### Similarity Search

Windowed pq-Grams for Unordered Trees

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#### Outline

# Windowed pq-Grams for Unordered Trees

- Motivation
- Windowed pq-Grams for Data-Centric XML
- Efficient Similarity Joins with Windowed pq-Grams

Windowed pq-Grams for Unordered Trees Motivation

Experiments

#### Outline

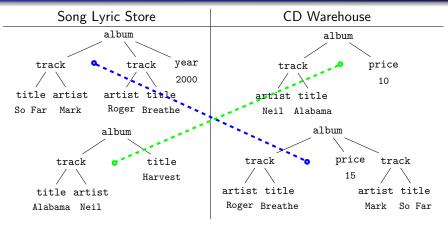
- Windowed pq-Grams for Unordered Trees
  - Motivation
  - Windowed pq-Grams for Data-Centric XML
  - Efficient Similarity Joins with Windowed pq-Grams

Windowed pq-Grams for Unordered Trees Motivation

Experiments

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# Similarity Join on Music CDs

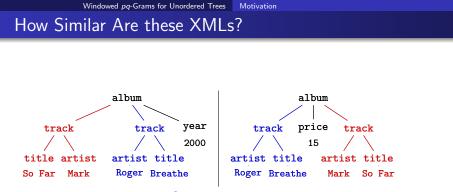


• Query: Give me all album pairs that represent the same music CDs.

How similar are two XML items?

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- Standard solution  $O(n^3)$ : tree edit distance Minimum number of node edit operations (insert, delete, rename) that transforms one ordered tree into the other.
- Problem: permuted subtrees are deleted/re-inserted node by node

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Windowed pg-Grams for Unordered Trees Motivation

#### Problem Definition

Find an effective distance for unordered labeled trees that is efficient for similarity joins.

#### Naive approaches that fail:

- unordered tree edit distance: NP-complete
- allow subtree move: NP-hard
- compute minimum distance between all permutations: runtime O(n!), error O(n)
- sort by label and use ordered tree edit distance: error O(n)

Windowed pq-Grams for Unordered Trees Motivation Ordered vs. Unordered Trees **Ordered Trees** sibling order matters ignore order (b) (b) c (e) (d) • Edit distance between unordered trees: NP-complete  $\rightarrow$  all sibling permutations must be considered!

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#### Outline

- Windowed pq-Grams for Unordered Trees
  - Motivation
  - Windowed pg-Grams for Data-Centric XML
  - Efficient Similarity Joins with Windowed pq-Grams
  - Experiments

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# Solution: Windowed pq-Grams [ABDG08]



- Windowed pq-Gram: small subtree with stem and base -
- Key Idea: split unordered tree into set of windowed pg-grams that is
  - not sensitive to the sibling order
  - sensitive to any other change in the tree
- Intuition: similar unordered trees have similar windowed pq-grams
- Systematic computation of windowed pq-grams
  - 1. sort the children of each node by their label (works OK for pq-grams)
  - 2. simulate permutations with a window
  - 3. split tree into windowed pq-grams

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 $\mathcal{I}(\mathbf{T})$   $\widetilde{\mathcal{I}(\mathbf{T}')}$ 

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## The Windowed pq-Gram Distance

• The windowed pg-gram distance between two trees, T and T':

$$\mathsf{dist}^{pq}(\mathsf{T},\mathsf{T}') = |\mathcal{I}(\mathsf{T}) \uplus \mathcal{I}(\mathsf{T}')| - 2|\mathcal{I}(\mathsf{T}) \cap \mathcal{I}(\mathsf{T}')|$$



✓ self-identity: 
$$x = y \neq \Rightarrow \text{dist}^{pq}(x, y) = 0$$

✓ symmetry: 
$$dist^{pq}(x, y) = dist^{pq}(y, x)$$

✓ triangle inequality: 
$$\operatorname{dist}^{pq}(x,z) \leq \operatorname{dist}^{pq}(x,y) + \operatorname{dist}^{pq}(y,z)$$

- Different trees may be at distance zero:
- Runtime for the distance computation is  $O(n \log n)$ .

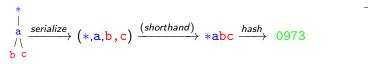
### Implementation of Windowed pq-Grams

• Set of windowed pq-grams:



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• Hashing: map pq-gram to integer:



Note: labels may be strings of arbitrary length!

• Windowed pq-gram index: bag of hashed pq-grams

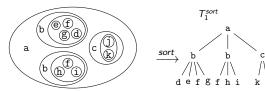
$$\mathcal{I}(\mathbf{T}) = \{0973, 0970, 0930, 0937, 0907, 0903, 9700, 9316, 9310, 9360, 9361, 9301, 9306, 3100, 3600\}$$

Tree is represented by a bag of integers!

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## Sorting the Tree?

- Idea:
  - 1. sort the children of each node by their label
  - 2. apply an ordered tree distance



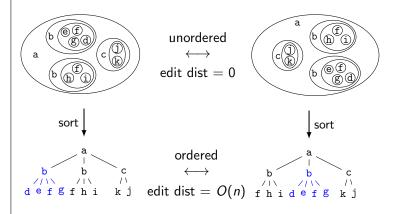
- **X** Edit distance: tree sorting does not work
- ✓ Windowed pq-Grams: tree sorting works OK

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### Edit Distance: Tree Sorting Does Not Work

1. Non-unique sorting: edit distance O(n) for identical trees



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Edit Distance: Tree Sorting Does Not Work

2. Node renaming: edit distance depends on node label

1 rename

dist=1

How To Form Bases?

#### Theorem (Local Effect of Node Reordering)

✓ Windowed pq-Grams: Tree Sorting Works OK

If k children of a node are reordered, i.e., their subtrees are moved, only O(k) windowed pq-grams change.

- Proof (idea):
  - pq-grams consist of a stem and a base
  - stems are invariant to the sibling order
  - bases: only the O(k) pq-grams with the reordered nodes in the bases change



- ✓ Non-unique sortings are equivalent: distance is 0 for identical trees
- ✓ Node renaming is independent of the node label

1 rename

dist=O(n)

- Goal for windowed pq-grams:
  - not sensitive to the sibling order
  - sensitive to any other change in the tree
- Stems: ignore sibling order

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• Bases: do not ignore sibling order!

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#### Requirements for Bases

- Requirements for bases:
  - detection of node moves
  - robustness to different sortings
  - balanced node weight
- Solution:
  - windows: simulate all permutations within a window
  - wrapping: wrap windows that extend beyond the right border
  - dummies: extend small sibling sets with dummy nodes

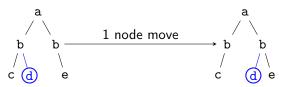
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#### Illustration: Detection of Node Moves

- Single Node: each node forms a base of size q = 1
- Window:  $q \ge 2$  nodes of a window form a base



Goal:

bases must change

**X** Single Node:

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c, d, e no bases change

✓ **Window:** cd, c\*, d\*, dc, 33% bases change

Windowed pq-grams detect node moves.

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## Solution: Windowed pg-Gram Bases

#### Algorithm 1: Form bases from a sorted (non-empty) sibling sequence

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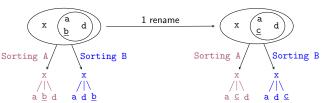
- 1 if *sibling sequence* < *window* then extend with dummy nodes;
- 3 initialize window: start with leftmost node;
- 4 repeat
  - form bases in window: all *q*-permutations that contain start node;
  - shift window to the right by one node;
- if window extends the right border then wrap window;
- 9 until processed all window positions
  - Example: stem, sorted sibling sequence, window w = 3



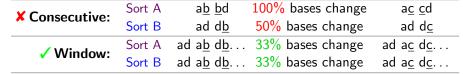
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#### Illustration: Robustness to Different Sortings

- Consecutive siblings form a base (no permutation)
- Window: all sibling permutations within the window form bases



Goal: Same number of bases change for both sortings.



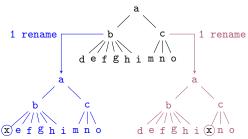
Windowed pq-grams: Robust to different sortings.

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#### Illustration: Balancing the Node Weight

- Permutations: all permutations of size q form a base
- Window: only permutations within window form a base



**Goal:** Same number of bases change for both renames.

**X** Permutations: 60/137 bases change 6/137 bases change 12/51 bases change ✓ Window: 12/51 bases change

Windowed pg-grams: Node weight is independent of sibling number.

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# Similarity Join

F		F'	F'	
tid	tree	tree	tid	
$T_1$	x /\ y w /\ vz	6 a /   \( b \c d \) e	$T_1'$	
T <sub>2</sub>	a / \ b c b	d a h i	T' <sub>2</sub>	
<i>T</i> <sub>3</sub>	a / \ e b h	3 5 1 x /\ y w wz	T' <sub>3</sub>	

- Simple approach: distance join
  - 1. compute distance between all pairs of trees
  - 2. return document pairs within threshold
- Very expensive: N<sup>2</sup> distance computations!

Outline Windowed pg-Grams for Unordered Trees Motivation • Windowed pg-Grams for Data-Centric XML • Efficient Similarity Joins with Windowed pg-Grams Experiments

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## Usual Join Optimization Does not Apply

- Distance join: expensive
  - nested loop join: evaluate distance function between every input pair
- Equality join: efficient
  - implementation as sort-merge or hash join
- Sort-merge and hash join:
  - first step: treat each join attribute in isolation (sort/hash)
  - second step: evaluate equality function
- Sort-merge and hash not applicable to distance join:
  - there is no sorting that groups similar trees
  - there is no hash function that partitions similar trees into buckets
- Solution: reduce distance join to equality join on pq-grams

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### Reducing a Distance Join to an Equality Join

• Distance join between trees:  $N^2$  intersections between integer bags

$\{1,7\}_a \{1,7\}_d$	$ a \cap d  = 2$	$ a \cap e  = 0$	$ a\cap f =0$
$ \begin{cases} 1,7\}_{a} & \{1,7\}_{d} \\ \{1,0\}_{b} & \{5,5\}_{e} \\ \{4,6\}_{c} & \{0,8\}_{f} \end{cases} $	$ b\cap d =1$	$ b\cap e =0$	$ b\cap f =1$
$\{4,6\}_c = \{0,8\}_f$	$ c \cap d  = 0$	$ c \cap e  = 0$	$ c \cap f  = 0$

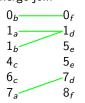
$$|a \cap d| = 2$$
  $|a \cap e| = 0$   $|a \cap f| = 0$   
 $|a \cap d| = 1$   $|b \cap e| = 0$   $|b \cap f| = 1$ 

- Optimized pq-gram join: empty intersections are never computed!

$$\{1_a, 7_a, 1_b, 0_b, 4_c, 6_c\}$$
  $\{1_d, 7_d, 5_e, 5_e, 0_f, 8_f\}$ 

$$\{1_d, 7_d, 5_o, 5_o, 0_f, 8_f\}$$

- 2. sort
- 3. merge-join



 $|b \cap f|$  $|a \cap d|$  $|b \cap d|$ 

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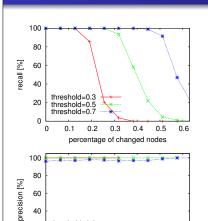
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# Effectiveness of the Windowed pq-Gram Join

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0.2 0.3 0.4 0.5

percentage of changed nodes

60

threshold=0.3 threshold=0.5 threshold=0.7

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 measure precision and recall for different thresholds

# Datasets:

- DBLP: articles depth 1.9, 15 nodes (max 1494 nodes)
- SwissProt: protein descriptions depth 3.5, 104 nodes (max 2640 nodes)

Experiment: match DBLP articles

(missing elements and spelling

• similarity join between original and

add noise to articles

mistakes)

noisy data

• Treebank: tagged English sentences depth 6.9 (max depth 30), 43 nodes

Windowed pq-grams are effective for data-centric XML

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# Windowed pg-Grams for Unordered Trees

Motivation

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- Windowed pg-Grams for Data-Centric XML
- Efficient Similarity Joins with Windowed pq-Grams

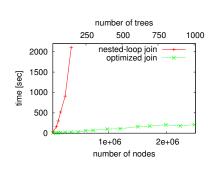
Windowed pq-Grams for Unordered Trees Experiments

Experiments

Windowed pg-Grams for Unordered Trees Experiments

Efficiency of the Optimized pg-Gram Join

Optimized pq-gram join: very efficient



- compute nested-loop join between trees
- compute optimized pq-gram join between trees
- measure wallclock time

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Windowed pg-Grams for Unordered Trees Experiments

#### Distances between Unordered Trees

#### Edit Distances between Unordered Trees

• [ZSS92]: proof for NP-completeness

• [KKSS04]: lower bound for a restricted edit distance

• [CGM97]:  $O(n^3)$  heuristics

• Our solution:  $O(n \log n)$  heuristics

#### Similarity Join

• [GIJ+01]: efficient similarity join for strings

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Kaizhong Zhang, Richard Statman, and Dennis Shasha. On the editing distance between unordered labeled trees. Information Processing Letters, 42(3):133–139, 1992

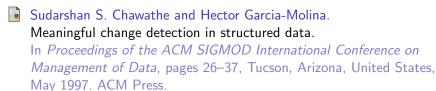
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Approximate joins for data-centric XML.

In Proceedings of the International Conference on Data Engineering (ICDE), pages 814-823, Cancún, Mexico, April 2008. IEEE Computer Society.



Luis Gravano, Panagiotis G. Ipeirotis, H. V. Jagadish, Nick Koudas, S. Muthukrishnan, and Divesh Srivastava.

Approximate string joins in a database (almost) for free.

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