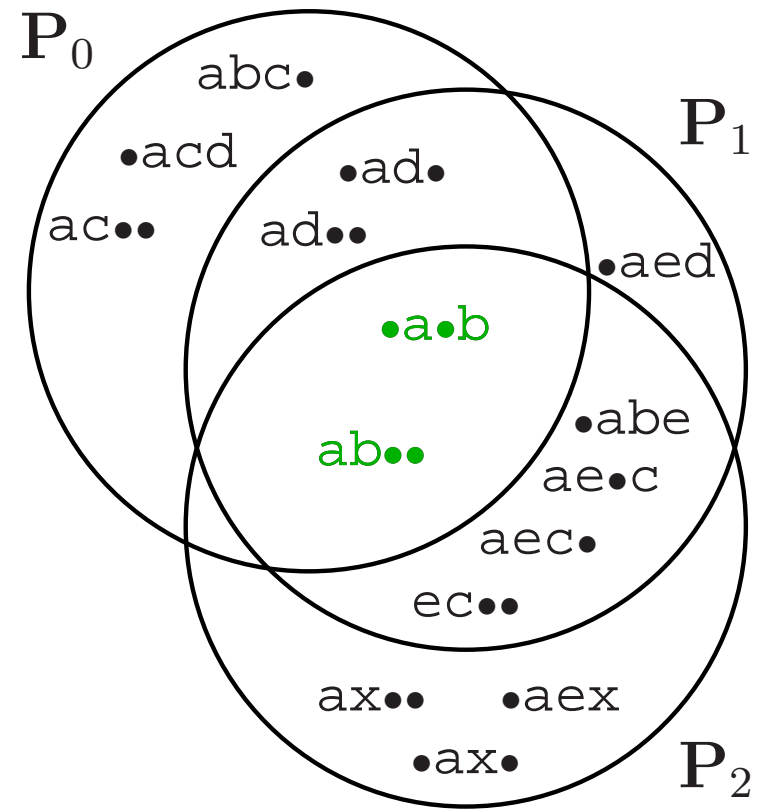






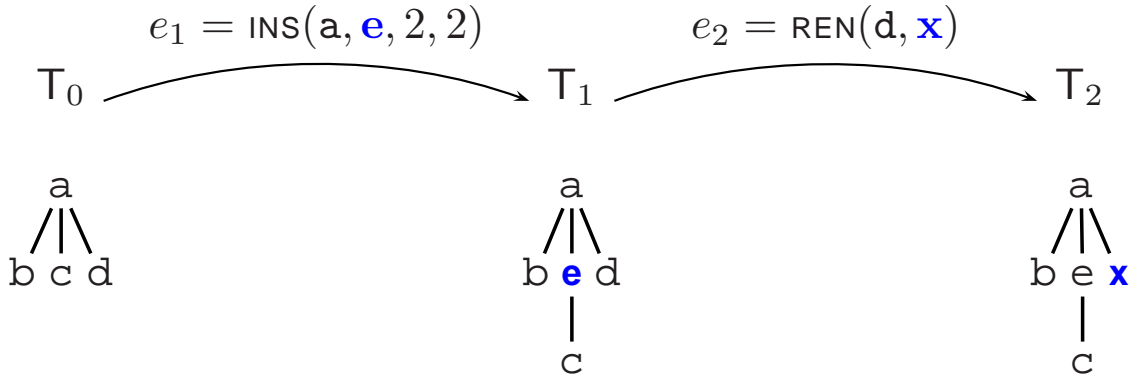


Invariant *pq*-Grams (no need for update)



$$C_n = P_0 \cap \dots \cap P_n$$



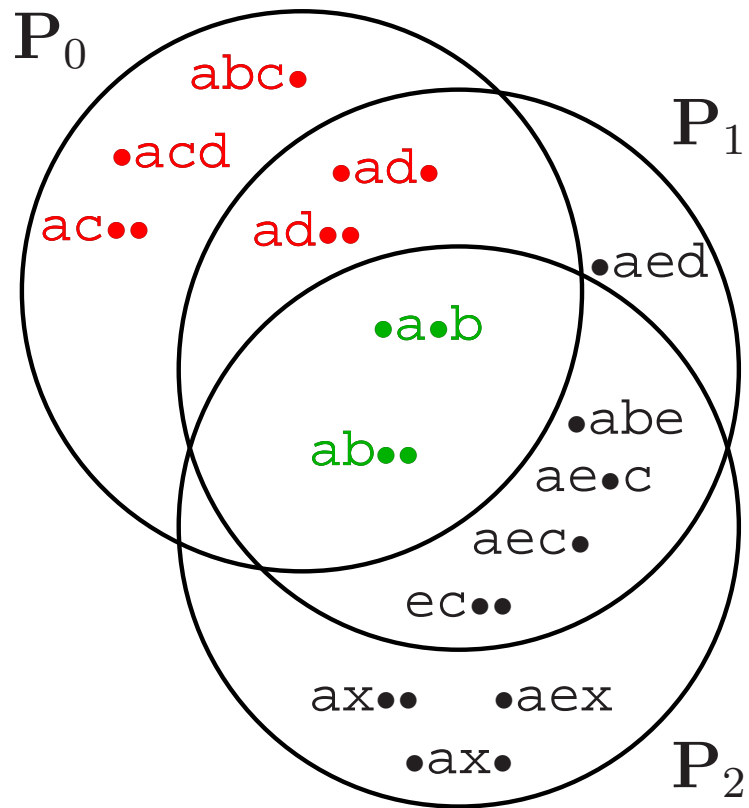


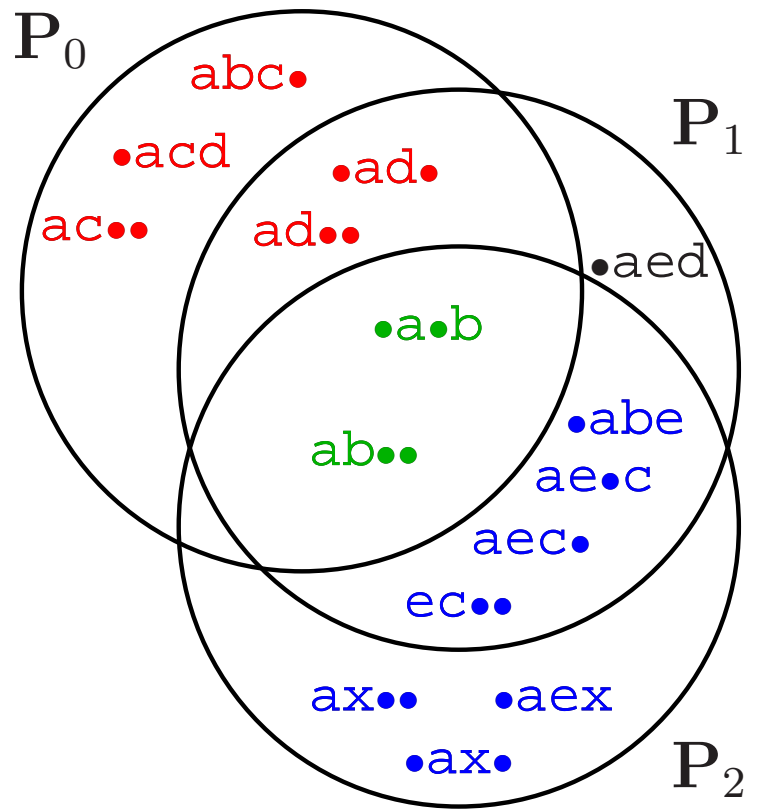
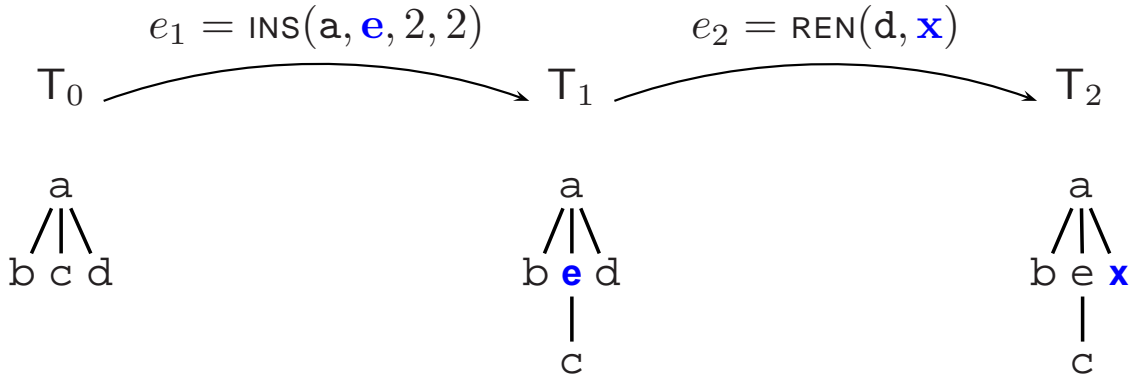
➡ Invariant *pq*-Grams (no need for update)

$$C_n = P_0 \cap \dots \cap P_n$$

➡ Old *pq*-Grams (deleted from old index  $\mathcal{I}_0$ )

$$\Delta_n^- = P_0 \setminus C_n$$





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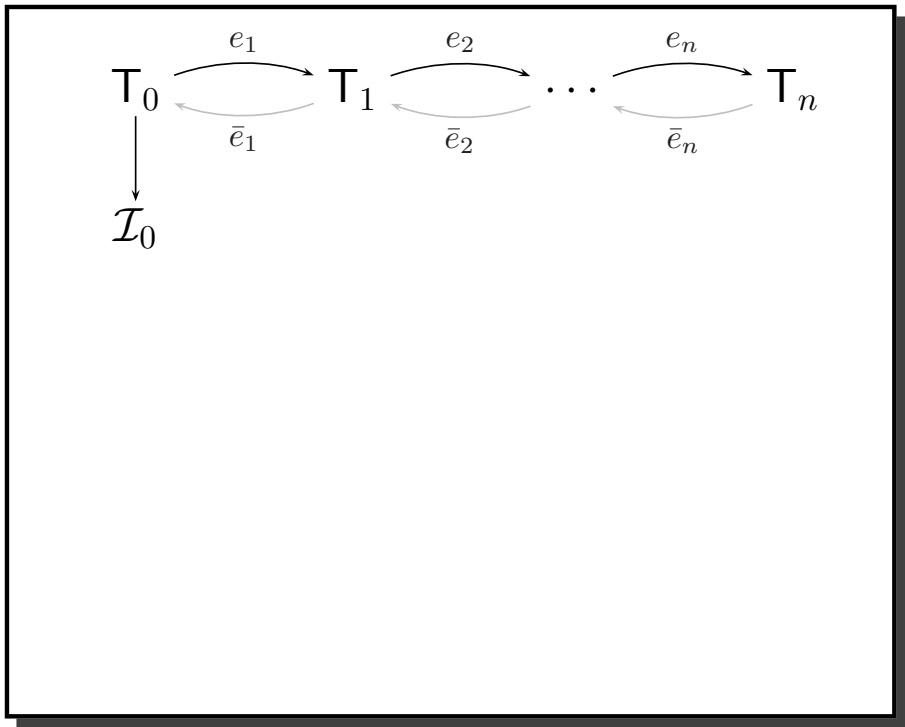
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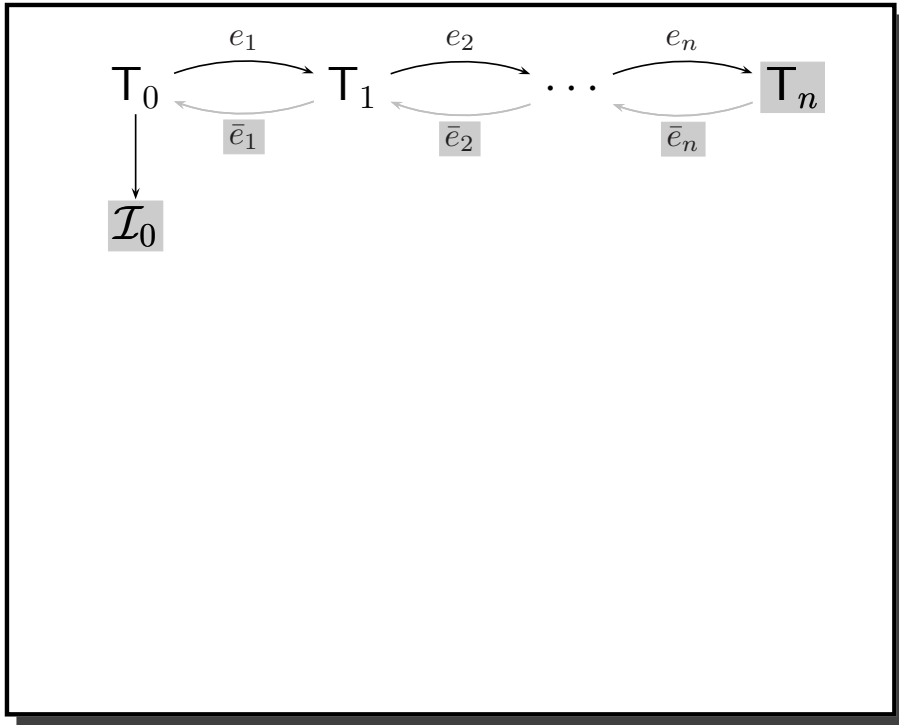
➡ Old *pq*-Grams (deleted from old index  $\mathcal{I}_0$ )

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➡ New *pq*-Grams (inserted into new index  $\mathcal{I}_n$ )

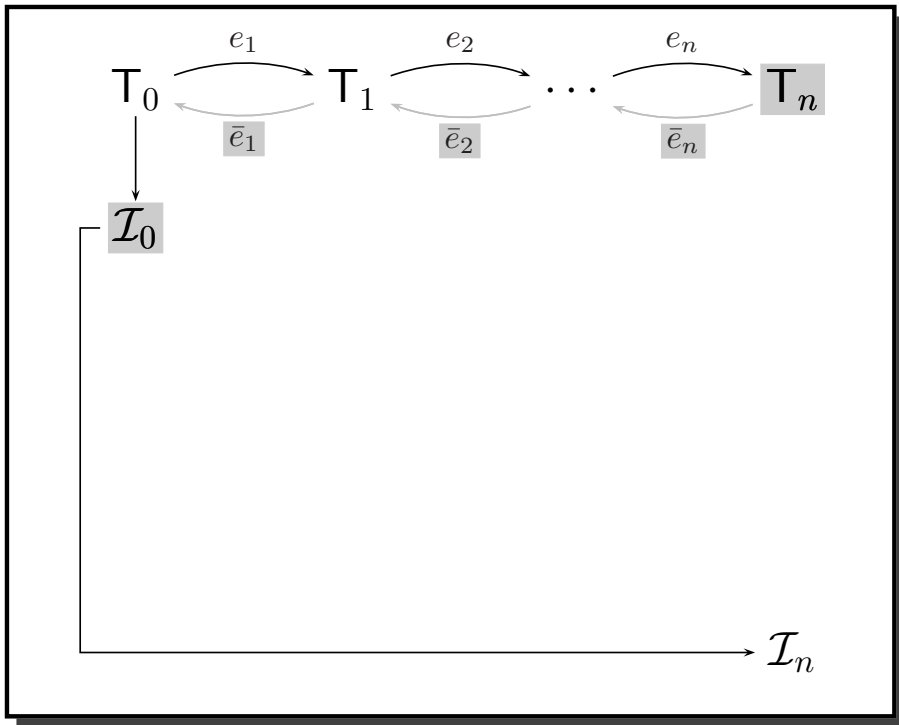
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👉 **Input:**

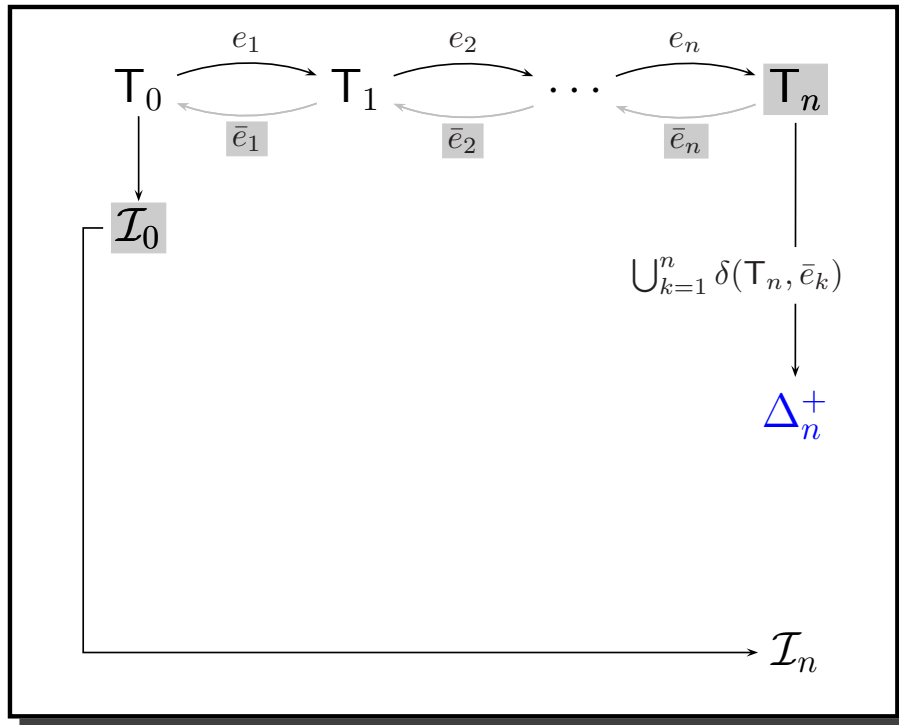
1. old index  $\mathcal{I}_0$
2. log of inverse edit operations  $(\bar{e}_n, \dots, \bar{e}_1)$
3. resulting tree  $T_n$



👉 **Input:**

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☞ **Input:**

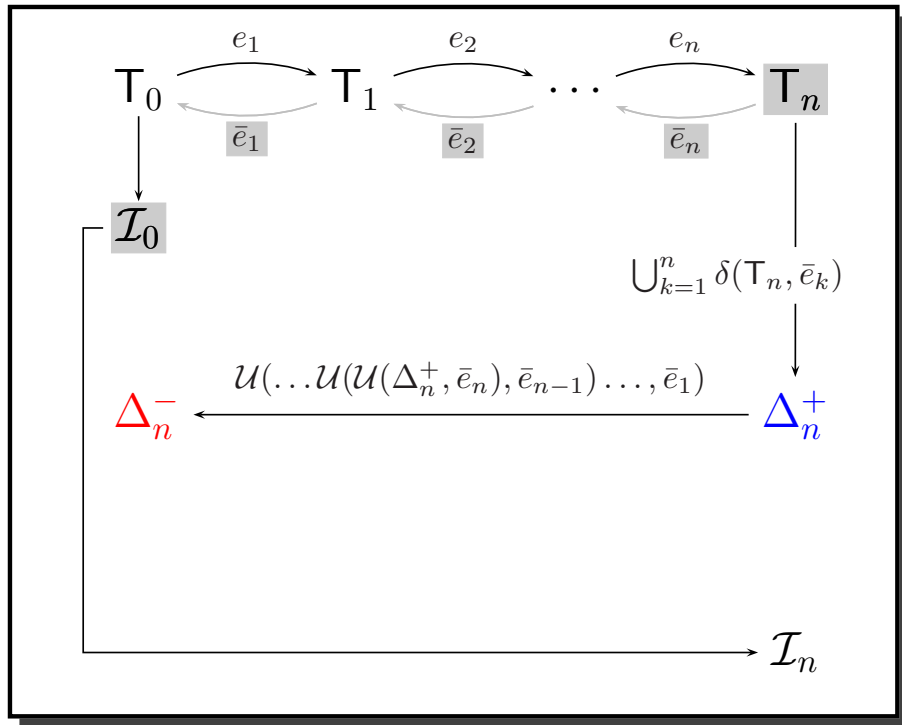
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☞ **Solution:** 3 Steps

1. compute **new pq-grams**:

$$\Delta_n^+ = \delta(T_n, \bar{e}_1) \cup \dots \cup \delta(T_n, \bar{e}_n)$$



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1. old index  $\mathcal{I}_0$
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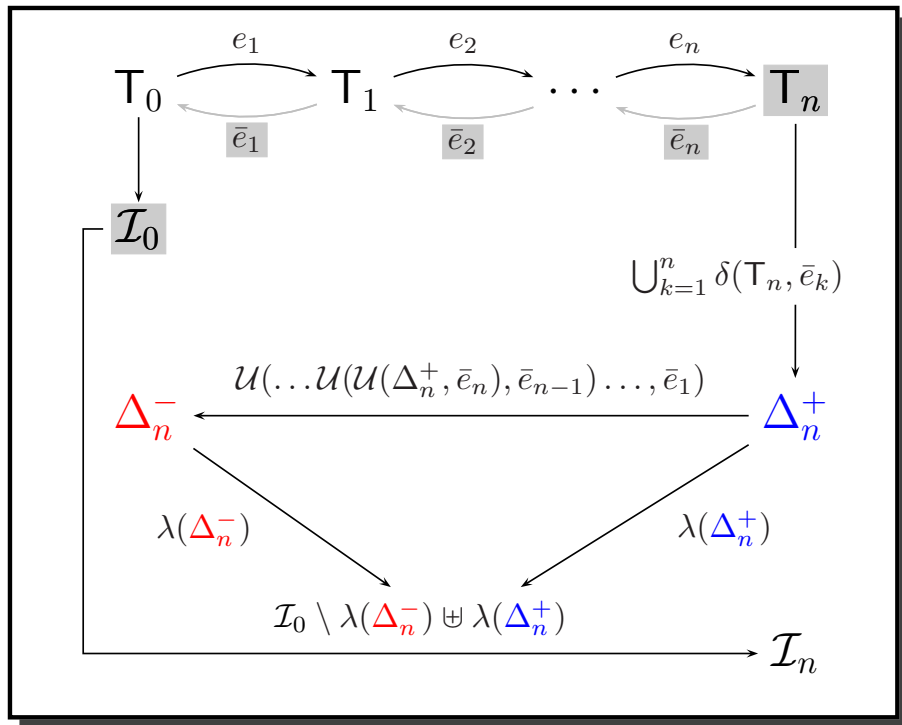
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2. compute **old pq-grams**:

$$\Delta_n^- = \mathcal{U}(\dots \mathcal{U}(\mathcal{U}(\Delta_n^+, \bar{e}_n), \bar{e}_{n-1}) \dots, \bar{e}_1)$$



## Input:

1. old index  $\mathcal{I}_0$
2. log of inverse edit operations  $(\bar{e}_n, \dots, \bar{e}_1)$
3. resulting tree  $T_n$

## Output: new index $\mathcal{I}_n$

## Solution: 3 Steps

1. compute **new pq-grams**:

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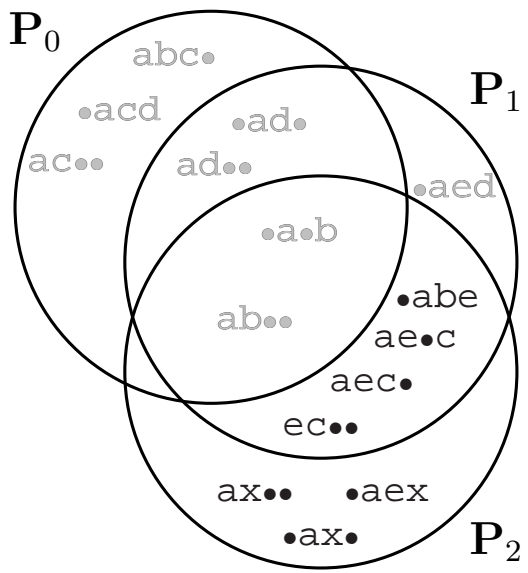
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$$\Delta_n^- = \mathcal{U}(\dots \mathcal{U}(\mathcal{U}(\Delta_n^+, \bar{e}_n), \bar{e}_{n-1}), \dots, \bar{e}_1)$$

3. **update index**:

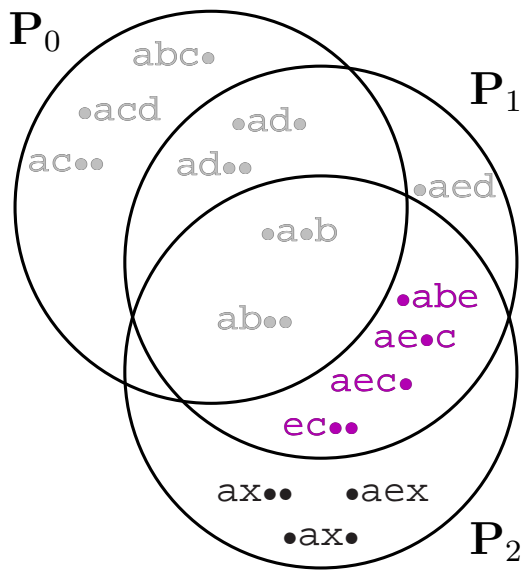
$$\mathcal{I}_n = \mathcal{I}_0 \setminus \lambda(\Delta_n^-) \uplus \lambda(\Delta_n^+)$$



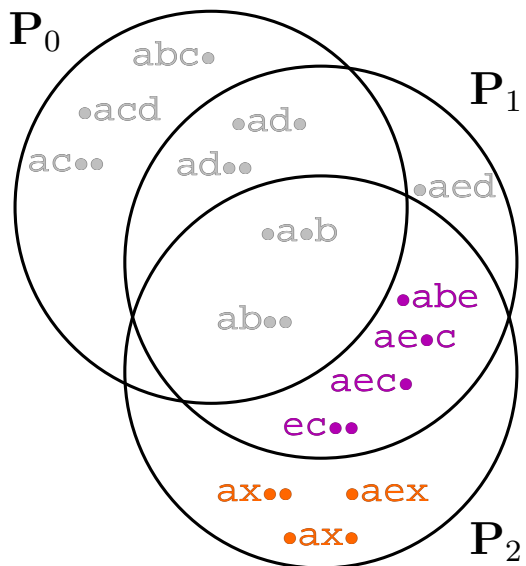


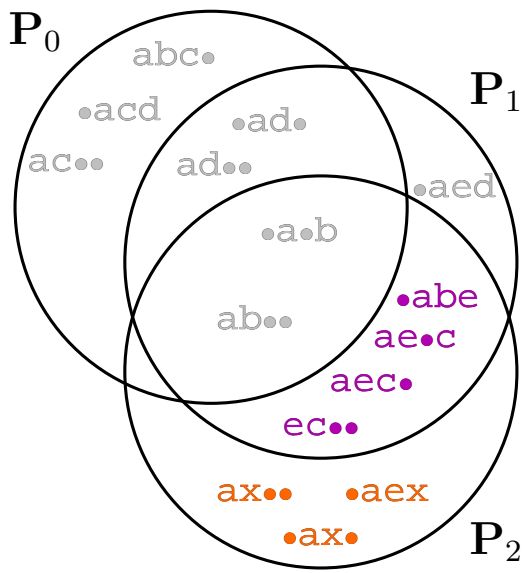
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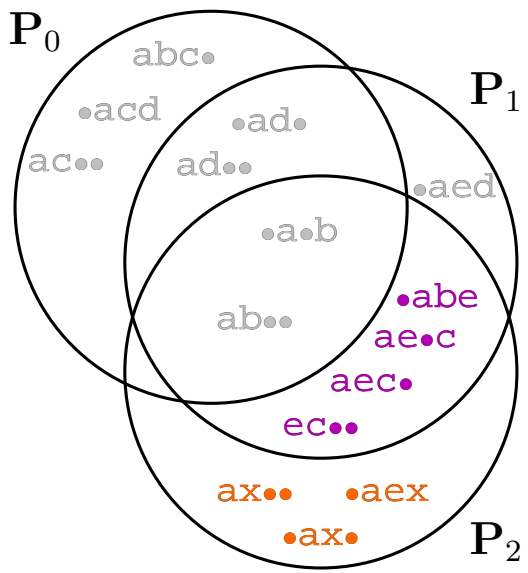


$$\Delta_2^+ = [\delta(T_1, \bar{e}_1) \cap \mathbf{P}_2] \cup \delta(T_2, \bar{e}_2)$$

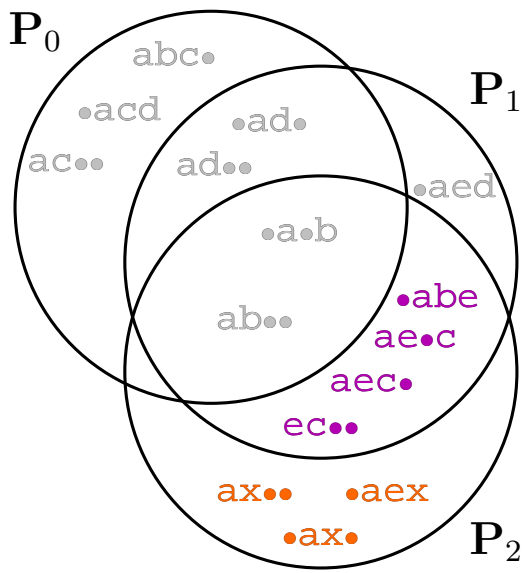




$$\Delta_2^+ = [\delta(T_1, \bar{e}_1) \cap P_2] \cup \underbrace{\delta(T_2, \bar{e}_2)}_{\text{OK}}$$

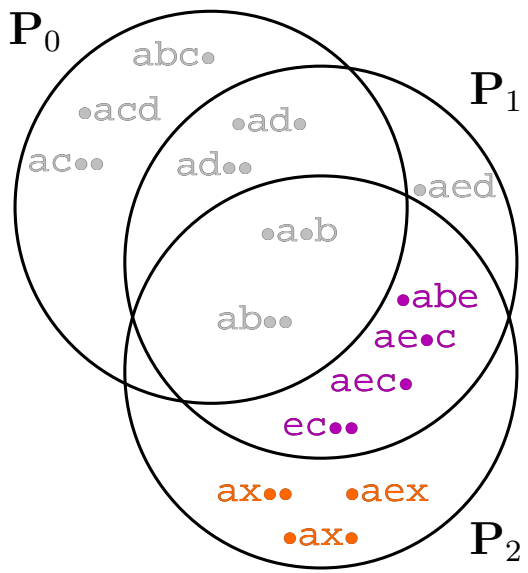


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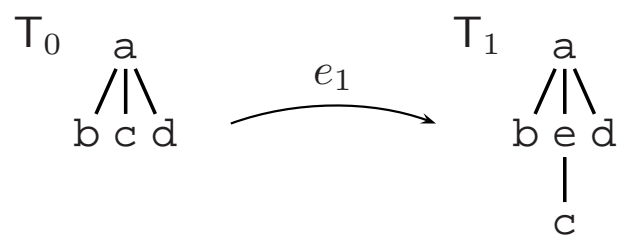
➔ Compute  $\delta$  on wrong tree ( $T_2$ ) and fix it

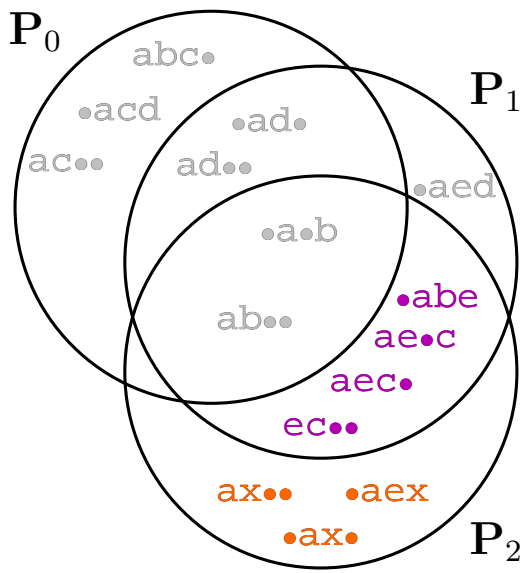


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Reality:

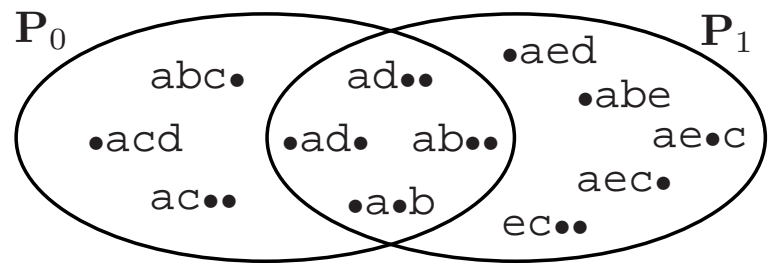
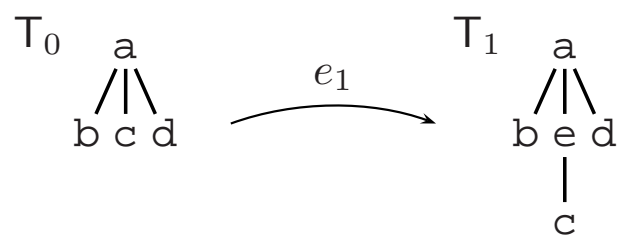




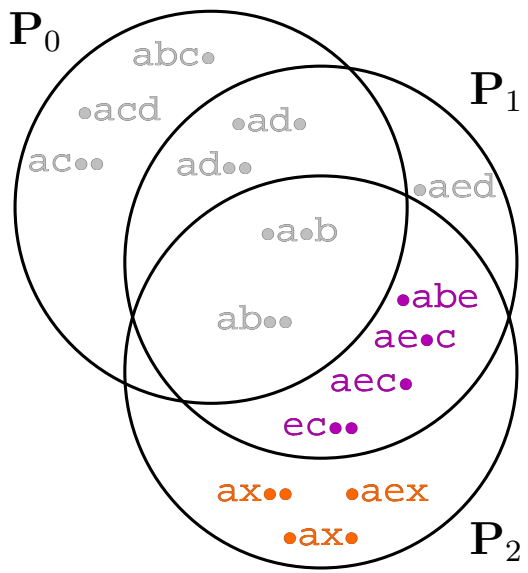
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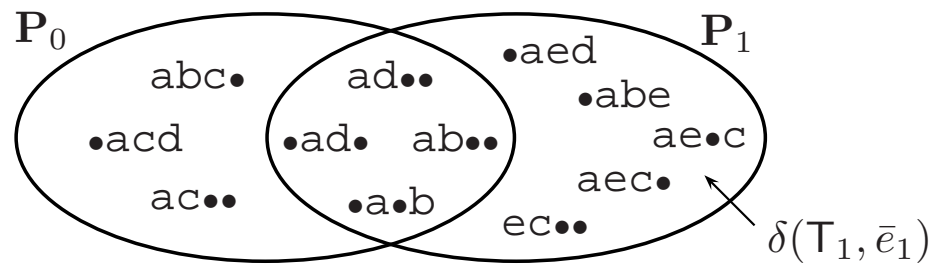
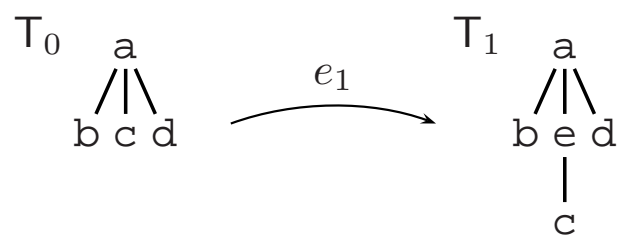


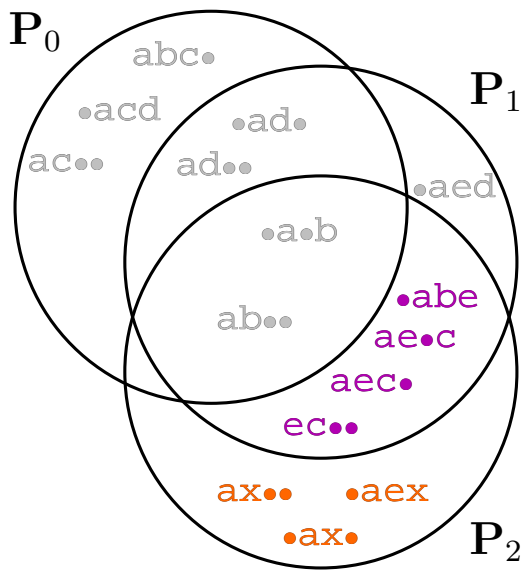


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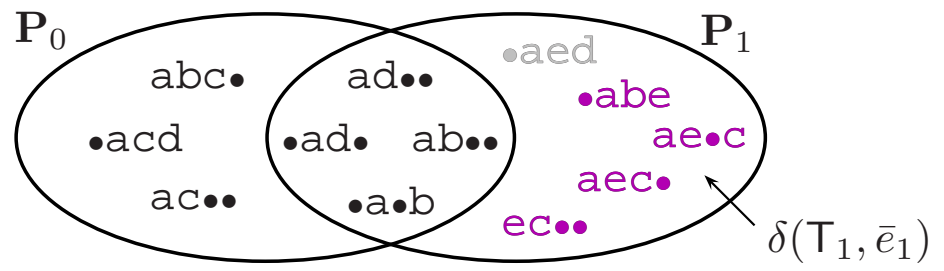
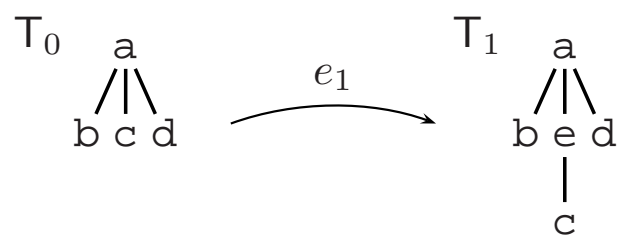


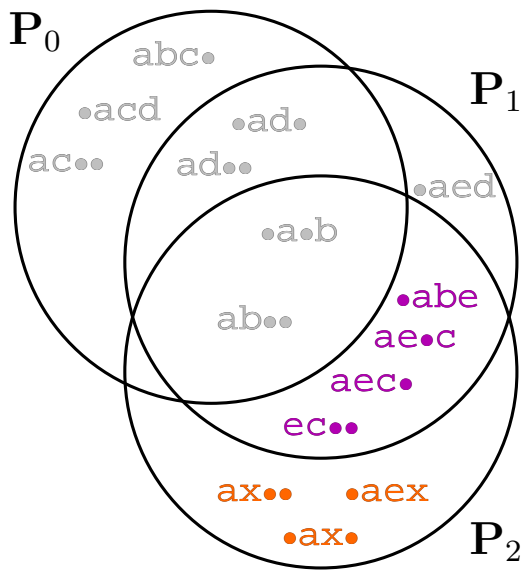


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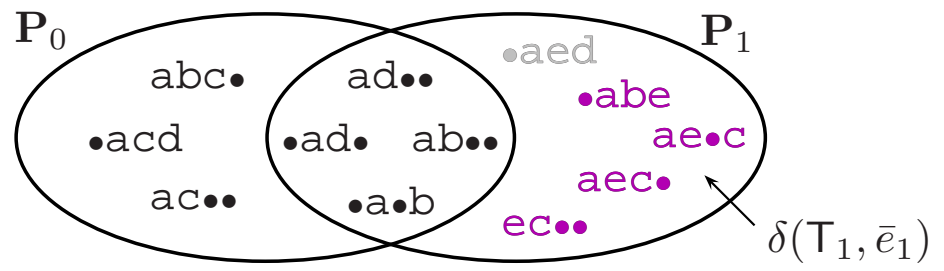
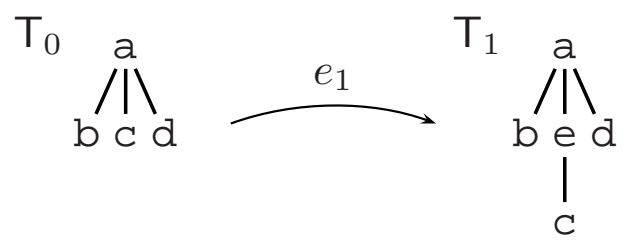




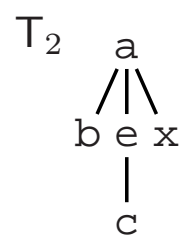
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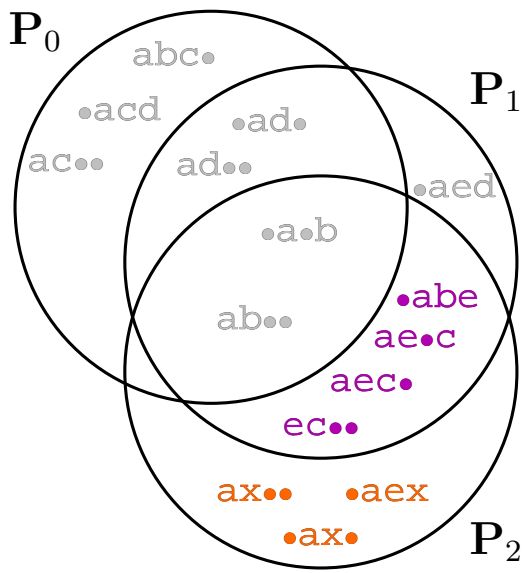
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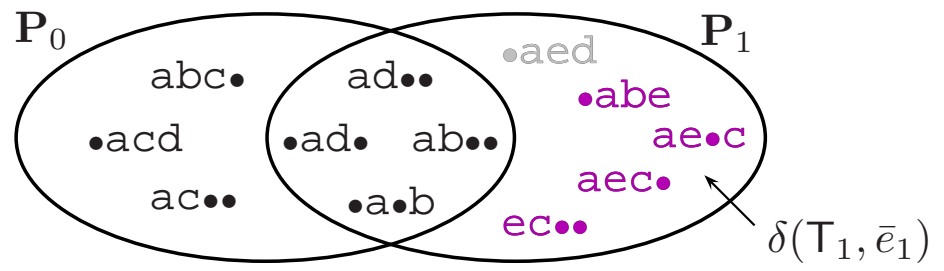
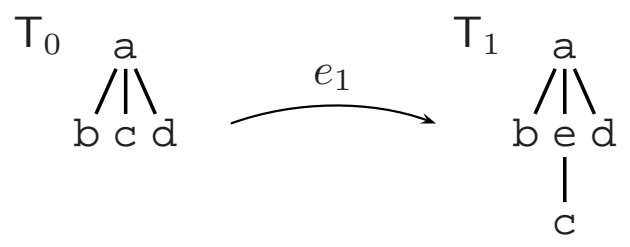




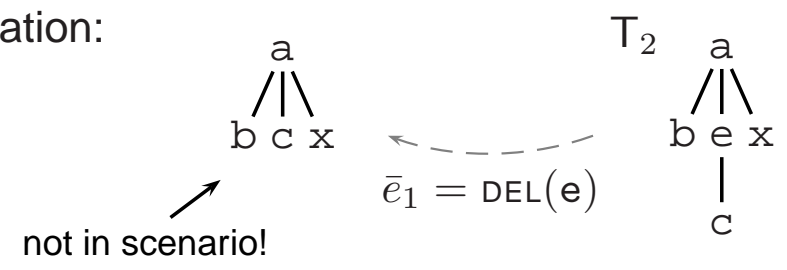
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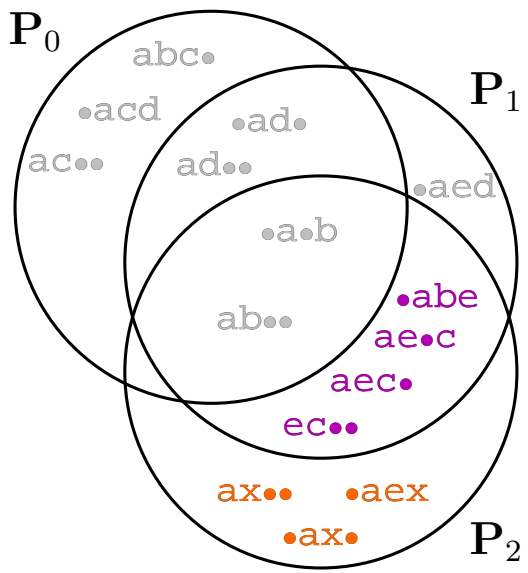
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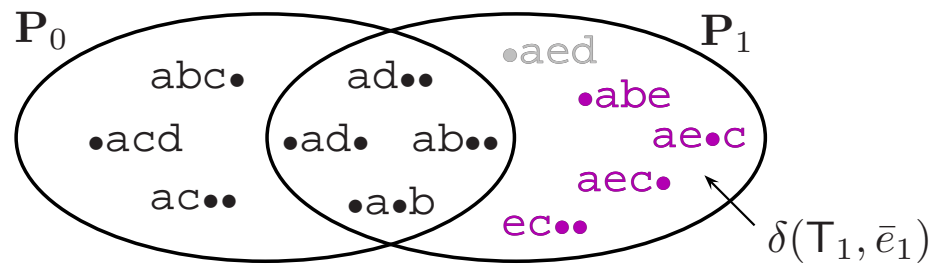
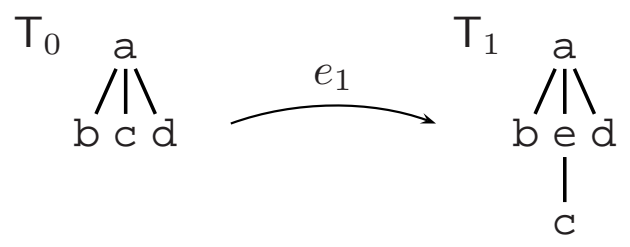




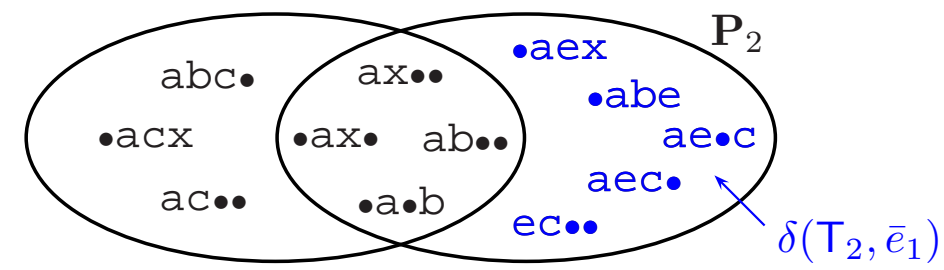
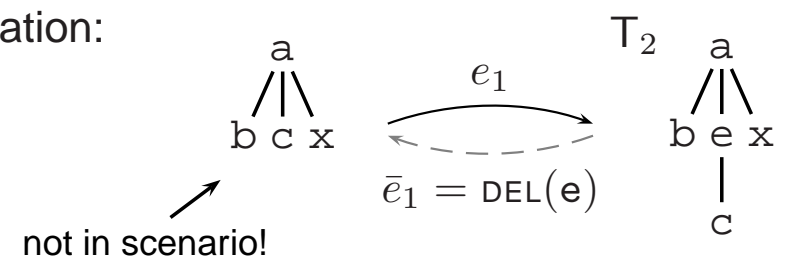
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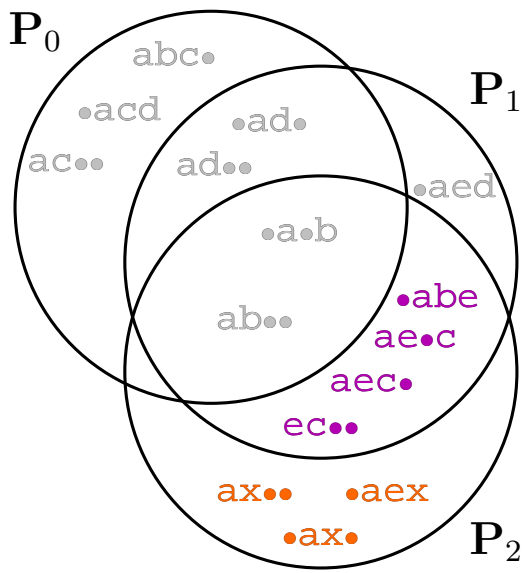
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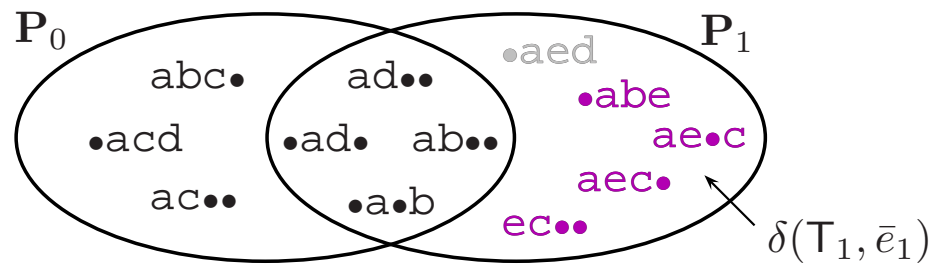
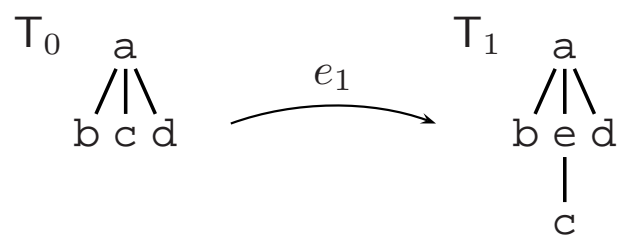




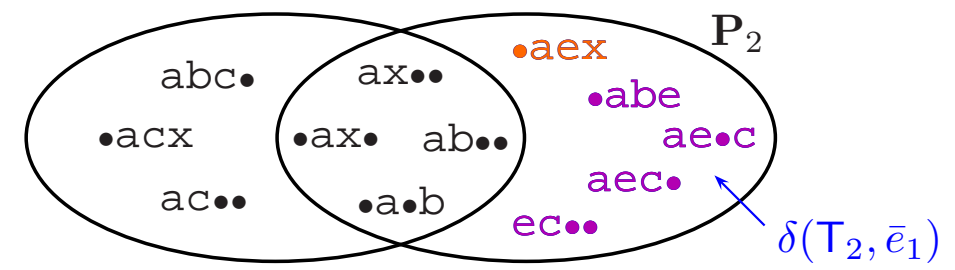
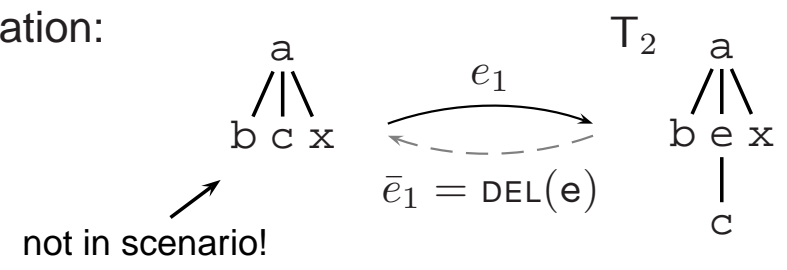
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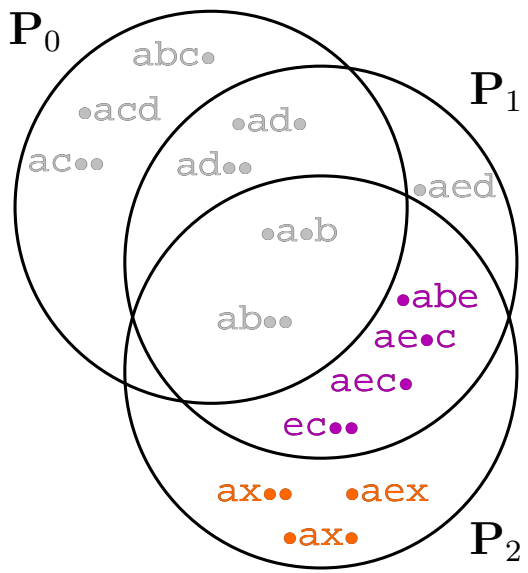
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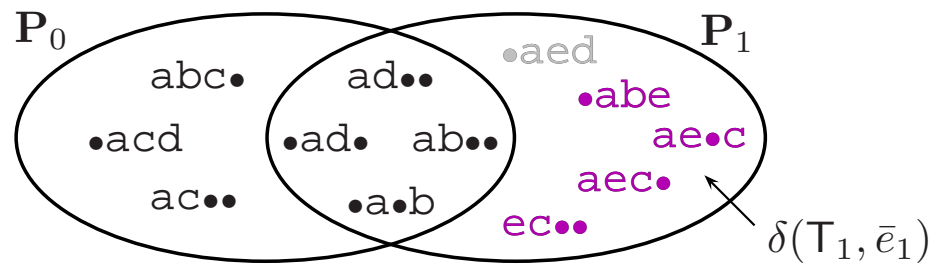
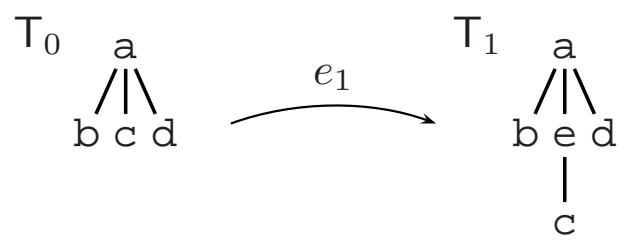


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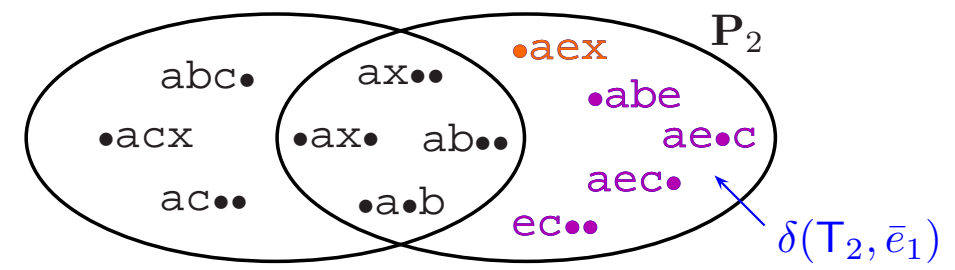
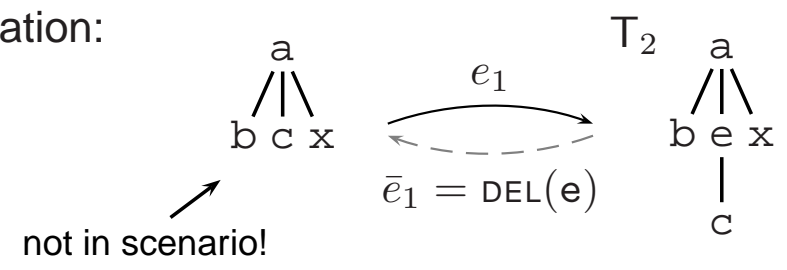
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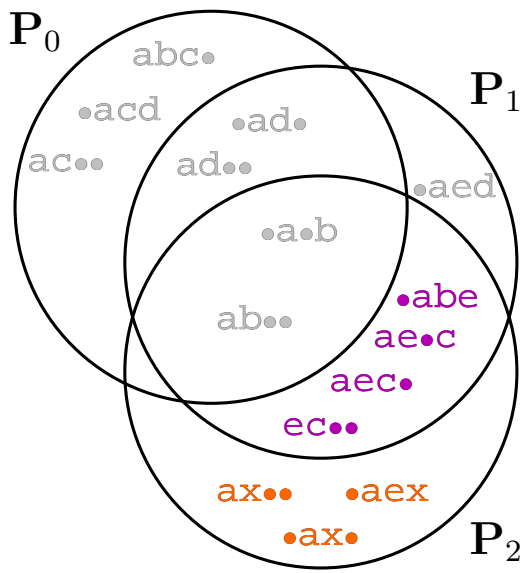
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Reality:



Computation:





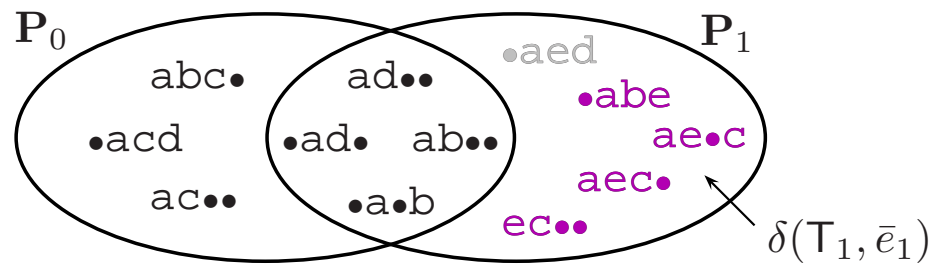
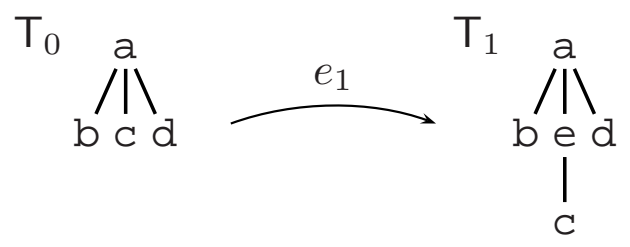
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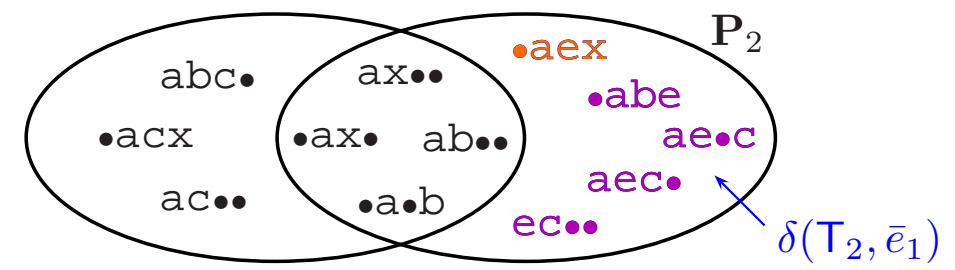
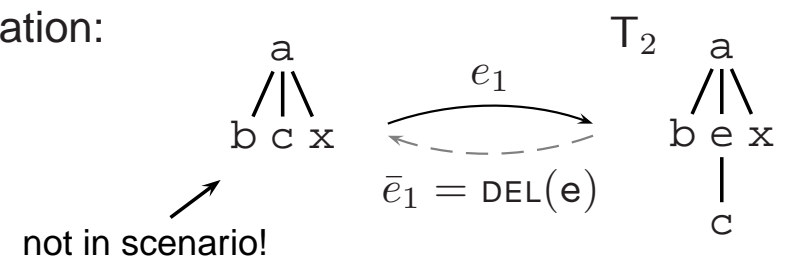
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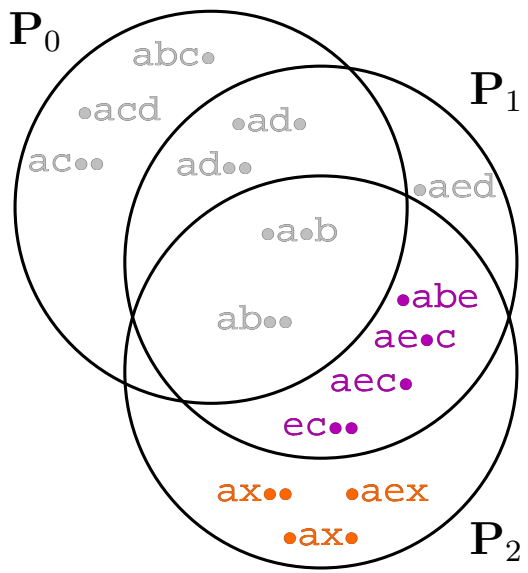
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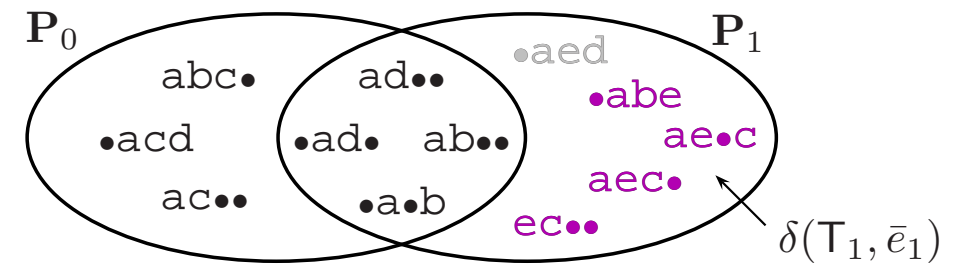
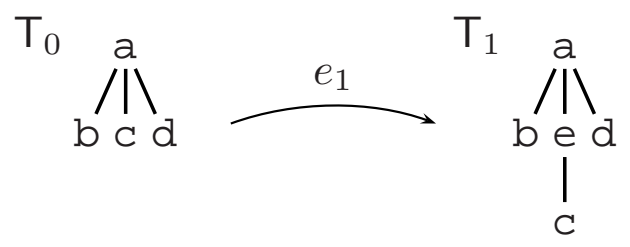
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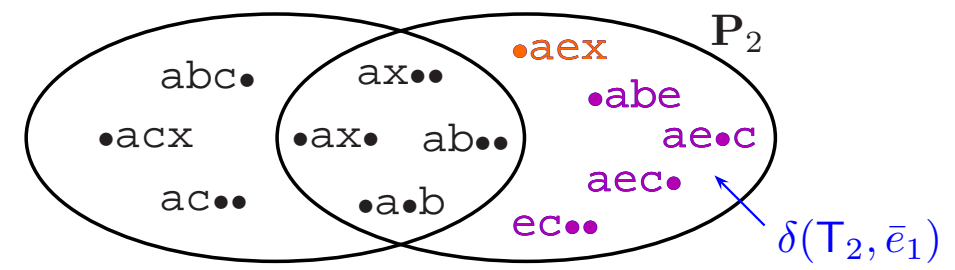
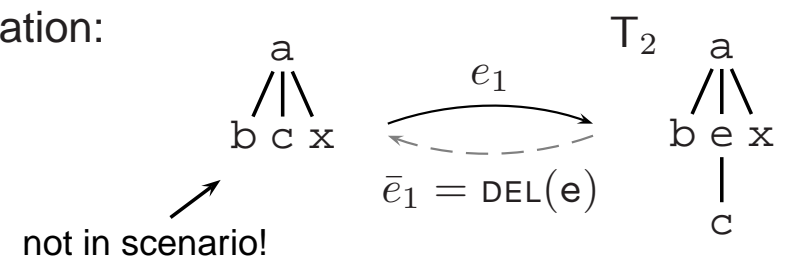
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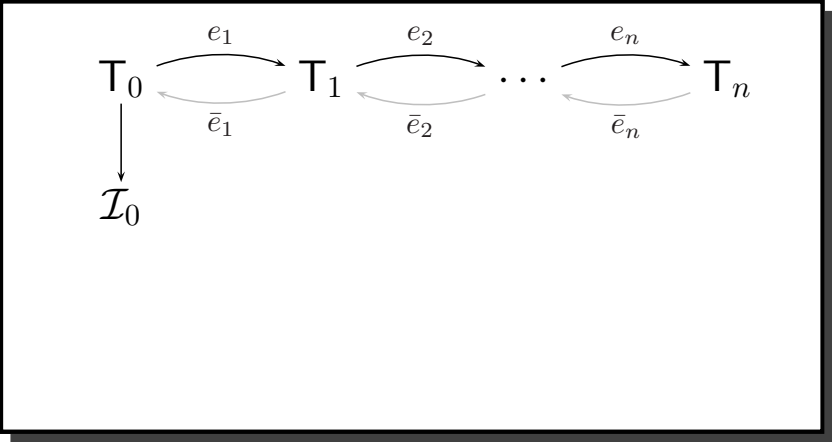
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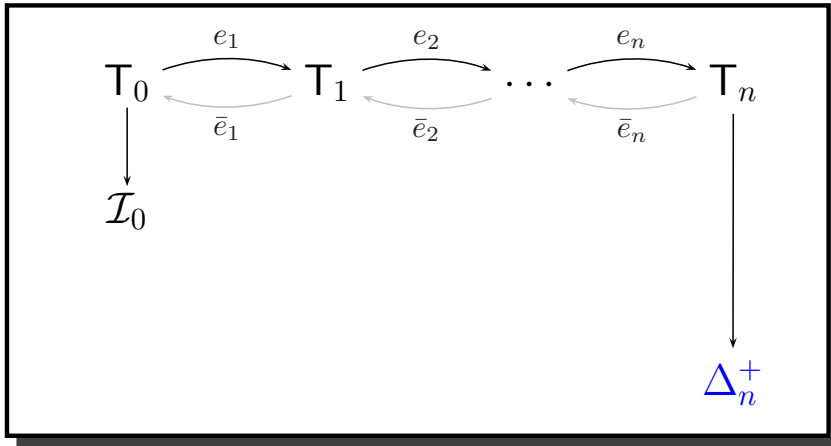
Reality:



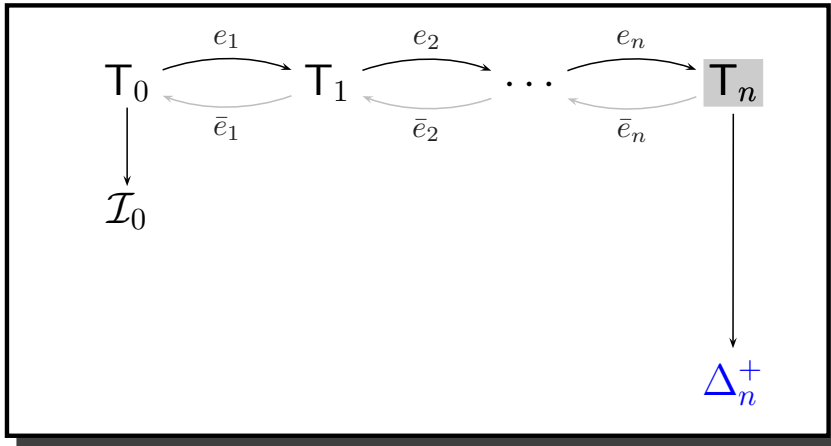
Computation:





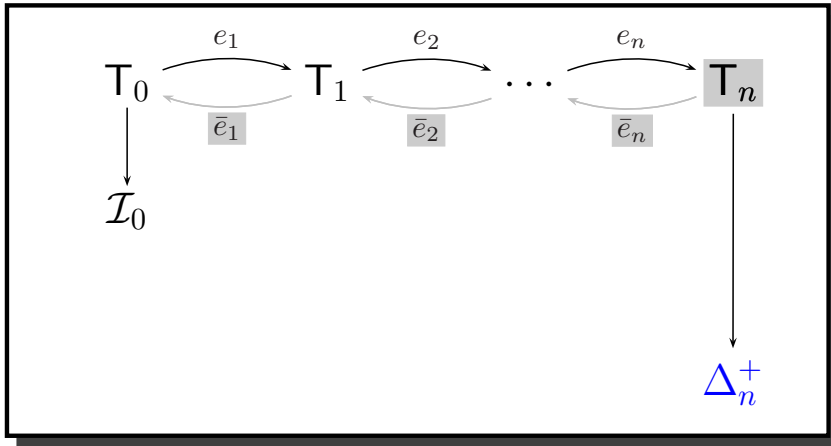


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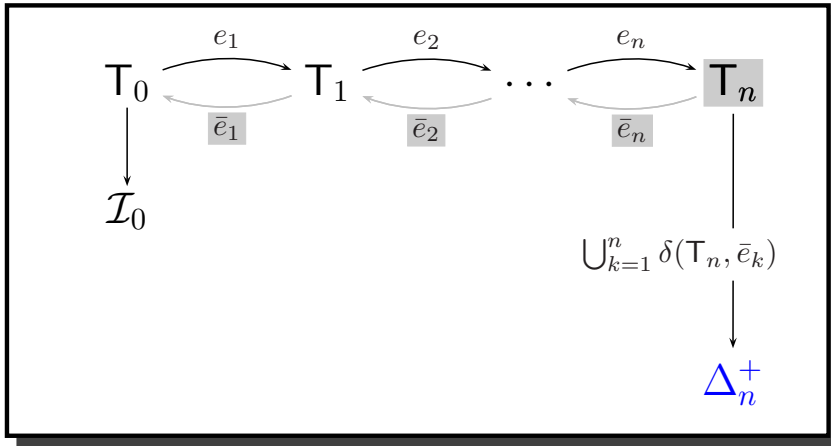
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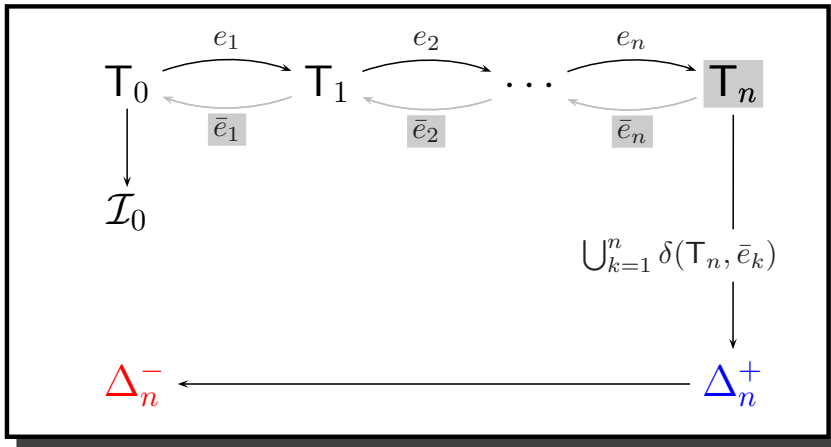
→ new *pq*-grams can be computed using:

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⇒ the log  $(\bar{e}_1, \dots, \bar{e}_n)$

**Theorem 1** The set of *new pq*-grams can be computed on  $T_n$  as

$$\Delta_n^+ = \bigcup_{k=1}^n \delta(T_n, \bar{e}_k)$$



→ new *pq-grams* can be computed using:

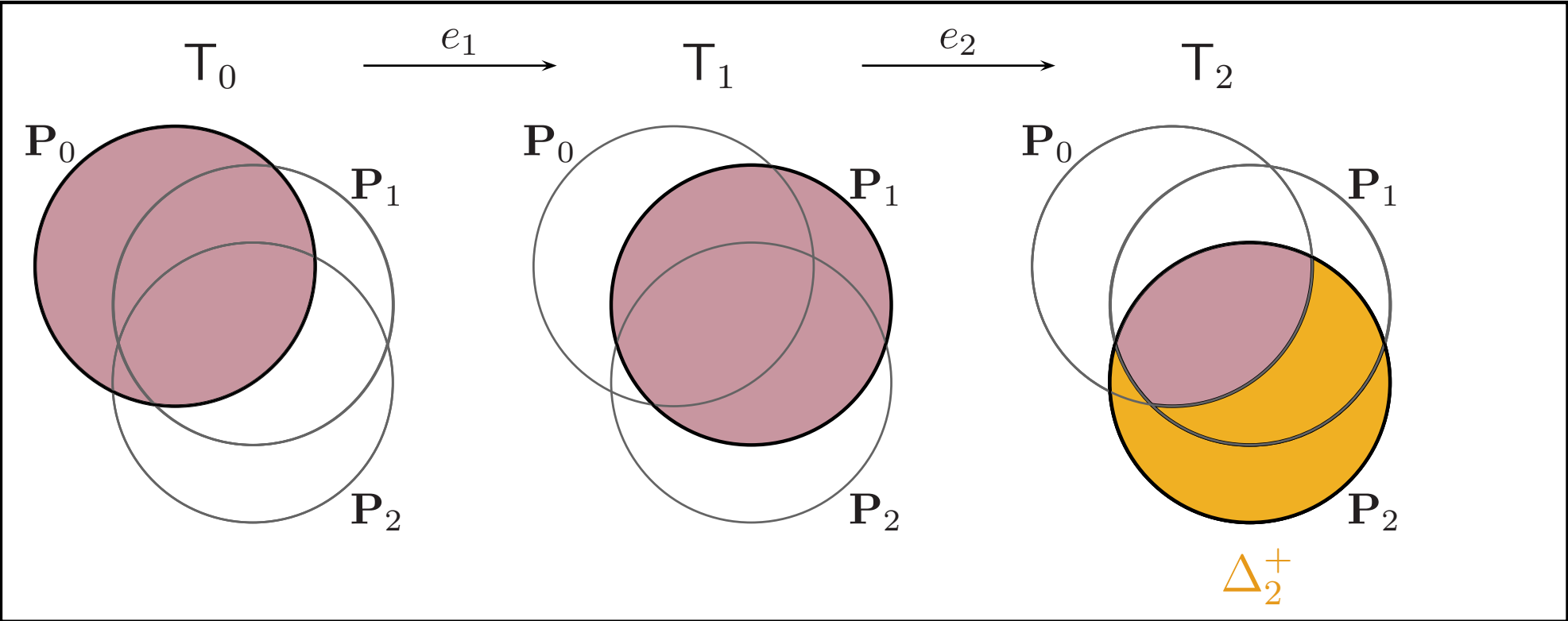
⇒ the resulting tree  $T_n$

⇒ the log  $(\bar{e}_1, \dots, \bar{e}_n)$

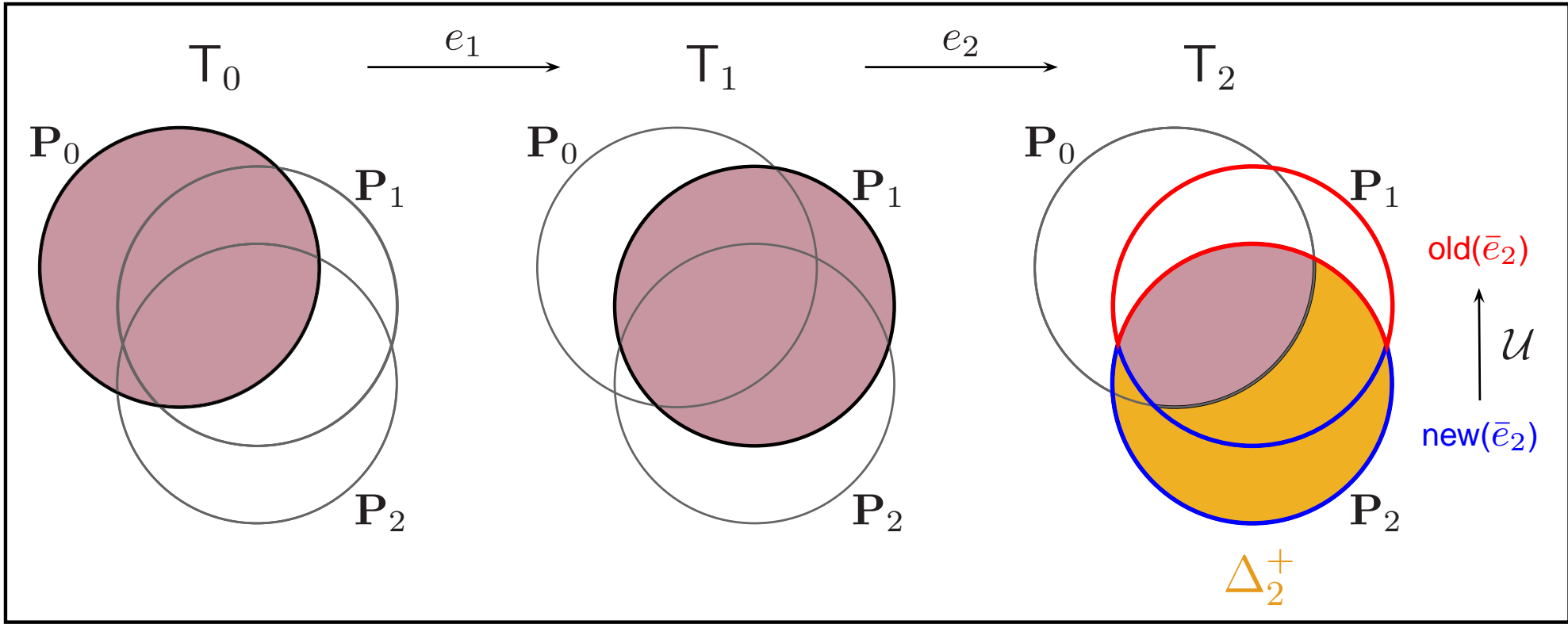
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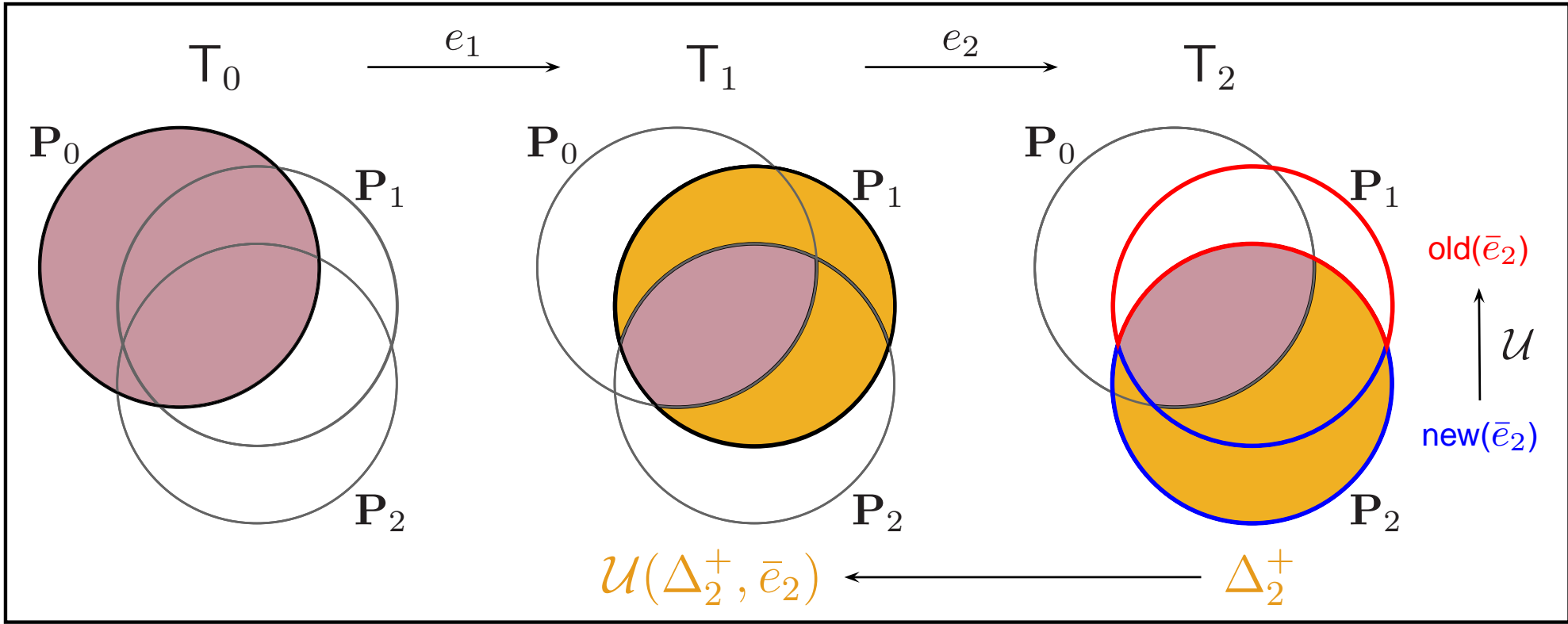
→ **Next step:** compute *old pq-grams*  $\Delta_n^-$



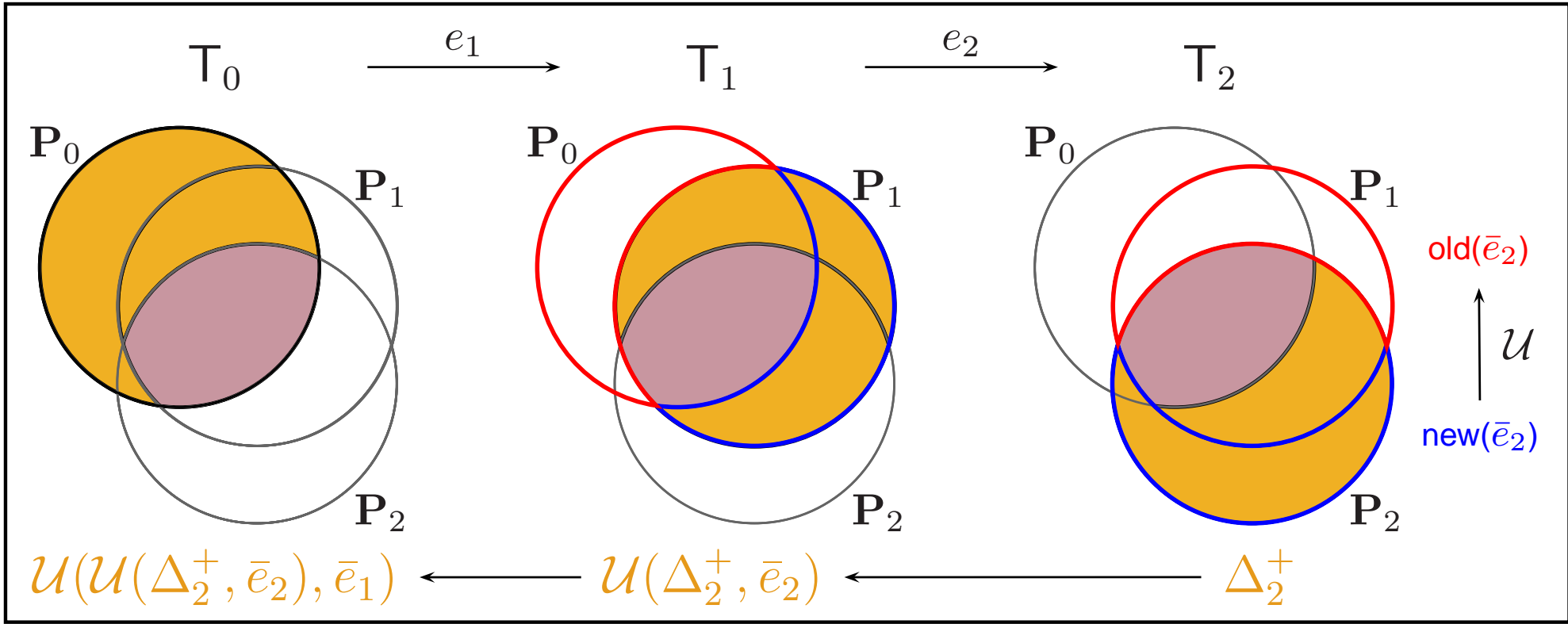




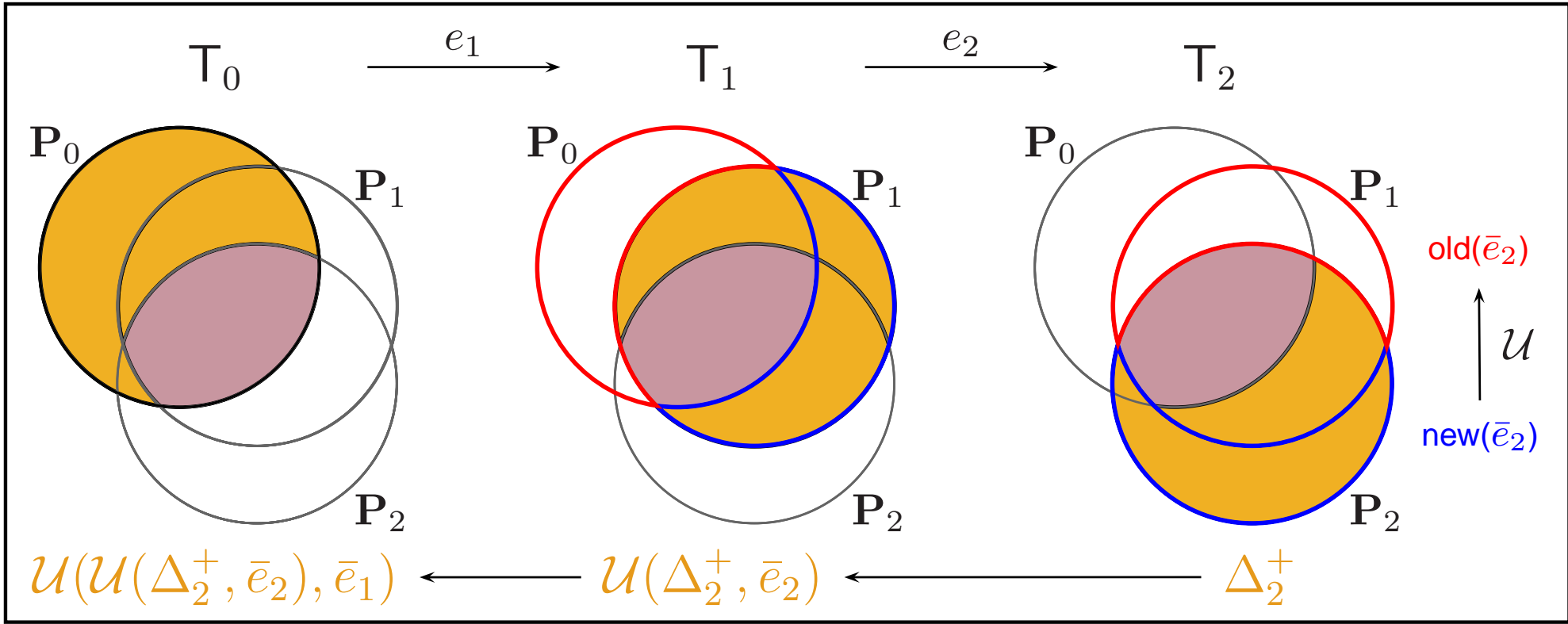
👉 Profile update function  $\mathcal{U}$ : transforms new  $pq$ -grams into old  $pq$ -grams.



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☞ **Profile update function  $\mathcal{U}$ :** transforms **new**  $pq$ -grams into **old**  $pq$ -grams.



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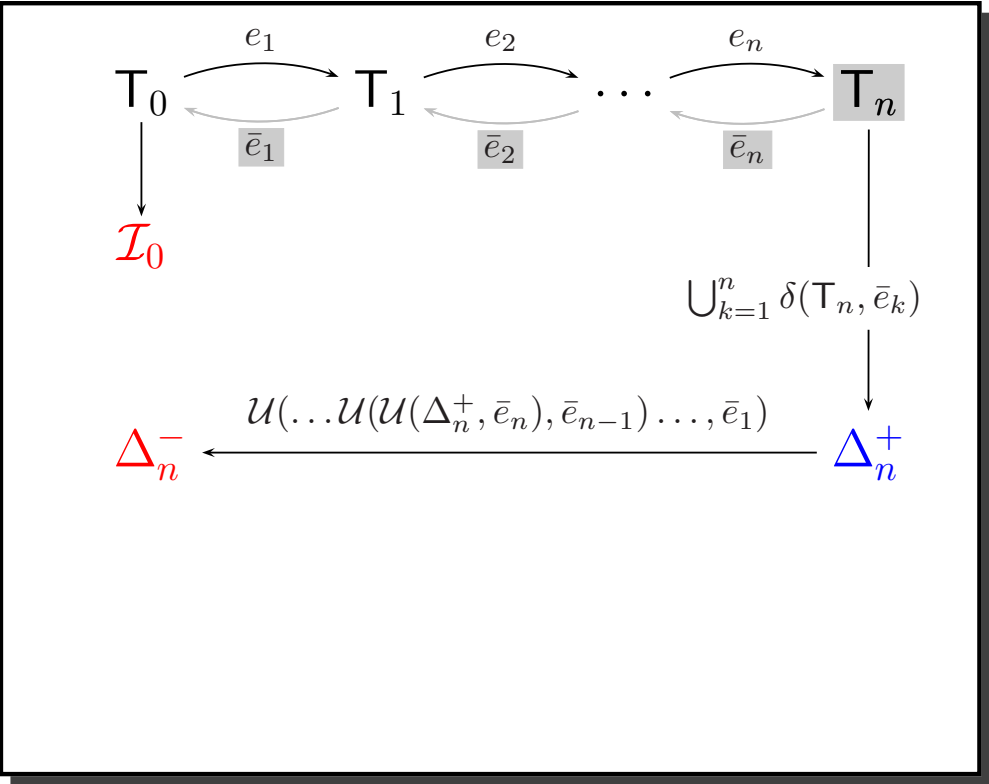
$$\mathcal{U}(\mathcal{U}(\Delta_2^+, \bar{e}_2), \bar{e}_1) = \Delta_2^-$$

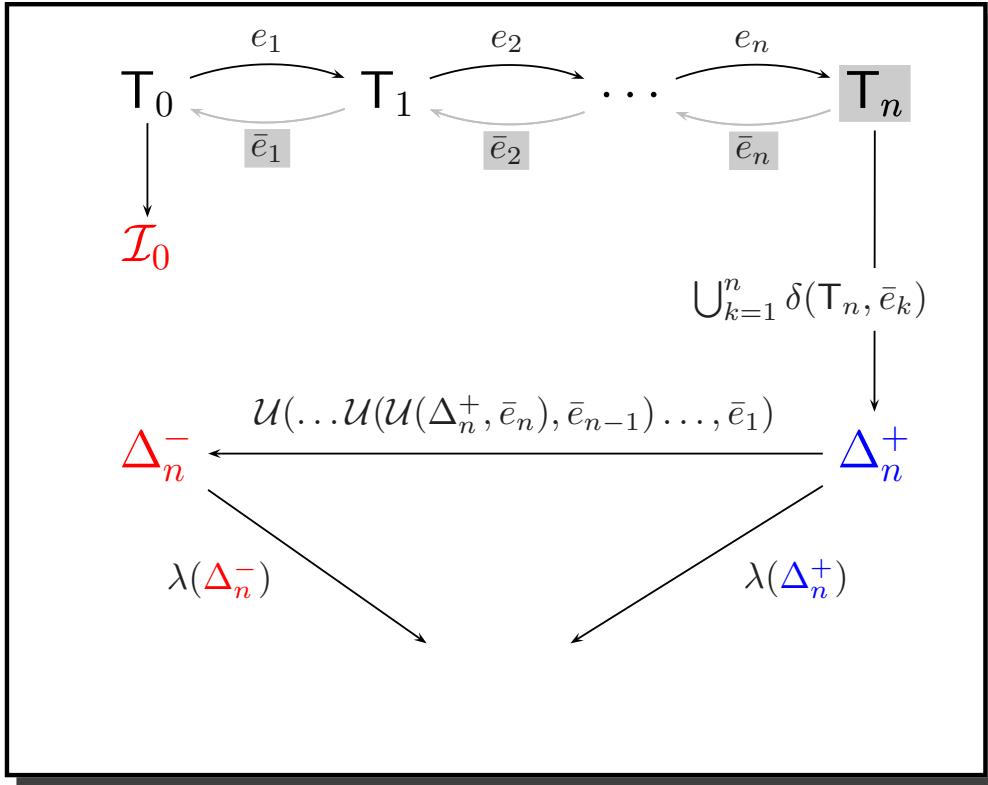
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**Theorem 2** *The **old pq-grams**  $\Delta_n^-$  are computed by recursively applying the **update function**  $\mathcal{U}$  to the **new pq-grams**  $\Delta_n^+$ :*

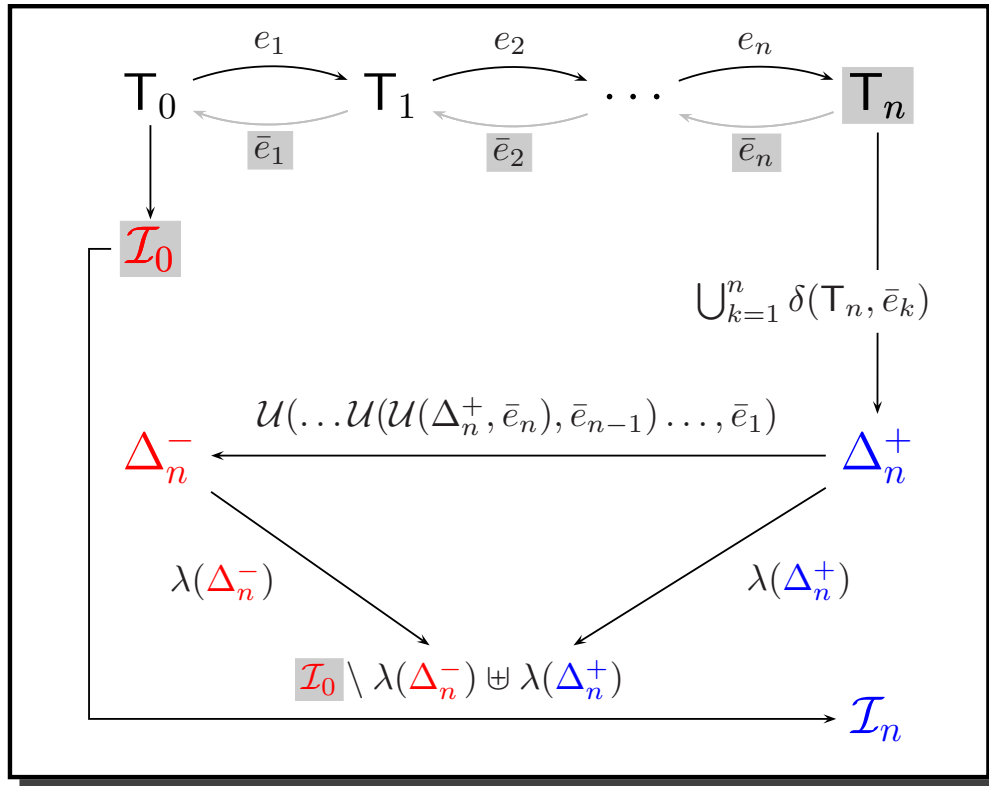
$$\Delta_n^- = \mathcal{U}(\dots \mathcal{U}(\mathcal{U}(\Delta_n^+, \bar{e}_n), \bar{e}_{n-1}) \dots, \bar{e}_1).$$

---





→ Hash old ( $\Delta_n^+$ ) and new ( $\Delta_n^-$ )  $pq$ -grams



➡ Hash old ( $\Delta_n^+$ ) and new ( $\Delta_n^-$ )  $pq$ -grams

➡ Update old index  $\mathcal{I}_0$



---

**Algorithm 1:**  $\text{updateIndex}(I_0, T_n, \log)$

---

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**Algorithm 1:** `updateIndex(I0, Tn, log)`

---

1  $\Delta_n^+ = \emptyset;$ 2 **foreach**  $\bar{e}_i \in \text{log}$  **do**  $\Delta_n^+ \leftarrow \Delta_n^+ \cup \delta(T_n, \bar{e}_i);$ 

---

---

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---

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  - 3  $\Delta_n^- \leftarrow \Delta_n^+;$
  - 4 **for**  $\bar{e}_i \leftarrow \bar{e}_n$  **downto**  $\bar{e}_1$  **do**  $\Delta_n^- \leftarrow \mathcal{U}(\Delta_n^-, \bar{e}_i);$
-

---

**Algorithm 1:**  $\text{updateIndex}(I_0, T_n, \log)$ 

---

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  - 5  $I^+ \leftarrow \lambda(\Delta_n^+);$
  - 6  $I^- \leftarrow \lambda(\Delta_n^-);$
-

---

**Algorithm 1:**  $\text{updateIndex}(\mathbb{I}_0, \mathbb{T}_n, \log)$ 

---

```
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2 foreach  $\bar{e}_i \in \log$  do  $\Delta_n^+ \leftarrow \Delta_n^+ \cup \delta(\mathbb{T}_n, \bar{e}_i);$ 
3  $\Delta_n^- \leftarrow \Delta_n^+;$ 
4 for  $\bar{e}_i \leftarrow \bar{e}_n$  downto  $\bar{e}_1$  do  $\Delta_n^- \leftarrow \mathcal{U}(\Delta_n^-, \bar{e}_i);$ 
5  $\mathbb{I}^+ \leftarrow \lambda(\Delta_n^+);$ 
6  $\mathbb{I}^- \leftarrow \lambda(\Delta_n^-);$ 
7  $\mathbb{I}_n \leftarrow \mathbb{I}_0 \setminus \mathbb{I}^- \cup \mathbb{I}^+;$ 
8 return  $\mathbb{I}_n;$ 
```

---

---

**Algorithm 1:**  $\text{updateIndex}(\mathbb{I}_0, \mathbb{T}_n, \log)$ 


---

```

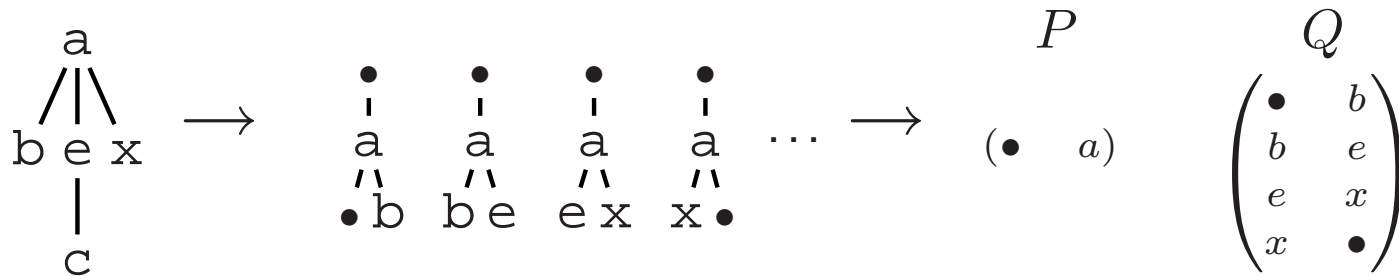
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4 for  $\bar{e}_i \leftarrow \bar{e}_n$  downto  $\bar{e}_1$  do  $\Delta_n^- \leftarrow \mathcal{U}(\Delta_n^-, \bar{e}_i);$ 
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7  $\mathbb{I}_n \leftarrow \mathbb{I}_0 \setminus \mathbb{I}^- \cup \mathbb{I}^+;$ 
8 return  $\mathbb{I}_n;$ 

```

---

**Implementation**

☞ Store  $p$ -part and  $q$ -part in tables  $P$  and  $Q$



**Insert** node  $n$  as the  $k$ -th child of node  $v$ :  $\text{INS}(n, v, k, m)$

$$\delta(\mathbf{T}_j, \bar{e}) = P(v) \circ Q^{k..m}(v) \cup P(x) \circ Q(x)$$

$$\forall x \in \text{desc}_{p-2}(c_k, \dots, c_m)$$

$$\begin{aligned} \mathcal{U}(\delta(\mathbf{T}_j, \bar{e}), \bar{e}) &= P(v) \circ [Q^{k..m}(v) // D(n)] \cup P^{+n,0}(v) \circ \\ &[D(\bullet) // Q^{k..m}(v)] \cup P^{+n,d}(x) \circ Q(x) \end{aligned}$$

$$\forall x \in \text{desc}_{p-2}(c_k, \dots, c_m), d = \text{dist}(c_i, x) + 1$$

$c_i$  :  $i$ -th child of  $v$

**Delete** node  $n$ ,  $\text{DEL}(n)$ :

$$\delta(\mathbf{T}_j, \bar{e}) = P(v) \circ Q^{k..k}(v) \cup P(x) \circ Q(x)$$

$$\forall x \in \text{desc}_{p-1}(n)$$

$$\mathcal{U}(\delta(\mathbf{T}_j, \bar{e}), \bar{e}) = P(v) \circ [Q^{k..k}(v) // Q(n)] \cup P^{-n}(x) \circ Q(x)$$

$$\forall x \in \text{desc}_{p-1}(n) \setminus \{n\}$$

$v$  :  $n$  is the  $k$ -th child of  $v$

**Rename** node  $n$  to  $l'$ :  $\text{REN}(n, l')$

$$\delta(\mathbf{T}_j, \bar{e}) = P(v) \circ Q^{k..k}(v) \cup P(x) \circ Q(x)$$

$$\forall x \in \text{desc}_{p-1}(n)$$

$$\mathcal{U}(\delta(\mathbf{T}_j, \bar{e}), \bar{e}) = P(v) \circ [Q^{k..k}(v) // D(m)] \cup P^{n/m}(x) \circ Q(x)$$

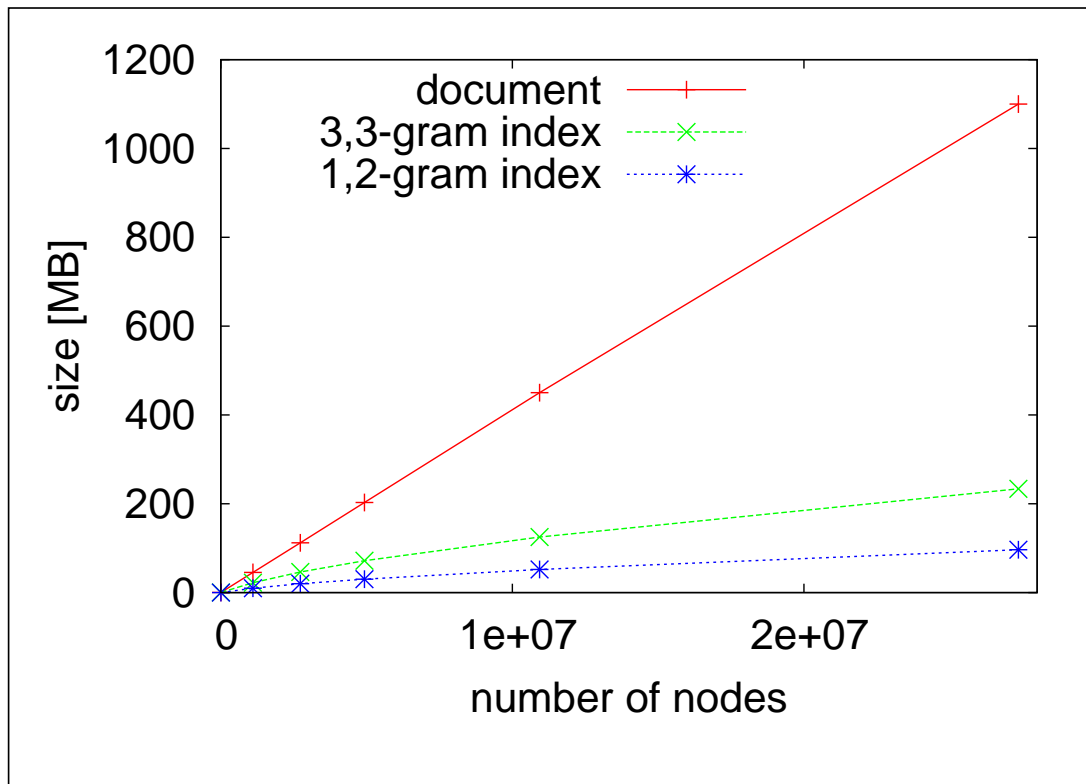
$$\forall x \in \text{desc}_{p-1}(n)$$

$m = (\text{id}(n), l')$   $v$  :  $n$  is the  $k$ -th child of  $v$





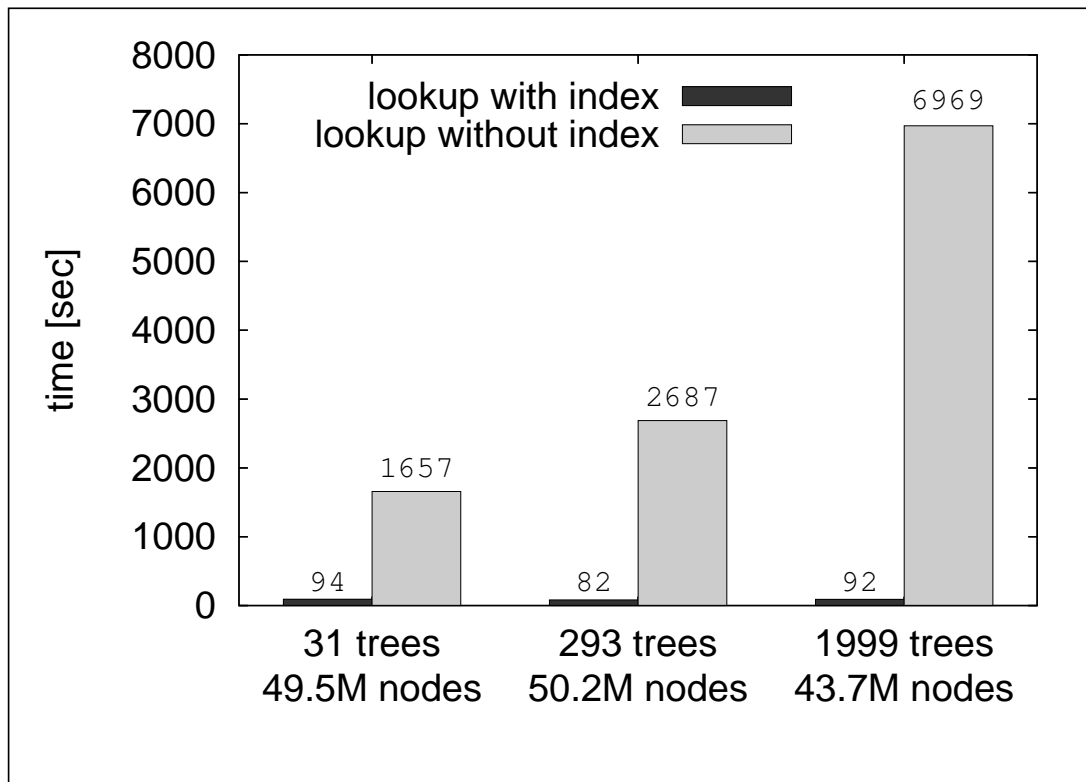
**Index size linear in tree size**



- 👉 Experiment: **synthetic** data (XMark)
- ▣➡ vary document size
- ▣➡ compute index
- ▣➡ compare index size with tree size



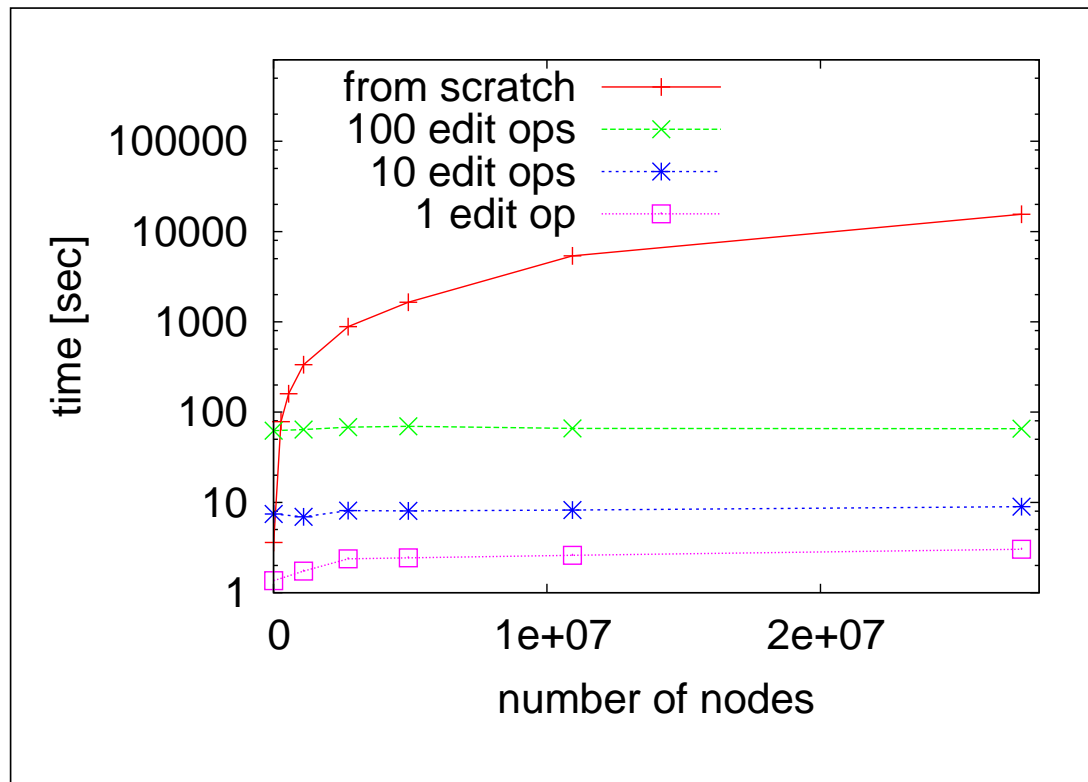
**Index greatly increases efficiency for lookup**



- 👉 Experiment: **synthetic** data (XMark)
- ▣➡ lookup with/without index
- ▣➡ different document sets of similar size
- ▣➡ measure wall clock time

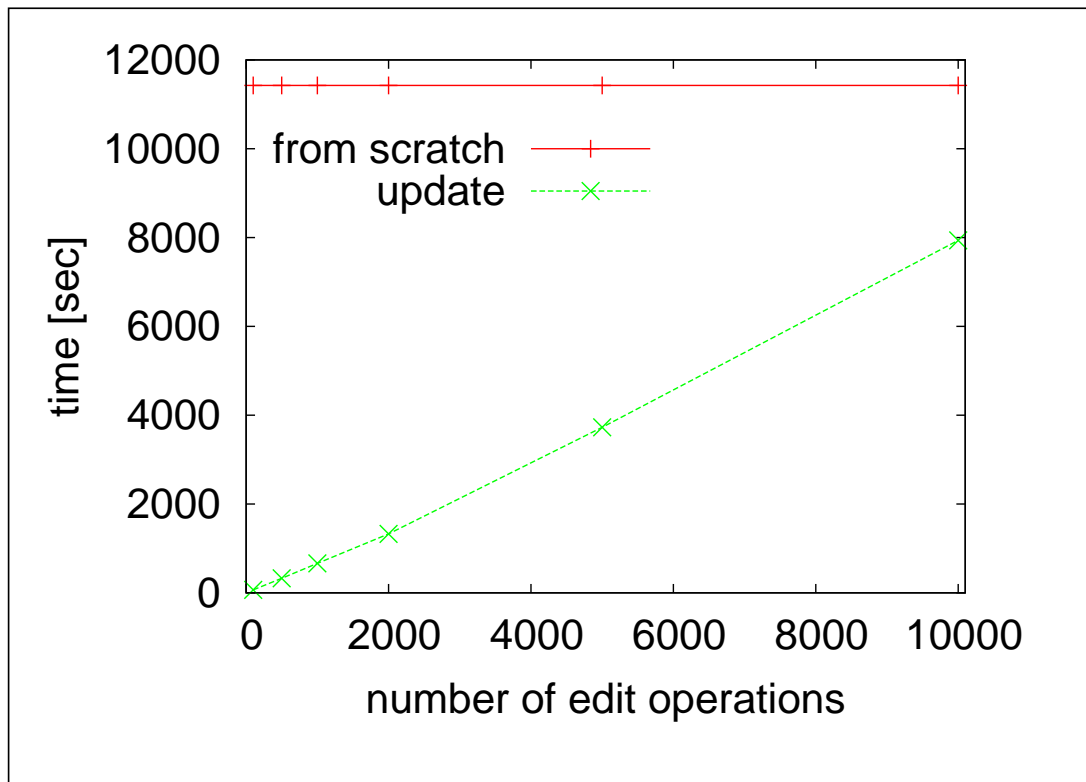


## Update independent of tree size



- Experiment: **synthetic** data (XMark)
- ⇒ vary tree size (up to 27M nodes)
- ⇒ compute incremental update
- ⇒ compute index from scratch
- ⇒ measure wall clock time



**Update linear in log size**

- 👉 Experiment: **DBLP** (211MB, 11M nodes)
- ⇒ vary number of edit operations
- ⇒ compute incremental update
- ⇒ compute index from scratch
- ⇒ measure wall clock time





Action	Number of edit operations			
	1	10	100	1000
$\Delta_n^+$	0.642s	3.903s	37.533s	391.513s
$I^+ = \lambda(\Delta_n^+)$	0.184s	0.199s	0.287s	0.443s
$\Delta_n^-$	0.196s	2.836s	27.967s	295.104s
$I^- = \lambda(\Delta_n^-)$	0.177s	0.191s	0.185s	0.383s
$I_0 \setminus I^- \cup I^+$	2.206s	2.770s	6.475s	19.780s
total	3.405s	9.900s	72.448s	707.224s

👉 Experiment: **DBLP** (211MB, 11M nodes)

⇒ vary number of edit operations

⇒ compute incremental update

⇒ wall clock time for each steps

$\Delta^+$ ,  $\Delta^-$ : main share

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Hashing very cheap

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 Experiment: **DBLP** (211MB, 11M nodes)

vary number of edit operations

compute incremental update

wall clock time for each steps

$\Delta^+, \Delta^-$ : main share

Hashing very cheap

$\mathcal{I}_n = \mathcal{I}_0 \setminus \mathcal{I}^- \cup \mathcal{I}^+$ : sublinear in log size

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- ☞ ***pq*-Gram distance** (Augsten et al., VLDB 2005)
  - ▣ edit distance approximation
  - ▣ properties analyzed in (Augsten et al., VLDB 2005)

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☞ **Other edit distance approximations:**

▣ (Garofalakis & Kumar, TODS 2005): *approximation guarantees* with tree edit distance embedding

▣ (Yang et al., SIGMOD 2005): *lower bound* for edit distance

▣ (Weis and Naumann, SIGMOD 2005): *XML duplicate detection*

▣ (Cobéna et al., ICDE 2002), (Lee et al., TKDE 2004): *XML change detection*

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☞ **Approximate XML join** by (Guha et al., SIGMOD 2002), **index** by (Guha et al., ICDE 2003)

- ▣ optimize join based on tree edit distance using reference sets

- ▣ updates of reference sets not addressed





☞ **Proof for incremental update** of *pq*-gram index with

▣▶ old index

▣▶ resulting document

▣▶ edit-log

☞ **Proof for incremental update** of  $pq$ -gram index with

- ▣▶ old index
- ▣▶ resulting document
- ▣▶ edit-log

☞ **Update efficient**

- ▣▶ constant in tree size
- ▣▶ linear in edit-log size

## ☞ **Proof for incremental update** of $pq$ -gram index with

- ▣▶ old index
- ▣▶ resulting document
- ▣▶ edit-log

## ☞ **Update efficient**

- ▣▶ constant in tree size
- ▣▶ linear in edit-log size

## ☞ **Future work**

- ▣▶ optimize edit-log (e.g. remove redundancy)
- ▣▶ subtree edit operations (e.g. subtree move)
- ▣▶ compute updates for edit ops in parallel