

Similarity Search

The String Edit Distance

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Outline

- 1 String Edit Distance
 - Motivation and Definition
 - Brute Force Algorithm
 - Dynamic Programming Algorithm
 - Edit Distance Variants

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Motivation

- How different are
 - `hello` and `hello?`
 - `hello` and `hallo?`
 - `hello` and `hell?`
 - `hello` and `shell?`

What is a String Distance Function?

Definition (String Distance Function)

Given a finite alphabet Σ , a *string distance function*, δ_s , maps each pair of strings $(x, y) \in \Sigma^* \times \Sigma^*$ to a positive real number (including zero).

$$\delta_s : \Sigma^* \times \Sigma^* \rightarrow \mathbb{R}_0^+$$

- Σ^* is the set of all strings over Σ , including the *empty string* ε .

The String Edit Distance

Definition (String Edit Distance)

The *string edit distance* between two strings, $ed(x, y)$, is the minimum number of character insertions, deletions and replacements that transforms x to y .

- Example:
 - `hello` → `hallo`: replace `e` by `a`
 - `hello` → `hell`: delete `o`
 - `hello` → `shell`: delete `o`, insert `s`
- Also called *Levenshtein distance*.¹

¹Levenshtein introduced this distance for signal processing in 1965 [Lev65].

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Gap Representation

- **Gap representation** of the string transformation $x \rightarrow y$:
Place string x above string y
 - with a gap in x for every insertion,
 - with a gap in y for every deletion,
 - with different characters in x and y for every replacement.
- Any sequence of edit operations can be represented with gaps.
- **Example:**

```
    h a l l o  
s h e l l
```

- insert s
- replace a by e
- delete o

Deriving the Recursive Formula

- Example:

```

  h a l l o
s h e l l

```

- Given: Gap representation, $\text{gap}(x, y)$, of the shortest edit distance between two strings x and y , such that $\text{gap}(x, y) = \text{ed}(x, y)$.

- Claim:

- If we remove the last column,
- then the remaining columns represent the shortest edit distance, $\text{gap}(x', y') = \text{ed}(x', y')$, between the remaining substrings, x' and y' .

- Proof (by contradiction):

- Last column contributes with $c = 0$ or $c = 1$ to $\text{gap}(x, y)$, thus $\text{gap}(x, y) = \text{gap}(x', y') + c$.
- If we assume $\text{ed}(x', y') < \text{gap}(x', y')$, then we could find a new gap representation $\text{gap}^*(x', y') = \text{ed}(x', y') < \text{gap}(x', y')$ such that $\text{gap}^*(x, y) = \text{gap}^*(x', y') + c < \text{gap}(x', y') + c = \text{ed}(x, y)$. □

Deriving the Recursive Formula

- Example:

```

    h a l l o
  s h e l l
  
```

- Notation:

- $x[1..i]$ is the substring of the first i characters of x ($x[1..0] = \varepsilon$)
- $x[i]$ is the i -th character of x

- Recursive Formula:

$$\begin{aligned}
 \text{ed}(\varepsilon, \varepsilon) &= 0 \\
 \text{ed}(x[1..i], \varepsilon) &= i \\
 \text{ed}(\varepsilon, y[1..j]) &= j \\
 \text{ed}(x[1..i], y[1..j]) &= \min(\text{ed}(x[1..i-1], y[1..j-1]) + c, \\
 &\quad \text{ed}(x[1..i-1], y[1..j]) + 1, \\
 &\quad \text{ed}(x[1..i], y[1..j-1]) + 1)
 \end{aligned}$$

where $c = 0$ if $x[i] = y[j]$, otherwise $c = 1$.

Brute Force Algorithm

ed-bf(x, y)

$m = |x|, n = |y|$

if $m = 0$ **then return** n

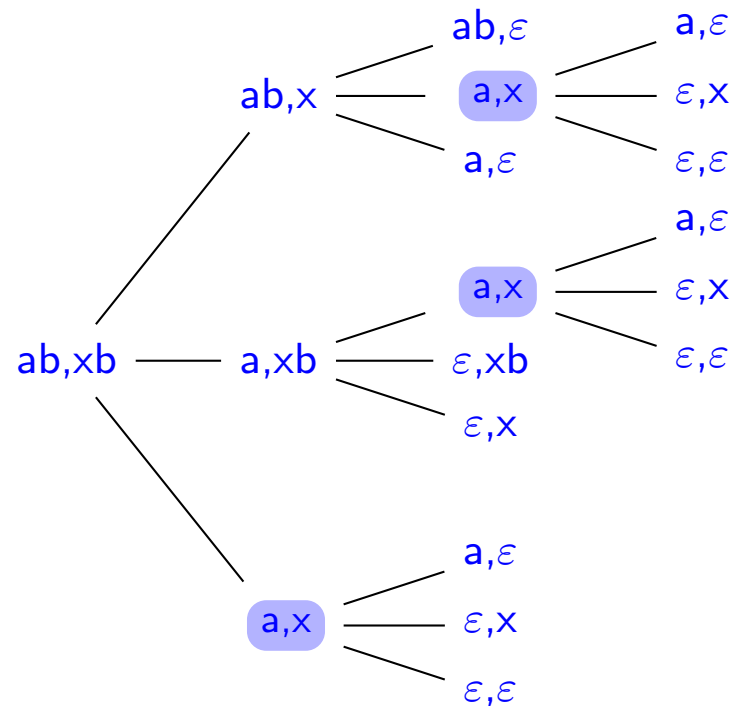
if $n = 0$ **then return** m

if $x[m] = y[n]$ **then** $c = 0$ **else** $c = 1$

return $\min(\text{ed-bf}(x, y[1 \dots n - 1]) + 1,$
 $\text{ed-bf}(x[1 \dots m - 1], y) + 1,$
 $\text{ed-bf}(x[1 \dots m - 1], y[1 \dots n - 1]) + c)$

Brute Force Algorithm

- Recursion tree for $\text{ed-bf}(\mathbf{ab}, \mathbf{xb})$:



- Exponential runtime in string length :-)
- **Observation:** Subproblems are computed repeatedly (e.g. $\text{ed-bf}(\mathbf{a}, \mathbf{x})$ is computed 3 times)
- **Approach:** Reuse previously computed results!

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 - **Dynamic Programming Algorithm**
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Dynamic Programming Algorithm

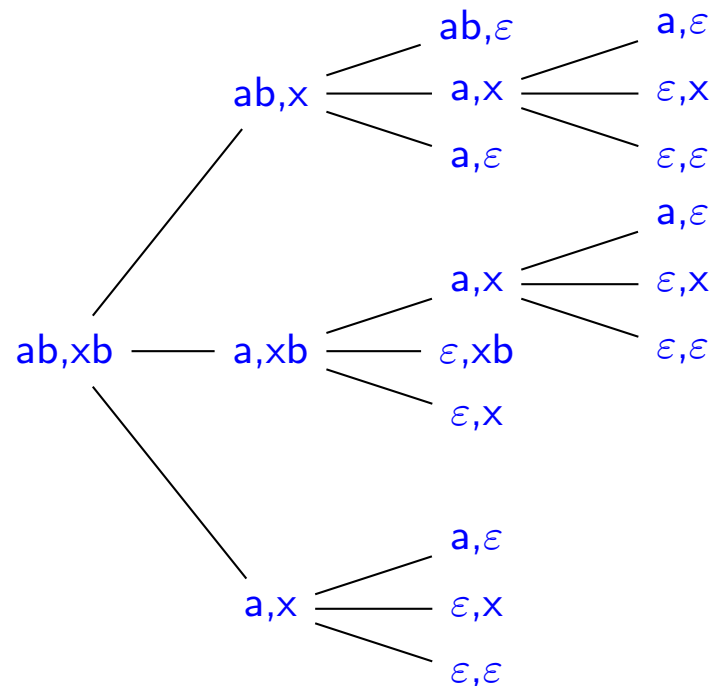
- Store distances between all prefixes of x and y
- Use matrix $C_{0..m,0..n}$ with

$$C_{i,j} = \text{ed}(x[1..i], y[1..j])$$

where $x[1..0] = y[1..0] = \varepsilon$.

- Example:

	ε	x	b
ε	0	1	2
a	1	1	2
b	2	2	1



Dynamic Programming Algorithm

ed-dyn(x, y)

```
 $C$  : array[0..| $x$ |][0..| $y$ |]
for  $i = 0$  to | $x$ | do  $C[i, 0] = i$ 
for  $j = 1$  to | $y$ | do  $C[0, j] = j$ 
for  $j = 1$  to | $y$ | do
  for  $i = 1$  to | $x$ | do
    if  $x[i] = y[j]$  then  $c = 0$  else  $c = 1$ 
     $C[i, j] = \min(C[i - 1, j - 1] + c,$ 
                   $C[i - 1, j] + 1,$ 
                   $C[i, j - 1] + 1)$ 
```

Understanding the Solution

- Example:

			ins →					
			ϵ	m	o	n	d	m o o n
	ϵ		0	1	2	3	4	m o n d
x = moon	del ↓	m	1	0	1	2	3	m o o n
y = mond		o	2	1	0	1	2	m o n d
		o	3	2	1	1	2	
		n	4	3	2	1	2	m o o n
								m o n d

The table shows the edit distance between x="moon" and y="mond". The columns represent the characters of y (epsilon, m, o, n, d) and the rows represent the characters of x (epsilon, m, o, o, n). The values in the cells represent the edit distance. Colored arrows indicate the path of the edit operations:

- Red arrows: (1,1) to (2,2), (2,2) to (3,3), (3,3) to (4,4), (4,4) to (5,5), (5,5) to (6,6).
- Green arrows: (2,2) to (3,2), (3,2) to (4,3), (4,3) to (5,4).
- Blue arrows: (3,3) to (4,4), (4,4) to (5,5), (5,5) to (6,6).

- **Solution 1:** replace **n** by **d** and (second) **o** by **n** in **x**
- **Solution 2:** insert **d** after **n** and delete (first) **o** in **x**
- **Solution 3:** insert **d** after **n** and delete (second) **o** in **x**

Dynamic Programming Algorithm – Properties

- Complexity:
 - $O(mn)$ time (nested for-loop)
 - $O(mn)$ space (the $(m+1) \times (n+1)$ -matrix C)
- Improving space complexity (assume $m < n$):
 - we need only the previous column to compute the next column
 - we can forget all other columns
 - $\Rightarrow O(m)$ space complexity

Dynamic Programming Algorithm

$\text{ed-dyn}^+(x, y)$

$col_0 : \text{array}[0..|x|]$

$col_1 : \text{array}[0..|x|]$

for $i = 0$ **to** $|x|$ **do** $col_0[i] = i$

for $j = 1$ **to** $|y|$ **do**

$col_1[0] = j$

for $i = 1$ **to** $|x|$ **do**

if $x[i] = y[j]$ **then** $c = 0$ **else** $c = 1$

$col_1[i] = \min(col_0[i - 1] + c,$

$col_1[i - 1] + 1,$

$col_0[i] + 1)$

$col_0 = col_1$

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Distance Metric

Definition (Distance Metric)

A distance function δ is a *distance metric* if and only if for any x, y, z the following hold:

- $\delta(x, y) = 0 \Leftrightarrow x = y$ (identity)
- $\delta(x, y) = \delta(y, x)$ (symmetric)
- $\delta(x, y) + \delta(y, z) \geq \delta(x, z)$ (triangle inequality)

Examples:

- the Euclidean distance is a metric
- $d(a, b) = a - b$ is not a metric (not symmetric)

Introducing Weights

- Look at the **edit operations** as a set of **rules with a cost**:

$$\begin{aligned}\alpha(\varepsilon, b) &= \omega_{ins} && \text{(insert)} \\ \alpha(a, \varepsilon) &= \omega_{del} && \text{(delete)} \\ \alpha(a, b) &= \begin{cases} \omega_{rep} & \text{if } a \neq b \\ 0 & \text{if } a = b \end{cases} && \text{(replace)}\end{aligned}$$

where $a, b \in \Sigma$, and $\omega_{ins}, \omega_{del}, \omega_{rep} \in \mathbb{R}_0^+$.

- **Edit script**: sequence of rules that transform x to y
- **Edit distance**: edit script with minimum cost (adding up costs of single rules)
- **Example**: so far we assumed $\omega_{ins} = \omega_{del} = \omega_{rep} = 1$.

Weighted Edit Distance

- Recursive formula with weights:

$$\begin{aligned}C_{0,0} &= 0 \\C_{i,j} &= \min(C_{i-1,j-1} + \alpha(x[i], y[j]), \\ &\quad C_{i-1,j} + \alpha(x[i], \varepsilon), \\ &\quad C_{i,j-1} + \alpha(\varepsilon, y[j]))\end{aligned}$$

where $\alpha(a, a) = 0$ for all $a \in \Sigma$, and $C_{-1,j} = C_{i,-1} = \infty$.

- We can easily **adapt** the dynamic programming **algorithm**.

Variants of the Edit Distance

- **Unit cost** edit distance (what we did so far):
 - $\omega_{ins} = \omega_{del} = \omega_{rep} = 1$
 - $0 \leq ed(x, y) \leq \max(|x|, |y|)$
 - distance metric
- **Hamming** distance [Ham50, SK83]:
 - called also “string matching with k mismatches”
 - allows only replacements
 - $\omega_{rep} = 1, \omega_{ins} = \omega_{del} = \infty$
 - $0 \leq d(x, y) \leq |x|$ if $|x| = |y|$, otherwise $d(x, y) = \infty$
 - distance metric
- **Longest Common Subsequence (LCS)** distance [NW70, AG87]:
 - allows only insertions and deletions
 - $\omega_{ins} = \omega_{del} = 1, \omega_{rep} = \infty$
 - $0 \leq d(x, y) \leq |x| + |y|$
 - distance metric
 - $LCS(x, y) = (|x| + |y| - d(x, y))/2$

Allowing Transposition

- Transpositions
 - switch two adjacent characters
 - can be simulated by delete and insert
 - typos are often transpositions
- New rule for transposition

$$\alpha(ab, ba) = \omega_{trans}$$

allows us to assign a weight different from $\omega_{ins} + \omega_{del}$

- Recursive formula that includes transposition:

$$\begin{aligned}
 C_{0,0} &= 0 \\
 C_{i,j} &= \min(
 \begin{aligned}
 &C_{i-1,j-1} + \alpha(x[i], y[j]), \\
 &C_{i-1,j} + \alpha(x[i], \varepsilon), \\
 &C_{i,j-1} + \alpha(\varepsilon, y[j]), \\
 &C_{i-2,j-2} + \alpha(x[i-1]x[i], y[j-1]y[j])
 \end{aligned}
)
 \end{aligned}$$

where $\alpha(ab, cd) = \infty$ if $a \neq d$ or $b \neq c$, $\alpha(a, a) = 0$ for all $a \in \Sigma$, and $C_{-1,j} = C_{i,-1} = C_{-2,j} = C_{i,-2} = \infty$.

Example: Edit Distance with Transposition

- **Example:** Compute distance between $x = \text{meal}$ and $y = \text{mael}$ using the edit distance with transposition ($\omega_{ins} = \omega_{del} = \omega_{rep} = \omega_{trans} = 1$)

	ε	m	a	e	l
ε	0	1	2	3	4
m	1	0	1	2	3
e	2	1	1	1	2
a	3	2	1	1	2
l	4	3	2	2	1

- The value in red results from the transposition of ea to ae.

Text Searching

- Goal:
 - search pattern p in text t ($|p| < |t|$)
 - allow k errors
 - match may start at any position of the text
- Difference to distance computation:
 - $C_{0,j} = 0$ (instead of $C_{0,j} = j$, as text may start at any position)
 - result: all $C_{m,j} \leq k$ are endpoints of matches

Example: Text Searching

- Example:

		ε	s	u	r	g	e	r	y
p	=	survey							
t	=	surgery							
k	=	2							
		ε	0	0	0	0	0	0	0
		s	1	0	1	1	1	1	1
		u	2	1	0	1	2	2	2
		r	3	2	1	0	1	2	3
		v	4	3	2	1	1	2	3
		e	5	4	3	2	2	1	2
		y	6	5	4	3	3	2	2

- Solutions: 3 matching positions with $k \leq 2$ found.

```
s u r v e y
s u r g e
```

```
s u r v e y
s u r g e r
```

```
s u r v e   y
s u r g e r y
```



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